

No.: 44/2026/VIX-CBTT

Hanoi, June 26, 2026

NOTICE OF CHANGE IN PERSONNEL

To:

- State Securities Commission of Vietnam
- Vietnam Exchange
- Ho Chi Minh City Stock Exchange

Pursuant to Resolution No. 01/2026/VIX/NQ-ĐHCD dated June 26, 2026 of the 2026 Annual General Meeting of Shareholders of VIX Securities Joint Stock Company, we hereby announce the change in the composition of the Company's Board of Supervisors (BOS) as follows:

I. Appointment:

1. Ms. Tran Hong Van

- Position prior to appointment: Head of the Board of Supervisors for the 2021 - 2026 term
- Appointed position: Member of the Board of Supervisors for the 2026 - 2031 term
- Term of appointment: From June 26, 2026
- Effective date: June 26, 2026

2. Ms. Trinh Thi My Le

- Position prior to appointment: Member of the Board of Supervisors for the 2021 - 2026 term
- Appointed position: Member of the Board of Supervisors for the 2026 - 2031 term
- Term of appointment: From June 26, 2026
- Effective date: June 26, 2026

3. Ms. Nguyen Thi Duyen

- Position prior to appointment: Member of the Board of Supervisors for the 2021 - 2026 term
- Appointed position: Member of the Board of Supervisors for the 2026 - 2031 term
- Term of appointment: From June 26, 2026
- Effective date: June 26, 2026

II. Dismissal of BOS member upon expiry of the term:

1. Ms. Tran Hong Van

- Former position: Member of the Board of Supervisors for the 2021 - 2026 term
- Reason for dismissal: Expiry of the 2021 - 2026 term
- Effective date: June 26, 2026.

2. Ms. Trinh Thi My Le

- Former position: Member of the Board of Supervisors for the 2021 - 2026 term
- Reason for dismissal: Expiry of the 2021 - 2026 term
- Effective date: June 26, 2026.

3. Ms. Nguyen Thi Duyen

- Former position: Member of the Board of Supervisors for the 2021 - 2026 term
- Reason for dismissal: Expiry of the 2021 - 2026 term
- Effective date: June 26, 2026.



Detailed information has been published on the VIX's website on June 26, 2026 at the following link:

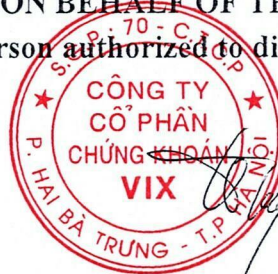
<https://vixs.vn/qhed/cong-bo-thong-tin>

We hereby declare to be responsible for the accuracy and completeness of the disclosed information.

Attached:

- Resolution No. 01/2026/VIX/NQ-ĐHCD;
- BOS members' Curriculum Vitae (2026-2031 term).

ON BEHALF OF THE COMPANY
Person authorized to disclose information



DU VAN TOAN



No.: 01/2026/VIX/NQ-DHCD

Hanoi, June 26, 2026

RESOLUTION
THE 2026 ANNUAL GENERAL MEETING OF SHAREHOLDERS
VIX SECURITIES JOINT STOCK COMPANY

Pursuant to:

- Law on Enterprises No. 59/2020/QH14 dated June 17, 2020 and its amending, supplementing and implementing regulations;
- Law on Securities No. 54/2019/QH14 dated November 26, 2019 and its amending, supplementing and implementing regulations;
- The Charter of VIX Securities Joint Stock Company;
- The Vote Counting Minutes of the 2026 Annual General Meeting of Shareholders of VIX Securities Joint Stock Company dated June 26, 2026;
- The Minutes of the 2026 Annual General Meeting of Shareholders of VIX Securities Joint Stock Company dated June 26, 2026.

RESOLVES

Article 1. To approve the 2025 audited financial statements, the 2025 business results, and the 2025 profit distribution plan as follows:

1. To approve the 2025 financial statements audited by Ernst & Young Vietnam Co., Ltd

The full 2025 Audited Financial Statements of VIX Securities Joint Stock Company has been published on the Company's website at the following link: <https://vixs.vn/bao-cao>

2. To approve the business results for 2025 with the following key indicators:

Unit: VND

No.	Financial Indicator	2025 Plan	Audited 2025 Financial Statements	% of Plan Achieved
(1)	(2)	(3)	(4)	(5)=(4)/(3)
1	Profit before tax	6,500,000,000,000	6,717,012,326,793	103
2	Profit after tax	5,200,000,000,000	5,410,022,178,202	104

3. To approve the 2025 profit distribution plan as follows:

Unit: VND

No.	Indicator	Notes	Amount
1	Profit after tax realized in 2025		2,418,840,995,032

2	Undistributed profit after tax realized from prior period carried forward		80,316,215,847
3	Total undistributed profit after tax realized as at December 31, 2025	(3) = (1) + (2)	2,499,157,210,879
4	2025 dividend payment at a rate of 5% in the form of shares	Estimated	1,225,143,886,000
5	Remaining undistributed profit after tax realized to be carried forward to next year	Estimated	1,274,013,324,879

The General Meeting of Shareholders approves a dividend payment for 2025 at a rate of 5% in the form of shares, to be distributed from the Company's undistributed realized profit after tax as reflected in the 2025 Audited Financial Statements. This dividend amount is calculated based on the Company's current charter capital of VND 24,502,877,720,000.

Article 2. To approve the Report of the Board of Directors on corporate governance and the performance of the Board of Directors and each member for 2025.

Article 3. To approve the Report of the Board of Supervisors on the Company's business results, the performance of the Board of Directors and the Executive Management for 2025.

Article 4. To approve the 2025 self-assessment report on the performance of the Board of Supervisors and each member.

Article 5. To approve the remuneration and other benefits for the Board of Directors and the Board of Supervisors members for 2025 and the remuneration plan for the Board of Directors and the Board of Supervisors members for 2026 as follows:

1. To approve the remuneration of the Board of Directors and the Board of Supervisors members for 2025 as follows:

No.	Board/Committee	Remuneration for the period from January 1, 2025 to December 31, 2025 (VND).
1	Board of Directors	2,006,000,000
2	Board of Supervisors	144,000,000
	Total remuneration for the Board of Directors and the Board of Supervisors for 2025	2,150,000,000

2. To approve the remuneration plan and operating expenses budget for the Board of Directors and the Board of Supervisors for 2026 as follows:

- The Board of Directors and the Board of Supervisors members shall serve and receive remuneration on a full-time or part-time basis. In the event that the 2026 profit after tax plan approved by the General Meeting of Shareholders is met or exceeded, the total remuneration for the Board of Directors and the Board of Supervisors for 2026 shall not exceed 1% of the Company's 2026 profit after tax, the specific remuneration amount shall be determined by the Board of Directors.
- If the Company fails to achieve the 2026 profit after tax plan, the remuneration for the Board of Directors and the Board of Supervisors shall not exceed VND 5 billion, the specific amount shall be determined by the Board of Directors based on actual circumstances.
- Up to 0.2% of the 2026 profit after tax shall be allocated to supplement the operating expenses of the Board of Directors and the Board of Supervisors.

Article 6. To approve the 2026 business plan

The General Meeting of Shareholders approves the 2026 business plan with the following key targets:

No.	Indicator	Unit	2026 Plan
1	Profit before tax	VND billion	3,500
2	Profit after tax	VND billion	2,800

Article 7. To approve the list of independent auditing firms for auditing the 2026 financial statements as follows:

1. The list of audit firms proposed for selection includes:
 - Ernst & Young Vietnam Co., Ltd.;
 - PwC (Vietnam) Co., Ltd.;
 - Deloitte Vietnam Audit Co., Ltd.;
 - KPMG Co., Ltd.;
2. The General Meeting of Shareholders authorizes the Board of Directors to select one of the above audit firms to enter into an audit agreement for the the 2026 financial statements in accordance with applicable laws.

Article 8. To approve the share issuance plan for payment of 2025 dividend, with the principal terms as follows:

1. Exercise ratio: 20:1 (equivalent to a stock dividend ratio of 5%).
2. Treatment of fractional shares: Additional shares issued for dividend payment to existing shareholders shall be rounded down to the nearest whole share. Fractional shares (if any) shall be cancelled.

h

P. 1
 CÔNG
 CÔNG
 HÚN
 TRU

3. Implementation timeline: After the approval by the General Meeting of Shareholders and acceptance by the State Securities Commission of Vietnam (expected in Quarter 3, 2026).
4. Expected changes in the Company's charter capital after the issuance:
 - + Charter capital before the issuance: VND 24,502,877,720,000;
 - + Number of shares before the issuance: 2,450,287,772 shares;
 - + Total expected additional shares to be issued: 122,514,388 shares;
 - + Total expected issuance value at par value: VND 1,225,143,880,000;
 - + Expected charter capital after the issuance: VND 25,728,021,600,000.

(Details are provided in Proposal No. 05/2026/VIX/TT-HDQT dated June 5, 2026 of the Board of Directors attached to this Resolution.)

5. Approval for additional securities depository registration and listing registration:

The General Meeting of Shareholders approves the registration (adjustment to information on the registered number of securities) and additional depository registration of all additionally issued shares at the Vietnam Securities Depository and Clearing Corporation, as well as the additional listing registration of all such shares at the Stock Exchange where the Company's shares are listed, and is authorizes the Board of Directors to implement and complete all related procedures immediately upon completion of the issuance.

6. Approval of authorization from the General Meeting of Shareholders to the Board of Directors:

The General Meeting of Shareholders authorizes the Board of Directors to decide on the following matters relating to the issuance:

- To determine the issuance timing and prepare and finalize the dossier for the dividend share issuance for submission to the State Securities Commission of Vietnam. Where amendments or supplements are required by the State Securities Commission of Vietnam, the Board of Directors shall be authorized to make such amendments and supplements in accordance with the recommendations or requests of the State Securities Commission of Vietnam.
- To determine the appropriate record date and other relevant timelines relating to the implementation of the share issuance in accordance with regulations.
- To amend and supplement Clause 1, Article 6 of the Company's Charter (regarding charter capital and number of shares) and other relevant provisions of the Charter based on the actual issuance results.
- To undertake procedures for amendment and adjustment of the Company's Establishment and Operation License and Enterprise Registration Certificate relating to changes in charter capital in accordance with the actual issuance results.

- To undertake procedures for registration (adjustment to information on registered number of securities), additional depository registration of all issued shares at the Vietnam Securities Depository and Clearing Corporation and additional listing registration of all such shares at the Stock Exchange where the Company's shares are listed.
- In addition to the matters stated above, the General Meeting of Shareholders also approves authorization for the Board of Directors to decide all other related matters arising during the implementation of the share issuance for dividend payment in accordance with regulations.

Article 9. To approve the provision of securities clearing and settlement services under the Central Counterparty Mechanism, specifically as follows:

- To approve for the Company to proceed with implementing activities relating to the provision of securities clearing and settlement services under the Central Counterparty Mechanism.
- To delegate/authorize to the Board of Directors to undertake the registration procedures and other related procedures with the competent regulatory authorities; to organize the implementation of the aforementioned services; and to resolve related matters as they arise in accordance with applicable law and the Company Charter. The Board of Directors shall be permitted to sub-delegate authority to the Chief Executive Officer to decide and implement tasks within the permitted scope of such authorization.

(Details are provided in Proposal No. 06/2026/VIX/TT-HDQT dated June 5, 2026 of the Board of Directors attached to this Resolution.)

Article 10. To approve of Amendments and Supplements to the Company Charter of VIX Securities Joint Stock Company

(Details are provided in Proposal No. 07/2026/VIX/TT-HDQT dated June 5, 2026 of the Board of Directors attached to this Resolution.)

Article 11. To approve of the dismissal of members of the Board of Directors and members of the members of the Board of Supervisors of VIX Securities Joint Stock Company upon completion of the 2021 - 2026 term, as follows:

1. For the Board of Directors:

- Dismissal of Mr. Nguyen Tuan Dung as a member of the Board of Directors for the 2021 - 2026 term;
- Dismissal of Ms. Cao Thi Hong as a member of the Board of Directors for the 2021 - 2026 term;

2. For the Board of Supervisors:

- Dismissal of Ms. Tran Hong Van as a member of the Board of Supervisors for the 2021 - 2026 term;

- Dismissal of Ms. Trinh Thi My Le as a member of the Board of Supervisors for the 2021 - 2026 term;
- Dismissal of Ms. Nguyen Thi Duyen as a member of the Board of Supervisors for the 2021 - 2026 term.

Article 12. To approve the election results of the members of the Board of Directors and the members of the Board of Supervisors of VIX Securities Joint Stock Company for a term of five (05) years (2026 – 2031) as follows:

❖ *Election results of the Board of Directors:*

The following individuals have been elected to serve as members of the Board of Directors of VIX Securities Joint Stock Company for a five-year term of (2026 – 2031):

- 1) Mr. Nguyen Tuan Dung
- 2) Ms. Cao Thi Hong

Accordingly, following the election, the Board of Directors of VIX Securities Joint Stock Company consists of the following five (05) members:

1. Mr. Ha Huy Hung (five-year term: 2025 – 2030)
2. Mr. Nguyen Tuan Dung (five-year term: 2026 – 2031)
3. Mr. Do Ngoc Dinh (five-year term: 2025 – 2030)
4. Mr. Phan Duc Linh (five-year term: 2025 – 2030)
5. Ms. Cao Thi Hong (five-year term: 2026 – 2031)

❖ *Election results of the Board of Supervisors:*

The following individuals have been elected to serve as members of the Board of Supervisors of VIX Securities Joint Stock Company for a five-year term (2026 – 2031):

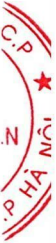
1. Ms. Tran Hong Van
2. Ms. Trinh Thi My Le
3. Ms. Nguyen Thi Duyen

Accordingly, following the election, the Board of Supervisors of VIX Securities Joint Stock Company consists of the following three (03) members:

1. Ms. Tran Hong Van (five-year term: 2026 – 2031)
2. Ms. Trinh Thi My Le (five-year term: 2026 – 2031)
3. Ms. Nguyen Thi Duyen (five-year term: 2026 – 2031)

Article 13. Implementation

This Resolution shall take effect from June 26, 2026. The members of the Board of Directors, the Board of Supervisors and the Executive Management shall be responsible for implementing



this Resolution and organizing its execution within the scope of their respective functions and duties in accordance with the Charter of VIX Securities Joint Stock Company and the applicable laws of Vietnam.

Recipients:

- *As specified in Article 13;*
- *SSC, Stock Exchange;*
- *Shareholders;*
- *Archived at: Administration Office, BOS, BOD.*

**FOR AND ON BEHALF OF THE GENERAL
MEETING OF SHAREHOLDERS
CHAIRPERSON OF THE MEETING**



NGUYEN TUAN DUNG