

No.: 531/2026/VIX-BC

Hanoi, July 24, 2026

CORPORATE GOVERNANCE REPORT OF THE LISTED COMPANY

Reporting period: Semi-annual 2026

To:

- State Securities Commission of Vietnam (SSC)
- Vietnam Exchange (VNX)
- Ho Chi Minh Stock Exchange (HOSE)

- ❖ **Company name:** VIX Securities Joint Stock Company
- ❖ **Head office address:** Floor 22, No. 52 Le Dai Hanh Street, Hai Ba Trung Ward, Hanoi.
- ❖ **Telephone:** 024.4456 8888 **Fax:** 024.39785380 **Email:** info@vixs.vn.
- ❖ **Charter capital:** VND 24,502,877,720,000.
(In words: Twenty-four thousand five hundred and two billion, eight hundred and seventy-seven million, seven hundred and twenty thousand dong)
- ❖ **Ticker symbol:** VIX
- ❖ **Corporate governance model:** General Meeting of Shareholders, Board of Supervisors, Board of Directors, and CEO.
- ❖ **Internal audit function:** Implemented

I. Activities of the General Meeting of Shareholders (GMS)

No.	Resolution/Decision No.	Date	Content
1	01/2026/VIX/ NQ-ĐHCĐ	26/06/2026	<p><i>The 2026 Annual General Meeting of Shareholders approved the following matters:</i></p> <ul style="list-style-type: none">- The audited 2025 Financial Statements, the 2025 business results report, and the 2025 profit distribution plan.- The report of the Board of Directors (BOD) on corporate governance and performance of the BOD and each member for 2025.- The report of the Board of Supervisors on the Company's business results and the performance of the BOD and the Executive Management for 2025.- The 2025 self-assessment report on the performance of the Board of Supervisors and each member.- Approval of the remuneration and other benefits for BOD and BOS members for 2025, and the remuneration plan for the BOD and BOS for 2026.- The 2026 business plan.- Selection of the auditing firm for auditing the 2026 Financial Statements.

			<ul style="list-style-type: none"> - The issuance plan for payment of 2025 dividends. - Approval of the provision of securities clearing and settlement services under the Central Counterparty Mechanism. - Approval of the amendments and supplements to the Company Charter. - Approval of the dismissal of BOD/BOS members upon completion of their term, and the election of BOD/BOS members for the 2026-2031 term.
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II. Board of Directors (BOD)

1. Information on BOD Members

No.	BOD Member	Position	Date of Commencement / Cessation as BOD Member / Independent BOD Member	
			Date of Appointment	Date of Dismissal
1	Ha Huy Hung (*)	Chairman of the Board of Directors	23/05/2025	
2	Nguyen Tuan Dung	BOD Member – CEO	26/06/2026	
3	Do Ngoc Dinh	BOD Member – Deputy CEO	23/05/2025	
4	Cao Thi Hong	BOD Member	26/06/2026	
5	Phan Duc Linh	Independent BOD Member	28/11/2025	

(*) Mr. Ha Huy Hung was elected Chairman of the Board of Directors from 29/06/2026.

2. BOD Meetings:

No.	BOD Member	Meetings Attended / Total Meetings	Attendance Rate	Voting Rate	Reason for Absence
1	Ha Huy Hung	31/31	100%	100%	
2	Nguyen Tuan Dung	31/31	100%	100%	
3	Do Ngoc Dinh	31/31	100%	100%	
4	Cao Thi Hong	31/31	100%	100%	
5	Phan Duc Linh	31/31	100%	100%	

3. Supervisory activities of the BOD over the Executive Management

The BOD supervised the Executive Management in organising and implementing the Resolutions of the GMS and the BOD; and supervised the administration of business operations, governance, and

compliance with the law, the Company Charter, and internal regulations, in order to ensure that the decisions of the GMS and the BOD were implemented fully, promptly, and effectively.

4. Activities of BOD sub-committees

There is no BOD sub-committees.

5. Resolutions of the BOD

Details are provided in Appendix I attached hereto.

III. Board of Supervisors (BOS)

1. Information on BOS Members

No.	BOS Member	Position	Date of Commencement / Cessation as BOS Member	Professional Qualifications
1	Tran Hong Van	Head of the BOS	26/06/2026	Bachelor of Economics
2	Trinh Thi My Le	Member	26/06/2026	Bachelor of Accounting
3	Nguyen Thi Duyen	Member	26/06/2026	Bachelor of Accounting

2. BOS Meetings

No.	BOS Member	Position	Meetings Attended / Total Meetings	Attendance Rate	Voting Rate	Reason for Absence
1	Tran Hong Van	Head of the BOS	2/2	100%	100%	
2	Trinh Thi My Le	Supervisor	2/2	100%	100%	
3	Nguyen Thi Duyen	Supervisor	2/2	100%	100%	

3. Supervisory Activities of the BOS over the BOD, the Executive Management, and Shareholders

During the reporting period, the Board of Supervisors carried out supervisory activities over the BOD and the Executive Management, with the results as follows:

- Supervision of the BOD's activities:
 - The BOD performed its functions, duties, and powers in accordance with the Law on Enterprises, the Law on Securities, the Company Charter, and other relevant legal regulations.

- The BOD organised the implementation of matters under the Resolutions of the GMS, and issued resolutions and decisions within its authority to provide direction and guidance and to create a basis for the Executive Management to administer the Company's operations.
- Meetings, the collection of opinions, and the issuance of resolutions of the Board of Directors were carried out in accordance with the proper order, procedures, and authority; BOD members participated fully and responsibly in reviewing, discussing, and deciding on matters within their authority.
- In the assessment of the BOS, the BOD effectively performed its governance role, ensuring a proper distinction between the governance function and the executive function; the BOD's decisions were duly issued within its authority, contributing to enhanced operational efficiency and serving the interests of the Company and its shareholders.
- Supervision of the Executive Management's activities:
 - Supervision of the Executive Management's organisation and implementation of the Resolutions of the GMS and the BOD, and confirmation that the administration of business operations was carried out in line with the objectives, plans, and directions approved by the competent authority.
 - Supervision of the preparation of the Company's Financial Statements and business performance reports, which showed that the reports were prepared in compliance with Vietnamese accounting standards and relevant legal regulations, ensuring accuracy, transparency, and completeness.
 - Supervision of compliance with information disclosure obligations, which showed that, during the period, the Company made full, timely, and proper information disclosures in accordance with regulations.
 - Supervision of the organisation and operation of the risk management, internal control, and compliance systems, which found that the Executive Management had duly directed the implementation of risk governance and control measures and ensured financial safety in administering the Company's operations.

In the assessment of the BOS, the Executive Management fully performed its functions, duties, and powers in accordance with the law, the Company Charter, and the resolutions and decisions of the GMS and the BOD. The division of duties among the members of the Executive Management was carried out clearly, in line with each member's function and area of responsibility; regular briefing meetings and periodic reporting were consistently maintained, contributing to improved governance and administration efficiency and the timely handling of emerging issues.

4. Coordination between the BOS and the BOD, the Executive Management, and Other Managers

During the reporting period, the BOS consistently received close, active, and responsible cooperation from the BOD, the Executive Management, and the Company's managers in carrying out its inspection and supervisory functions as required.

- The BOD, the Executive Management, and specialised departments provided timely and complete information and documents relating to the Company's business operations, and designated focal-point personnel to coordinate with, provide explanations to, and support the BOS as required.

- The BOS sent representatives to attend all meetings of the BOD in full, ensuring the direct and continuous performance of its supervisory function.
- The BOS proactively prepared and notified the BOD and the Executive Management of its working plan and its inspection and supervision plan for the period, and cooperated effectively with functional departments in carrying out its duties.

5. Other activities of the BOS

- The BOS regularly updated on and studied newly issued policies and regulations to ensure the full performance of its rights and responsibilities as required.
- Fully performed other duties of the Board of Supervisors in accordance with the Law on Enterprises, the Company Charter, and other relevant legal regulations.

IV. Executive Management

No.	Executive Management Member	Date of Birth	Professional Qualifications	Date of Appointment/ Dismissal
1	Nguyen Tuan Dung - CEO	16/09/1977	Bachelor of Economics	29/06/2026
2	Do Ngoc Dinh - Deputy CEO	30/01/1983	Bachelor of Economics	17/07/2017
3	Truong Ngoc Lan - CEO	02/06/1978	Master of Business Administration (MBA)	Appointed on 19/10/2022; dismissed on 29/06/2026

V. Chief Accountant

No.	Full Name	Date of Birth	Professional Qualifications	Date of Appointment/ Dismissal
1	Nguyen Thi Thu Hang	05/08/1983	Bachelor of Finance and Accounting	23/05/2018

VI. Corporate governance training

- During the first 6 months of 2026: There was no corporate governance training course.

VII. List of related persons of the listed company and transactions between the Company's related persons and the company

1. List of the Company's related persons (*Appendix II attached*)
2. Transactions between the Company and the Company's related persons, major shareholders, insiders, and related persons of insiders: (*None*).
3. Transactions between the Company's insiders or related persons of insiders and subsidiaries, or companies controlled by the Company: (*None*).
4. Transactions between the Company and other related parties:
 - Transactions between the Company and companies in which members of the BOD, members of the Board of Supervisors, the CEO, and other managers have been or are currently founding

members, BOD members, or General Director (Director) within the preceding three (03) years (as at the time of preparing this report): *(None)*.

- Transactions between the Company and companies in which related persons of BOD members, members of the Board of Supervisors, the CEO, and other managers are BOD members or General Director (Director): *(None)*
- Other transactions of the Company (if any) that may bring material or non-material benefits to members of the BOD, members of the Board of Supervisors, the CEO, and other managers: *(None)*

VIII. Share transactions of insiders and related persons of insiders during the Period:

1. List of insiders and related persons of insiders (Appendix III attached)
2. Share transactions by insiders and related persons in respect of the Company's VIX shares:

Transacting Person	Relationship with the Insider Shareholder	Shares Held at the Beginning of the Period		Shares Held at the End of the Period		Reason for Increase/Decrease (purchase, sale, conversion, bonus shares...)
		Number of Shares	Ratio (%)	Number of Shares	Ratio (%)	
Nguyen Tuan Dung	BOD Member – CEO	623,651	0.041%	997,841	0.041%	Exercise of right to purchase additional shares
Do Ngoc Dinh	BOD Member, Deputy CEO	530,586	0.035%	848,937	0.035%	Exercise of right to purchase additional shares
Cao Thi Hong	BOD Member	105,000	0.007%	168,000	0.007%	Exercise of right to purchase additional shares
Nguyen Thi Thu Hang	Chief Accountant	2,289,000	0.149%	3,662,400	0.149%	Exercise of right to purchase additional shares
Vu Thi Ngoc Mai	Internal Audit Specialist	52,500	0.003%	84,000	0.003%	Exercise of right to purchase additional shares
Tran Bich Thuy	Elder sister of Ms. Tran Hong Van - Head of the BOS	15,405	0.001%	24,648	0.001%	Exercise of right to purchase additional shares

IX. Other Matters Requiring Attention: *(None)*

CHAIRMAN OF THE BOARD OF DIRECTORS



HA HUY HUNG

APPENDIX I

LIST OF BOARD OF DIRECTORS' RESOLUTIONS FOR THE FIRST HALF OF 2026

(Attached to the 2026 Semi-Annual Corporate Governance Report)

No.	Resolution No.	Date Issued	Content	Approval Rate
1	01/2026/VIX/NQ-HĐQT	16/01/2026	Approval of the Offering Period and the Proposed Plan for the Use of Proceeds from the 2025 Offering of Shares to Existing Shareholders	100%
2	02/2026/VIX/NQ-HĐQT	16/01/2026	Supplemented the criteria for selecting investors to purchase odd-lot shares and remaining shares under the 2025 rights offering to existing shareholders	100%
3	03/2026/VIX/NQ-HĐQT	16/01/2026	Approval of the Registration Dossier for the 2025 Rights Offering to Existing Shareholders	100%
4	04/2026/VIX/NQ-HĐQT	16/01/2026	Approval in Principle of the Implementation of the Artificial Intelligence Information Technology Project and Issuance of the Internal Audit Regulations	100%
5	05/2026/VIX/NQ-HĐQT	11/02/2026	Approval of the Plan for the Additional Purchase of Shares in Vietnam Export Import Commercial Joint Stock Bank (Eximbank)	100%
6	06/2026/VIX/NQ-HĐQT	13/02/2026	Approval of the Finalization of the List of Shareholders Entitled to Exercise the Right to Purchase Additional Shares	100%
7	07/2026/VIX/NQ-HĐQT	06/03/2026	Approval of the extension of the timeframe for holding Annual General Meeting of Shareholders for 2026 of VIX Securities Joint Stock Company to June 2026	100%
8	08/2026/VIX/NQ-HĐQT	12/03/2026	Authorized attendance at the 2026 Annual General Meeting of Shareholders of GEX	100%
9	09/2026/VIX/NQ-HĐQT	16/03/2026	Approval of the Nomination of Personnel for Election to the Board of Directors of GELEX Group Joint Stock Company and Eximbank	100%
10	10/2026/VIX/NQ-HĐQT	23/03/2026	Authorized attendance at the 2026 Annual General Meeting of Shareholders of GEE	100%

No.	Resolution No.	Date Issued	Content	Approval Rate
11	11/2026/VIX/NQ-HĐQT	24/03/2026	Approval of the Plan for the Additional Purchase of EIB Shares	100%
12	12/2026/VIX/NQ-HĐQT	25/03/2026	Approval of the Plan for the Purchase of SHB Shares	100%
13	13/2026/VIX/NQ-HĐQT	13/04/2026	Approval of the Credit Limit at Vietnam Maritime Commercial Joint Stock Bank (MSB)	100%
14	14/2026/VIX/NQ-HĐQT	14/04/2026	Approval of the Plan for the Additional Purchase of VPX Shares	100%
15	15/2026/VIX/NQ-HĐQT	16/04/2026	Approval of the plan for handling the remaining unsubscribed shares from the 2025 rights offering to existing shareholders	100%
16	16/2026/VIX/NQ-HĐQT	17/04/2026	Authorized attendance at the 2026 Annual General Meeting of Shareholders of VPX, PET, and EIB	100%
17	17/2026/VIX/NQ-HĐQT	21/04/2026	Approval of the results of the 2025 public offering and the amendment, supplementation of the Company's Charter	100%
18	18/2026/VIX/NQ-HĐQT	22/04/2026	Approval of the Plan for the Purchase of VSC Shares	100%
19	19/2026/VIX/NQ-HĐQT	23/04/2026	Approval of the Credit Limit at Eximbank	100%
20	20/2026/VIX/NQ-HĐQT	06/05/2026	Approval of the Convening of the 2026 Annual General Meeting of Shareholders	100%
21	21/2026/VIX/NQ-HĐQT	07/05/2026	Approval of the Credit Limit at Vietnam Prosperity Joint Stock Commercial Bank (VPBank)	100%
22	22/2026/VIX/NQ-HĐQT	08/05/2026	Approval of the Registration of Changes to the Enterprise Registration Information Resulting from the Change in the Company's Charter Capital	100%
23	23/2026/VIX/NQ-HĐQT	13/05/2026	Approval of the Plan for the Additional Purchase of Shares in VPBank Securities Joint Stock Company (VPX)	100%
24	24/2026/VIX/NQ-HĐQT	19/05/2026	Approval of the Credit Limit at EVF	100%

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No.	Resolution No.	Date Issued	Content	Approval Rate
25	25/2026/VIX/NQ-HĐQT	27/05/2026	Approval of the Transaction Plan for the Purchase of VHM Shares	100%
26	26/2026/VIX/NQ-HĐQT	05/06/2026	Approval of the Meeting Documents for the 2026 Annual General Meeting of Shareholders	100%
27	27/2026/VIX/NQ-HĐQT	05/06/2026	Approval of the Establishment of the Shareholder Eligibility Verification Board	100%
28	28/2026/VIX/NQ-HĐQT	08/06/2026	Approval of the Plan for the Additional Purchase of VIC Shares	100%
29	29/2026/VIX/NQ-HĐQT	08/06/2026	Approval of the Nomination of Personnel for Election to the Board of Directors of Eximbank for the 2025–2030 Term	100%
30	30/2026/VIX/NQ-HĐQT	09/06/2026	Approval of the Plan for the Purchase of Shares in Techcombank (TCB)	100%
31	31/2026/VIX/NQ-HĐQT	10/06/2026	Approval of the Credit Limit at BIDV – Ha Thanh Branch	100%
32	32/2026/VIX/NQ-HĐQT	22/06/2026	Authorization for Attendance at the 2026 Annual General Meeting of Shareholders of GELEX Infrastructure Joint Stock Company	100%
33	33/2026/VIX/NQ-HĐQT	29/06/2026	Approval of the Resignation of Mr. Nguyen Tuan Dung from the Position of Chairman of the Board of Directors	100%
34	34/2026/VIX/NQ-HĐQT	29/06/2026	Election of the Chairman of the Board of Directors for the 2026–2031 Term	100%
35	35/2026/VIX/NQ-HĐQT	29/06/2026	Dismissal of Mr. Truong Ngoc Lan from the Position of Chief Executive Officer	100%
36	36/2026/VIX/NQ-HĐQT	29/06/2026	Appointment of Mr. Nguyen Tuan Dung as Chief Executive Officer and Legal Representative	100%
37	37/2026/VIX/NQ-HĐQT	29/06/2026	Approval of the Delegation of Authority in the Company's Governance and Administration	100%
38	38/2026/VIX/NQ-HĐQT	29/06/2026	Approval of the Assignment of Duties and Remuneration to Members of the Board of Directors and the Board of Supervisors	100%

No.	Resolution No.	Date Issued	Content	Approval Rate
39	39/2026/VIX/NQ-HĐQT	29/06/2026	Approval of the Terms of the Chief Executive Officer's Employment Contract	100%
40	40/2026/VIX/NQ-HĐQT	29/06/2026	Termination of the Employment Contract with Mr. Truong Ngoc Lan, Chief Executive Officer	100%
41	41/2026/VIX/NQ-HĐQT	29/06/2026	Approval of the Assignment and Delegation of Authority for the Company's Governance and Management	100%
42	42/2026/VIX/NQ-HĐQT	30/06/2026	Approval of the Execution of the Audit Engagement Agreement for the Audit of the 2026 Financial Statements	100%

Hanoi, July 24, 2026

ON BEHALF OF THE BOARD OF
DIRECTORS
CHAIRMAN



HA HUY HUNG

APPENDIX II - LIST OF RELATED PERSONS OF THE COMPANY
(Attached to the Semi-Annual 2026 Corporate Governance Report)

No.	Name of Organisation/Individual	Securities Trading Account	Position at the Company (if any)	ID Document Number	Date of Issue	Place of Issue	Registered Office Address/Contact Address	Date of Becoming a Related Person	Date of Ceasing to Be a Related Person	Reason for Change	Relationship with the Insider
1	2	3	4	5	6	7	8	9	10	11	12
1	Ha Huy Hung		Chairman of the Board of Directors					23/05/2025			
1.1	Ha Huy Huong							23/05/2025			Father
1.2	Ho Thi Yen							23/05/2025			Mother
1.3	Ha Thi Hoai							23/05/2025			Sibling
1.4	Tran Van Chung							23/05/2025			Brother-in-law
1.5	Ha Thi Thom							23/05/2025			Sibling
1.6	Nguyen Quang Van							23/05/2025			Brother-in-law
1.7	Ha Thi Hong Tham							23/05/2025			Sibling
1.8	Vo Van Mau							23/05/2025			Brother-in-law
1.9	Ha Thi Thiet							23/05/2025			Sibling
1.10	Nguyen Manh Hung							23/05/2025			Brother-in-law
1.11	Ha Huy Viet							23/05/2025			Sibling
1.12	Le Thi Anh							23/05/2025			Sister-in-law

1.13	Pham Thi Thu Trang						23/05/2025			Wife
1.14	Ha Huy Anh						23/05/2025			Child
1.15	Ha Huy Minh						23/05/2025			Child
1.16	Pham Manh Khoe						23/05/2025			Father-in-law
1.17	Tran Thi Dan						23/05/2025			Mother-in-law
1.18	Pham Quoc Viet						23/05/2025			Brother-in-law
2	Nguyen Tuan Dung		Member of the Board of Directors and Chief Executive Officer (CEO)				26/06/2026			
2.1	Dao Thi Tam Khanh						26/06/2026			Wife
2.2	Nguyen Dao Tam Anh						26/06/2026			Child
2.3	Nguyen Ngoc Hieu Anh						26/06/2026			Child
2.4	Nguyen Ngoc Lam						26/06/2026			Father
2.5	Vu Thi Xuan						26/06/2026			Mother
2.6	Nguyen Thi Thu Thuy						26/06/2026			Sibling
2.7	Nguyen Tien Thanh						26/06/2026			Sibling
2.8	Nguyen Thanh Tien						26/06/2026			Sibling
2.9	Nguyen Thi Duc Hanh						26/06/2026			Sibling
2.10	Dao Tuan						26/06/2026			Father-in-law

2.11	Vu Thi Nhung							26/06/2026			Mother-in-law
2.12	Nguyen Trong Thanh							26/06/2026			Brother-in-law
2.13	Nguyen Linh							26/06/2026			Brother-in-law
2.14	Dao Thi Thu							26/06/2026			Sister-in-law
2.15	Dao Thi Theu							26/06/2026			Sister-in-law
3	Do Ngoc Dinh		BOD Member – Deputy CEO					17/07/2017			
3.1	Nguyen Thuy Nga							17/07/2017			Wife
3.2	Do Duc Anh							17/07/2017			Child
3.3	Do Duc Hung							17/07/2017			Child
3.4	Do Ngoc Doa							17/07/2017			Father
3.5	Pham Thi Kich							17/07/2017			Mother
3.6	Do Ngoc Dap							17/07/2017			Brother
3.7	Nguyen Mau Luc							17/07/2017			Father-in-law
3.8	Bui Thi Chao							17/07/2017			Mother-in-law
3.9	Giang Thi Hao							17/07/2017			Sister-in-law
3.10	VIX Crypto Assets Exchange Joint Stock Company (VIXEX)							26/08/2025			Member of the Board of Directors
4	Cao Thi Hong		BOD Member					26/06/2026			

4.1	Le Thi Minh						26/06/2026			Mother
4.2	Cao Thi Gam						26/06/2026			Sister
4.3	Cao Long Bien						26/06/2026			Sibling
4.4	Cao Van Long						26/06/2026			Sibling
4.5	Le Gia Thien						26/06/2026			Child
4.6	Le Gia Bao						26/06/2026			Child
4.7	Le Bao Ngoc						26/06/2026			Child
4.8	Vo Song Hy						26/06/2026			Brother-in-law
4.9	Hoang Thanh Mai						26/06/2026			Sister-in-law
4.10	To Nhat Ha						26/06/2026			Sister-in-law
5	Phan Duc Linh		BOD Member				28/11/2025			
5.1	Nguyen Thi Thieu						28/11/2025			Mother
5.2	Phan Thi Thanh						28/11/2025			Sister
5.3	Nguyen Van Hai						28/11/2025			Brother-in-law
5.4	Phan Thi Thanh						28/11/2025			Sister
5.5	Nguyen Viet Quang						28/11/2025			Brother-in-law
5.6	Nguyen Thanh Nga						28/11/2025			Wife
5.7	Phan Diep Chi						28/11/2025			Child

5.8	Phan Minh Duong							28/11/2025			Child
5.9	Nguyen Hong Thai							28/11/2025			Father-in-law
5.10	Nguyen Thi Tuyet Mai							28/11/2025			Mother-in-law
5.11	Nguyen Thu Hien							28/11/2025			Sister-in-law
6	Truong Ngoc Lan		General Director					19/10/2022	29/06/2026	Dismissed	
6.1	Truong Moc Lam							19/10/2022	29/06/2026		Father
6.2	Tran Thi Hoa Cam							19/10/2022	29/06/2026		Mother
6.3	Truong Van Tuyen							19/10/2022	29/06/2026		Father-in-law
6.4	Huynh Thi Tu Han							19/10/2022	29/06/2026		Mother-in-law
6.5	Truong My Hanh							19/10/2022	29/06/2026		Wife
6.6	Truong Kim Long							19/10/2022	29/06/2026		Son
6.7	Truong Bao Chau							19/10/2022	29/06/2026		Daughter
6.8	Truong Thi Dieu Linh							19/10/2022	29/06/2026		Sister
6.9	Vu Dinh Thuyen							19/10/2022	29/06/2026		Brother-in-law
7	Nguyen Thi Thu Hang		Chief Accountant					23/05/2018			
7.1	Tran Khanh Hiep							23/05/2018			Husband
7.2	Tran Khanh Van Nhi							23/05/2018			Child
7.3	Tran Khanh Tue Nhi							23/05/2018			Child

7.4	Tran Khanh Nam Phong							23/05/2018			Child
7.5	Nguyen Van Dai							23/05/2018			Father
7.6	Nguyen Thi Loan							23/05/2018			Mother
7.7	Nguyen Van Long							23/05/2018			Brother-in-law
7.8	Nguyen Thi Hai Lan							23/05/2018			Sister
7.9	Nguyen Thi Thanh Nga							23/05/2018			Sister
7.10	Le Manh Cuong							23/05/2018			Brother-in-law
7.11	Nguyen Thi Ha							23/05/2018			Mother-in-law
7.12	VIX Crypto Assets Exchange Joint Stock Company (VIXEX)							05/09/2025			Chief Accountant
8	Du Van Toan		Person Responsible for Corporate Governance, Information Disclosure Officer					30/08/2014			
8.1	Vu Thi Lan Phuong							30/08/2014			Wife
8.2	Du Tra My							30/08/2014			Child
8.3	Du Vu Tuan Khang							30/08/2014			Child
8.4	Du Thuy Dung							30/08/2014			Child
8.5	Ngo Thanh Duong							30/08/2014			Son-in-law

8.6	Du Thi Nhan						30/08/2014			Sister
8.7	Du Van Nhan						30/08/2014			Brother
8.8	Nguyen Thi He						30/08/2014			Sister-in-law
8.9	Du Xuan Thuc						30/08/2014			Brother
8.10	Du Quang Duc						30/08/2014			Brother
8.11	Dinh Thi My						30/08/2014			Sister-in-law
8.12	Du Thi Thuy						30/08/2014			Sister
8.13	Tran Dinh Luong						30/08/2014			Brother-in-law
8.14	Du Van Hoan						30/08/2014			Brother
8.15	Dinh Thi Hoat						30/08/2014			Sister-in-law
8.16	Vu Huu Chan						30/08/2014			Father-in-law
8.17	Vu Thi Toat						30/08/2014			Mother-in-law
9	Tran Hong Van		Head of the Board of Supervisors (BOS)				26/06/2026			
9.1	Nguyen Thi Phuc						26/06/2026			Mother
9.2	Tran Bich Thuy						26/06/2026			Sister
9.3	Tran Thanh Hieu						26/06/2026			Sibling
9.4	Ha Van Anh						26/06/2026			Child
9.5	Ha Quynh Anh						26/06/2026			Child

9.6	Ha Thi Quy						26/06/2026			Mother-in-law
9.7	Ha Van Than						26/06/2026			Husband
9.8	Dang Xuan Khoa						26/06/2026			Brother-in-law
10	Trinh Thi My Le		BOS Member				26/06/2026			
10.1	Nghiem Xuan Hoa						26/06/2026			Husband
10.2	Nghiem Khanh Chi						26/06/2026			Child
10.3	Nghiem Quang Minh						26/06/2026			Child
10.4	Trinh Van Duong						26/06/2026			Father
10.5	Nguyen Thi Ninh						26/06/2026			Mother
10.6	Trinh Ngoc Manh						26/06/2026			Sibling
10.7	Nghiem Van Pha						26/06/2026			Father-in-law
11	Nguyen Thi Duyen		BOS Member				26/06/2026			
11.1	Nguyen Ba Yen						26/06/2026			Father
11.2	Nguyen Thi Nhan						26/06/2026			Mother
11.3	Nguyen Ba Hien						26/06/2026			Sibling
11.4	Nguyen Ba Hoa						26/06/2026			Sibling
11.5	Nguyen Ngoc Le Minh						26/06/2026			Child
11.6	Nguyen Ngoc Minh Ha						26/06/2026			Child
11.7	Nguyen Van Huong						26/06/2026			Father-in-law

11.8	Le Thi Loi							26/06/2026			Mother-in-law
11.9	Le Thi Thom							26/06/2026			Sister-in-law
12	Vu Thi Ngoc Mai		Internal Audit Specialist					24/11/2023			
12.1	Du Thi Nhan							24/11/2023			Mother
12.2	Vu Van Phong							24/11/2023			Brother
12.3	Quach Thi Tuyen							24/11/2023			Sister-in-law
12.4	Vu Thi Huong							24/11/2023			Sister
12.5	Vu Van Hung							24/11/2023			Brother
12.6	Hoang Thi Van							24/11/2023			Sister-in-law
12.7	Vu Van Hoa							24/11/2023			Brother
12.8	Dinh Thi Hong Tam							24/11/2023			Sister-in-law

Hanoi, July 24, 2026

CHAIRMAN OF THE BOARD OF DIRECTORS



HA HUY HUNG

APPENDIX III: LIST OF INSIDERS AND RELATED PERSONS OF INSIDERS
AS AT 30 JUNE 2026

(Attached to the 2026 Semi-Annual Corporate Governance Report)

No.	Full Name	Securities Trading Account	Position at the Company	ID Document Number (Citizen ID Card, Passport)	Date of Issue	Place of Issue	Contact Address	Number of Shares Held at End of Period	Share Ownership Ratio at End of Period (%)	Notes
1	2	3	4	5	6	7	8	9	10	11
1	Ha Huy Hung		Chairman of the Board of Directors							
1.1	<i>Ha Huy Huong</i>									<i>Father</i>
1.2	<i>Ho Thi Yen</i>									<i>Mother</i>
1.3	<i>Ha Thi Hoai</i>									<i>Younger Sibling</i>
1.4	<i>Tran Van Chung</i>									<i>Brother-in-law</i>
1.5	<i>Ha Thi Thom</i>									<i>Younger Sibling</i>
1.6	<i>Nguyen Quang Van</i>									<i>Brother-in-law</i>
1.7	<i>Ha Thi Hong Tham</i>									<i>Younger Sibling</i>
1.8	<i>Vo Van Mau</i>									<i>Brother-in-law</i>
1.9	<i>Ha Thi Thiet</i>									<i>Younger Sibling</i>
1.10	<i>Nguyen Manh Hung</i>									<i>Brother-in-law</i>
1.11	<i>Ha Huy Viet</i>									<i>Younger Sibling</i>
1.12	<i>Le Thi Anh</i>									<i>Sister-in-law</i>
1.13	<i>Pham Thi Thu Trang</i>									<i>Wife</i>

1.14	<i>Ha Huy Anh</i>									<i>Child</i>
1.15	<i>Ha Huy Minh</i>									<i>Child</i>
1.16	<i>Pham Manh Khoe</i>									<i>Father-in-law</i>
1.17	<i>Tran Thi Dan</i>									<i>Mother-in-law</i>
1.18	<i>Pham Quoc Viet</i>									<i>Sibling-in-law</i>
2	Nguyen Tuan Dung		Member of the Board of Directors – General Director					997,841	0.041%	
2.1	<i>Dao Thi Tam Khanh</i>									<i>Wife</i>
2.2	<i>Nguyen Dao Tam Anh</i>									<i>Child</i>
2.3	<i>Nguyen Ngoc Hieu Anh</i>									<i>Child</i>
2.4	<i>Nguyen Ngoc Lam</i>									<i>Father</i>
2.5	<i>Vu Thi Xuan</i>									<i>Mother</i>
2.6	<i>Nguyen Thi Thu Thuy</i>									<i>Younger Sibling</i>
2.7	<i>Nguyen Tien Thanh</i>									<i>Younger Sibling</i>
2.8	<i>Nguyen Thanh Tien</i>									<i>Younger Sibling</i>
2.9	<i>Nguyen Thi Duc Hanh</i>									<i>Younger Sibling</i>
2.10	<i>Dao Tuan</i>									<i>Father-in-law</i>
2.11	<i>Vu Thi Nhung</i>									<i>Mother-in-law</i>
2.12	<i>Nguyen Trong Thanh</i>									<i>Brother-in-law</i>
2.13	<i>Nguyen Linh</i>									<i>Brother-in-law</i>

2.14	Dao Thi Thu									Sister-in-law
2.15	Dao Thi Theu									Sister-in-law
3	Do Ngoc Dinh		Member of the Board of Directors – Deputy General Director					848,937	0.035%	
3.1	Nguyen Thuy Nga									Wife
3.2	Do Duc Anh									Child
3.3	Do Duc Hung									Child
3.4	Do Ngoc Doa									Father
3.5	Pham Thi Kich									Mother
3.5	Do Ngoc Dap									Older Brother
3.7	Nguyen Mau Luc									Father-in-law
3.8	Bui Thi Chao									Mother-in-law
3.9	Giang Thi Hao									Sister-in-law
3.10	VIX Crypto Assets Exchange Joint Stock Company (VIXEX)									Member of the Board of Directors
4	Cao Thi Hong		Member of the Board of Directors					168,000	0.007%	
4.1	Le Thi Minh									Mother
4.2	Cao Thi Gam									Older Sister
4.3	Cao Long Bien									Younger Sibling

4.4	Cao Van Long									Younger Sibling
4.5	Le Gia Thien									Child
4.6	Le Gia Bao									Child
4.7	Le Bao Ngoc									Child
4.8	Vo Song Hy									Brother-in-law
4.9	Hoang Thanh Mai									Sister-in-law
4.10	To Nhat Ha									Sister-in-law
5	Phan Duc Linh		Member of the Board of Directors							
5.1	Nguyen Thi Thieu									Mother
5.2	Phan Thi Thanh									Older Sister
5.3	Nguyen Van Hai									Brother-in-law
5.4	Phan Thi Thanh									Older Sister
5.5	Nguyen Viet Quang									Brother-in-law
5.6	Nguyen Thanh Nga									Wife
5.7	Phan Diep Chi									Child
5.8	Phan Minh Duong									Child
5.9	Nguyen Hong Thai									Father-in-law
5.10	Nguyen Thi Tuyen Mai									Mother-in-law
5.11	Nguyen Thu Hien									Sister-in-law

6	Nguyen Thi Thu Hang		Chief Accountant					3,662,400	0.150%	
6.1	Tran Khanh Hiep									Husband
6.2	Tran Khanh Van Nhi									Child
6.3	Tran Khanh Tue Nhi									Child
6.4	Tran Khanh Nam Phong									Child
6.5	Nguyen Van Dai									Father
6.6	Nguyen Thi Loan									Mother
6.7	Nguyen Van Long									Older Sister
6.8	Nguyen Thi Hai Lan									Brother-in-law
6.9	Nguyen Thi Thanh Nga									Older Sister
6.10	Le Manh Cuong									Brother-in-law
6.11	Nguyen Thi Ha									Mother-in-law
6.12	VIX Crypto Assets Exchange Joint Stock Company (VIXEX)									Chief Accountant
7	Du Van Toan		Person in Charge of Corporate Governance and Information Disclosure Officer							
7.1	Vu Thi Lan Phuong									Wife
7.2	Du Tra My									Child
7.3	Du Vu Tuan Khang									Child

7.4	Du Thuy Dung									Child
7.5	Ngo Thanh Duong									Son-in-law
7.6	Du Thi Nhan									Older Sister
7.7	Du Van Nhan									Older Brother
7.8	Nguyen Thi He									Sister-in-law
7.9	Du Xuan Thuc									Older Brother
7.10	Du Quang Duc									Older Brother
7.11	Dinh Thi My									Sister-in-law
7.12	Du Thi Thuy									Older Sister
7.13	Tran Dinh Luong									Brother-in-law
7.14	Du Van Hoan									Older Brother
7.15	Dinh Thi Hoat									Sister-in-law
7.16	Vu Huu Chan									Father-in-law
7.17	Vu Thi Toat									Mother-in-law
8	Tran Hong Van		Head of the Supervisory Board							
8.1	Nguyen Thi Phuc									Mother
8.2	Tran Bich Thuy							24,648	0.001%	Older Sister
8.3	Tran Thanh Hieu									Younger Sibling
8.4	Ha Van Anh									Child

8.5	<i>Ha Quynh Anh</i>									<i>Child</i>
8.6	<i>Ha Thi Quy</i>									<i>Mother-in-law</i>
8.7	<i>Ha Van Than</i>									<i>Husband</i>
8.8	<i>Dang Xuan Khoa</i>									<i>Brother-in-law</i>
9	Trinh Thi My Le		Member of the Supervisory Board							
9.1	<i>Nghiem Xuan Hoa</i>									<i>Husband</i>
9.2	<i>Nghiem Khanh Chi</i>									<i>Child</i>
9.3	<i>Nghiem Quang Minh</i>									<i>Child</i>
9.4	<i>Trinh Van Duong</i>									<i>Father</i>
9.5	<i>Nguyen Thi Ninh</i>									<i>Mother</i>
9.6	<i>Trinh Ngoc Manh</i>									<i>Younger Sibling</i>
9.7	<i>Nghiem Van Pha</i>									<i>Father-in-law</i>
10	Nguyen Thi Duyen		Member of the Supervisory Board							
10.1	<i>Nguyen Ba Yen</i>									<i>Father</i>
10.2	<i>Nguyen Thi Nhan</i>									<i>Mother</i>
10.3	<i>Nguyen Ba Hien</i>									<i>Younger Sibling</i>
10.4	<i>Nguyen Ba Hoa</i>									<i>Younger Sibling</i>
10.5	<i>Nguyen Ngoc Le Minh</i>									<i>Child</i>
10.6	<i>Nguyen Ngoc Minh Ha</i>									<i>Child</i>

10.7	Nguyen Van Huong									Father-in-law
10.8	Le Thi Loi									Mother-in-law
10.9	Le Thi Thom									Sister-in-law
11	Vu Thi Ngoc Mai		Internal Audit Specialist					84,000	0.003%	
11.1	Du Thi Nhan									Mother
11.2	Vu Van Phong									Older Brother
11.3	Quach Thi Tuyen									Sister-in-law
11.4	Vu Thi Huong									Older Sister
11.5	Vu Van Hung									Older Brother
11.6	Hoang Thi Van									Sister-in-law
11.7	Vu Van Hoa									Older Brother
11.8	Dinh Thi Hong Tam									Sister-in-law

Hanoi, July 24, 2026

CHAIRMAN OF THE BOARD OF DIRECTORS ✓



HA HUY HUNG