

No.: 446/2026/VIX-CBTT

Hanoi, June 29, 2026

## NOTICE OF CHANGE IN PERSONNEL

- To:**
- State Securities Commission of Vietnam
  - Vietnam Exchange
  - Ho Chi Minh City Stock Exchange

Pursuant to Resolution No. 35/2026/VIX/NQ-HĐQT and Resolution No. 36/2026/VIX/NQ-HĐQT dated June 29, 2026 of the Board of Directors (BOD) of VIX Securities Joint Stock Company, we hereby announce the change of the Company's General Director and Legal Representative as follows:

### **I. Appointment:**

#### **1. Mr. Nguyen Tuan Dung**

- Position prior to appointment: Chairman of Board of Directors
- Appointed position: General Director and Legal Representative of the Company.
- Term of appointment: From June 29, 2026
- Effective date: June 29, 2026

### **II. Dismissal:**

#### **1. Mr. Truong Ngoc Lan**

- Former position: Chief Executive Officer
- Reason for dismissal: Pursuant to the resignation letter and as approved by the BOD
- Effective date: June 29, 2026

Detailed information has been published on the VIX's website on June 29, 2026 at the following link:

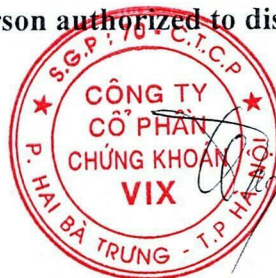
<https://vixs.vn/qhcd/cong-bo-thong-tin>

We hereby declare to be responsible for the accuracy and completeness of the disclosed information.

### **Attached:**

- Resolution of the BOD No. 35/2026/VIX/NQ-HĐQT
- Resolution of the BOD No. 36/2026/VIX/NQ-HĐQT

**ON BEHALF OF THE COMPANY**  
Person authorized to disclose information



**DU VAN TOAN**

No: 35/2026/VIX/NQ-HĐQT

Ha Noi, June 29, 2026

**RESOLUTION OF THE BOARD OF DIRECTORS**

Re: Dismissal of the Chief Executive Officer

**THE BOARD OF DIRECTORS  
VIX SECURITIES JOINT STOCK COMPANY**

**Pursuant to:**

- Law on Enterprises No. 59/2020/QH14 dated June 17, 2020 and documents amending, supplementing and guiding its implementation;
- Law on Securities No. 54/2019/QH14 dated November 26, 2019 and documents amending, supplementing and guiding its implementation;
- Charter of VIX Securities Joint Stock Company;
- Letter of resignation of Mr. Truong Ngoc Lan from the position of Chief Executive Officer dated June 29, 2026;
- Meeting Minutes of the Board of Directors dated June 29, 2026.

**RESOLVED**

**Article 1:** Approve the dismissal of Mr. Truong Ngoc Lan from the position of Chief Executive Officer of VIX Securities Joint Stock Company, effective from June 29, 2026.

**Article 2:** Mr. Truong Ngoc Lan shall be responsible for handing over all duties and Company assets in accordance with the Company's regulations.

**Article 3:** This Resolution shall take effect as of the date of its signing. The members of the Board of Directors, the Executive Management, relevant divisions, departments, and related individuals shall be responsible for implementing this Resolution./.

**Recipients:**

- As Article 3;
- Information disclosure;
- Board of Supervisors;
- Archive BOD, HR.

**ON BEHALF OF THE BOARD OF DIRECTORS  
CHAIRMAN**



**HA HUY HUNG**

No: 36/2026/VIX/NQ-HDQT

Ha Noi, June 29, 2026

**RESOLUTION OF THE BOARD OF DIRECTORS**

Re: Appointment of the Chief Executive Officer and Change of Legal Representative

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**THE BOARD OF DIRECTORS  
VIX SECURITIES JOINT STOCK COMPANY**

**Pursuant to:**

- Law on Enterprises No. 59/2020/QH14 dated June 17, 2020 and documents amending, supplementing and guiding its implementation;
- Law on Securities No. 54/2019/QH14 dated November 26, 2019 and documents amending, supplementing and guiding its implementation;
- Charter of VIX Securities Joint Stock Company;
- Meeting Minutes of the Board of Directors dated June 29, 2026.

**RESOLVED**

**Article 1:** Approve the appointment of Mr. Nguyen Tuan Dung as Chief Executive Officer of VIX Securities Joint Stock Company, effective from June 29, 2026.

**Article 2:** Change the Company's legal representative:

***The legal representative following the change:***

Full name: Nguyen Tuan Dung

Date of birth: September 16, 1977

Gender: Male

Citizen Identification No.:

Position: Chief Executive Officer

Contact address:

**Article 3:** To carry out procedures for amending the License for establishment and operation and for changing the enterprise registration.

The Board of Directors and the Executive Management of the Company shall be responsible for organizing the implementation and completion of all necessary legal procedures relating to the change of the Company's legal representative with the competent state authorities.

**Article 4:** This Resolution shall take effect as of the date of its signing. The members of the Board of Directors, the Executive Management, relevant divisions, departments, and related individuals shall be responsible for implementing this Resolution./.



**Recipients:**

- *As Article 4;*
- *Information disclosure;*
- *Business Registration Authority;*
- *Board of Supervisors;*
- *Archive BOD,HR.*

ON BEHALF OF THE BOARD OF DIRECTORS

CHAIRMAN



HA HUY HUNG

