

CÔNG TY CỔ PHẦN
CHỨNG KHOÁN VIX
VIX SECURITIES
JOINT STOCK COMPANY

CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM
Độc lập - Tự do - Hạnh phúc
THE SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

Số/No: 438/2026/VIX – CBTT

Hà Nội, ngày 26 tháng 06 năm 2026

Ha Noi, June 26, 2026

CÔNG BỐ THÔNG TIN BẤT THƯỜNG
DISCLOSURE OF EXTRAORDINARY INFORMATION

Kính gửi/To:

- Ủy Ban Chứng khoán Nhà nước/*The State Securities Commission*
- Sở Giao dịch Chứng khoán Việt Nam/*Vietnam Stock Exchange*
- Sở Giao dịch Chứng khoán thành phố Hồ Chí Minh/*Ho Chi Minh Stock Exchange*

Tên Công ty: CÔNG TY CỔ PHẦN CHỨNG KHOÁN VIX

Company name: VIX Securities Joint Stock Company

Mã chứng khoán/Ticker: VIX

Địa chỉ trụ sở chính: Tầng 22, số 52 phố Lê Đại Hành, phường Hai Bà Trưng, thành phố Hà Nội.

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Nội dung thông tin công bố/Information content disclosed:

- Biên bản họp và Nghị quyết Đại hội đồng cổ đông thường niên năm 2026/*Minutes and Resolution of the 2026 Annual General Meeting of Shareholders*
- Các tài liệu liên quan của Đại hội/*Documents for the 2026 Annual General Meeting of Shareholders.*

Thông tin này đã được công bố trên trang thông tin điện tử của Công ty vào ngày 26/06/2026 tại đường dẫn/*Detailed information has been published on VIX's website on June 26, 2026 at the following link:*

<https://vixs.vn/qhcd/cong-bo-thong-tin>

Chúng tôi cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố./*We hereby declare to be responsible for the accuracy and completeness of the disclosed information.*

Tài liệu đính kèm/Attachments:

- Biên bản họp và Nghị quyết Đại hội đồng cổ đông thường niên năm 2026/*Minutes and Resolution of the 2026 Annual General Meeting of Shareholders*
- Các tài liệu liên quan của Đại hội/*Documents for the 2026 Annual General Meeting of Shareholders.*

**NGƯỜI ĐƯỢC ỦY QUYỀN
CÔNG BỐ THÔNG TIN
PERSON AUTHORIZED TO
DISCLOSE INFORMATION**



DU VĂN TOÀN

**MINUTES OF THE 2026 ANNUAL GENERAL MEETING OF
SHAREHOLDERS**

VIX SECURITIES JOINT STOCK COMPANY

A. COMPANY'S INFORMATION

Company name: VIX Securities Joint Stock Company

Business code: 0102576064

Headquarter: Floor 22, No. 52 Le Dai Hanh Street, Hai Ba Trung Ward, Ha Noi

License for Establishment and Operation: No. 70/UBCK-GP issued by State Securities Commission of Viet Nam on December 10, 2007.

B. TIME AND VENUE

Time: From 09:00 to 13:00 on June 26, 2026.

Venue: Melia Hanoi Hotel, 44B Ly Thuong Kiet Street, Cua Nam Ward, Hanoi City.

C. MAIN CONTENTS OF THE MEETING

I. Shareholder Eligibility Verification

Ms. **Duong Thi Kim Oanh**, Head of the Shareholder Eligibility Verification Committee, reported the results of the verification of shareholders' eligibility as of the opening ceremony of the General Meeting, as follow:

- According to the shareholder list finalized by the Vietnam Securities Depository and Clearing Corporation (VSDC) on May 27, 2026, VIX Securities Joint Stock Company had 128,002 shareholders holding a total of 2,450,287,772 voting shares.
- The number of shareholders invited to attend the 2026 Annual General Meeting of Shareholders held on June 26, 2026 was 128,002 shareholders, representing a total of 2,450,287,772 voting shares of the Company.
- As of the opening of the Meeting, there were 56 shareholders and authorized representatives in attendance, representing 1,230,212,582 voting shares, equivalent to 50,21% of the total voting shares of VIX Securities Joint Stock Company.
- All shareholders and authorized representatives attending the 2026 Annual General Meeting of Shareholders were duly eligible to attend the Meeting.
- Pursuant to Point a, Clause 1, Article 12 of the Company's Charter, which provides that "each common share carries one voting right", and Clause 1, Article 19 of the Company's Charter, which stipulates that "a General Meeting of Shareholders shall be validly convened when attending shareholders represent more than 50% of the total voting rights",

the 2026 Annual General Meeting of Shareholders of VIX Securities Joint Stock Company has satisfied all conditions for being duly convened and conducted.

II. The Meeting Presidium, Meeting Secretariat and Vote Counting Committee

1. Introduction of the Chairperson of the Meeting

The Organizing Committee introduced Mr. Nguyen Tuan Dung, Chairman of the Board of Directors, as the Chairperson of the Meeting in accordance with Clause 2, Article 146 of the Law on Enterprises No. 59/2020/QH14 and Clause 2, Article 20 of the Charter of VIX Securities Joint Stock Company.

2. The Chairperson appointed the Meeting's Secretariat:

- Mr. Du Van Toan – Person in Charge of Corporate Governance and Secretary of the Board of Directors.

3. Proposal for Approval of Additional Members of the Meeting Presidium:

The Chairperson proposed the General Meeting approve the appointment of the following persons as additional members of the Meeting Presidium:

- Mr. Truong Ngoc Lan – Chief Executive Officer
- Mr. Do Ngoc Dinh – Member of the Board of Directors and Deputy Chief Executive Officer

The General Meeting approved the composition of the Meeting Presidium as follows:

Voting method: Raising voting cards

Voting results:

Contents	Total	Valid	Invalid	Approve	Disapprove	No opinion
Number of votes cast	1,230,212,582	1,230,212,582	0	1,230,212,582	0	0
Percentage of votes cast by attending shareholders	100%	100%	0%	100%	0%	0%

Accordingly, the General Meeting approved the Meeting Presidium with an approval rate of 100%.

The Meeting Presidium consists of:

- Mr. Nguyen Tuan Dung - Chairman of the BOD - Chairperson of the Meeting
- Mr. Truong Ngoc Lan - Chief Executive Officer - Member
- Mr. Do Ngoc Dinh - Member of the BOD, Deputy Chief Executive Officer - Member

4. The Chairperson proposed for approval of Vote Counting Committee:

The Chairperson proposed that the General Meeting approve the following members of the Vote Counting Committee:

- Ms. Duong Thi Kim Oanh - Head of Vote Counting Committee
- Ms. Nguyen Bich Le - Member
- Ms. Nguyen Thanh Loan - Member

The General Meeting approved the composition of the Vote Counting Committee as follows:

Voting method: Raising voting cards

Voting results:

Contents	Total	Valid	Invalid	Approve	Disapprove	No opinion
Number of votes cast	1,230,212,582	1,230,212,582	0	1,230,212,582	0	0
Percentage of votes cast by attending shareholders	100%	100%	0%	100%	0%	0%

Accordingly, the General Meeting approved the composition of the Vote Counting Committee with an approval rate of 100%.

III. Regulation and Agenda of the Meeting

1. Regulation of the General Meeting

The Organizing Committee presented the Regulation of the 2026 Annual General Meeting. Accordingly, a resolution of the Annual General Meeting of Shareholders shall be approved by shareholders representing more than 50% of the total voting rights of all attending shareholders. In particular, the approval of the share issuance plan for dividend payment shall require the approval of shareholders representing at least 65% of the total voting rights of all attending shareholders.

The General Meeting approved the Regulation of the General Meeting as follows:

Voting method: Raising voting cards

Voting results:

Contents	Total	Valid	Invalid	Approve	Disapprove	No opinion
Number of votes cast (*)	1,233,719,373	1,233,719,373	0	1,233,719,373	0	0
Percentage of votes cast by attending shareholders	100%	100%	0%	100%	0%	0%

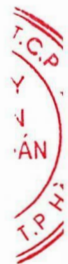
(*) At this point, an additional 87 shareholders attended the Meeting.

Accordingly, the General Meeting approved the Regulation of the General Meeting with an approval rate of 100%.

2. Agenda of the General Meeting:

The Organizing Committee presented the Agenda of the General Meeting, including the following matters:

- a. Reports on business operations:
 - ✓ Independent Auditor's report and 2025 Audited summary Financial Statement.
 - ✓ Report of the Board of Directors (BOD) on corporate governance and performance of the Board of Directors and each member for 2025.
 - ✓ Report of the Board of Supervisor (BOS) on the company's business results, the performance of the Board of Supervisor and the Executive Management for 2025.
 - ✓ 2025 Self – assessment report on the performance of the Board of Supervisor and each member.
- b. Proposals for shareholders approval:
 - ✓ Proposal regarding approval of 2025 Audited Financial Statements, 2025 Business results, and 2025 Profit distribution plan.
 - ✓ Proposal regarding approval of remuneration and other benefits for BOD and BOS members for 2025 and the remuneration plan for the BOD and BOS for 2026.
 - ✓ Proposal regarding 2026 Business plan.
 - ✓ Proposal regarding approval of the List of independent Auditing firms for auditing the 2026 Financial Statements.
 - ✓ Proposal regarding approval of the issuance plan for payment of 2025 dividends.
 - ✓ Proposal regarding provision of Securities clearing and settlement services under the Central Counterparty Mechanism.
 - ✓ Proposal regarding approval of amendments and supplements to the Company Charter of VIX Securities Joint Stock Company.
- c. Discussion: Shareholders's comments and questions, and Company's responses.
- d. Dismissal and election of members of BOD/BOS.
 - ✓ Proposal regarding approval of dismissal of members of the Board of Directors and members of the Board of Supervisor upon completion of 2021 – 2026 term.
 - ✓ Regulations for election of BOD and BOS members for the 2026 – 2031 term.
 - ✓ Proposal regarding the list of nominees and candidates for election of BOD and BOS members for the 2026 – 2031 term.
- e. Voting and Election:
 - ✓ Voting on matters presented and submitted to the General Meeting for approval; election of members of the Board of Directors and the Board of Supervisors.
 - ✓ Break time.
 - ✓ Announcement of the voting results and the results of the election of members of the Board of Directors and the Board of Supervisor.



f. Closing of the General Meeting:

- ✓ Presentation of the Minutes of the 2026 Annual General Meeting of Shareholders.
- ✓ Voting on the approval of the Minutes of the 2026 Annual General Meeting of Shareholders.
- ✓ Declaration of the closure of the General Meeting.

The General Meeting approved the agenda of the Meeting as follows:

Voting method: Raising voting cards

Voting results:

Contents	Total	Valid	Invalid	Approve	Disapprove	No opinion
Number of votes cast (*)	1,235,234,978	1,235,234,978	0	1,235,234,978	0	0
Percentage of votes cast by attending shareholders	100%	100%	0%	100%	0%	0%

(*) At this point, an additional 05 shareholders attended the Meeting.

Accordingly, the General Meeting approved the agenda of the Meeting with an approval rate of 100%.

IV. Reports and Proposals at the Meeting

STT	Reports and Proposals	Presenter
1	Independent Auditor's report and 2025 Audited summary financial statement	Ms. Nguyen Thi Thu Hang – Chief Accountant
2	Report of the Board of Directors on corporate governance and performance of the Board of Directors and each member for 2025	Mr. Do Ngoc Dinh - Member of BOD, Deputy CEO
3	Report of the Board of Supervisor on the company's business results, the performance of the Board of Supervisor and the Executive Management for 2025	Ms. Tran Hong Van – Head of BOS
4	2025 Self – assessment report on the performance of the Board of Supervisor and each member	Ms. Tran Hong Van – Head of BOS
5	Proposal regarding approval of 2025 Audited Financial Statement, 2025 Business Results, and 2025 Profit distribution plan	Ms. Nguyen Thi Thu Hang – Chief Accountant

STT	Reports and Proposals	Presenter
6	Proposal regarding approval of remuneration and other benefits for BOD and BOS members for 2025 and the remuneration plan for the BOD and BOS for 2026	Ms. Nguyen Thi Thu Hang – Chief Accountant
7	Proposal regarding 2026 Business plan	Ms. Nguyen Thi Thu Hang – Chief Accountant
8	Proposal regarding approval of the List of independent Auditing firms for Auditing the 2026 Financial Statements	Ms. Tran Hong Van – Head of BOS
9	Proposal regarding approval of the issuance plan for payment of 2025 dividends	Mr. Truong Ngoc Lan – CEO
10	Proposal regarding provision of securities clearing and settlement services under the Central Counterparty Mechanism	Mr. Truong Ngoc Lan – CEO
11	Proposal regarding approval of amendments and supplements to the Company Charter of VIX Securities Joint Stock Company	Mr. Truong Ngoc Lan – CEO

V. Question and Answer Session at the Meeting

Shareholders' question/suggestion at the 2026 AGM:

- Question 1: The Company's 2026 business plan appeared to be lower compared to the 2025 results. Could the Chairperson explain?*

Answer: The Company's Management carefully assessed the expected market conditions for 2026, which are anticipated to present more challenges and be less favorable than those in 2025. Accordingly, the business plan submitted to the General Meeting were considered appropriate. All employees of the Company shall need to make effort to achieve the business plan.

- Question 2: The Company has successfully completed its capital increases for 2025 and the first six months of 2026 and is currently among the securities companies with strong financial resources. Could the Management please provide information on the current management and utilization of the capital, and confirm whether the use of proceeds has been in line with the capital increase plan approved by the shareholders?*

Answer: The Board of Directors and the Management consistently comply with the Company's Charter and applicable regulations governing investment restrictions and the authority over the use of capital. In addition, the Company has adopted internal regulations on delegated authority for corporate governance and management, including approval limits for capital utilization, ensuring compliance with applicable regulations while maintaining operational flexibility in response to market conditions and investment activities. The

Management confirms that the Company's capital has been utilized in accordance with the capital increase plan approved by the shareholders.

3. *Question 3: The information on the Company's major shareholder structure and the margin lending balance as of the end of 2025 and the first half of 2026?*

Answer: The Company currently has no major shareholders (ownership of 5% or more of the outstanding shares). The margin lending balance at the end of the second quarter of 2026 is approximately VND 16 trillion.

4. *Question 4: Could the Company update the operation and capacity of the Company's information technology (IT) system following the official implementation of the KRX system in 2025?*

Answer: Since the official launch of the KRX system in May 2025, the Company's IT system has operated in a stable and secure manner, with no incidents recorded. Over the past two years, the Company has continued to invest in IT infrastructure, connectivity and human resources to enhance system performance and maintain fast and accurate order processing. The Company also cooperates with reputable technology partners and information security service providers to strengthen the protection of customers' assets and information. In addition, the Company's hardware and software platforms have been prepared to support the rollout of new products in anticipation of future market developments, including market upgrading and the introduction of additional products.

5. *Question 5: Could the Company please update shareholders on the progress of the digital asset project in which it is participating as an equity investor?*

Answer: The Company has contributed capital to VIX Crypto Assets Exchange Joint Stock Company (VIXEX). VIXEX is currently among the entities under consideration for licensing, subject to compliance with applicable regulatory requirements. The timing of the official licensing and commencement of operations remains subject to the decisions of the competent authorities. The Company has prepared the necessary technology, capital, and human resources to support implementation once the relevant approvals are obtained.

6. *Shareholder's Suggestion: The Company should consider applying artificial intelligence (AI) to its research, investment and stock advisory activities.*

Answer: The Company's Management welcomes and thanks the shareholder for the suggestion. The Deputy CEO in charge of Information Technology is assigned to liaise with the shareholder to further review the proposal for consideration and possible implementation, subject to the Company's operational requirements and capabilities.

D. DISMISSAL AND NOMINATION OF CANDIDATES FOR THE ELECTION OF MEMBERS OF THE BOARD OF DIRECTORS AND THE BOARD OF SUPERVISOR FOR THE 2026–2031 TERM

1. Approval of the Dismissal of Members of the Board of Directors and the Board of Supervisors upon completion of 2021 – 2026 term

- Ms. Duong Thi Kim Oanh, Head of the Vote Counting Committee, presented the Proposal on the dismissal of members of the Board of Directors and the Board of Supervisor upon

completion of the 2021–2026 term.

Voting method: Raising voting cards

Voting results:

Contents	Total	Valid	Invalid	Approve	Disapprove	No opinion
Number of votes cast (*)	1,236,811,574	1,236,811,574	0	1,236,811,574	0	0
Percentage of votes cast by attending shareholders	100%	100%	0%	100%	0%	0%

(*) At this point, an additional 04 shareholders attended the Meeting.

Accordingly, the General Meeting approved the dismissal of members of the BOD/BOS with an approval rate of 100%.

2. Approval of the election regulations for members of the Board of Directors and the Board of Supervisor for the 2026–2031 term

Ms. Duong Thi Kim Oanh, Head of the Vote Counting Committee, presented the Proposal on the approval of the Election regulations for members of the Board of Directors and the Board of Supervisor for the 2026–2031 term.

Voting method: Raising voting cards

Voting results:

Contents	Total	Valid	Invalid	Approve	Disapprove	No opinion
Number of Votes cast	1,236,811,574	1,236,811,574	0	1,224,821,703	0	11,989,871
Percentage of votes cast by attending shareholders	100%	100%	0%	99.03%	0%	0.97%

Accordingly, the General Meeting approved of the election regulations with an approval rate of 99.03%.

3. Introduction and Approval of the List of nominated and self-nominated candidates for election to the Board of Directors and the Board of Supervisor for the 2026–2031 term.

Ms. Duong Thi Kim Oanh, Head of the Vote Counting Committee, presented the Proposal of the Board of Directors regarding the approval of the list of nominated and self-nominated candidates for election to the Board of Directors and the Board of Supervisor, including:

- a. Approval of the list of nominated and self-nominated candidates for election to the Board of

Directors, including:

No.	Full name	ID number, date of issued, place of issued	Professional qualifications	Nominated by
1	Nguyen Tuan Dung	No.: 001077036221, issued date: 08/12/2021, place of issue: Police Department for Administrative Management of Social Order	Bachelor	Shareholder/ group of shareholders
2	Cao Thi Hong	No.: 038174027295, issued date: 26/06/2021, place of issue: Police Department for Administrative Management of Social Order	Master	Shareholder/ group of shareholders

b. Approval of the list of nominated and self-nominated candidates for election to the Board of Supervisor, including:

No.	Full name	ID number, date of issued, place of issued	Professional qualifications	Nominated by
1	Tran Hong Van	No.: 001180002518, issued date 19/04/2021, place of issue: Police Department for Administrative Management of Social Order	Bachelor	Shareholder/ group of shareholders
2	Trịnh Thị Mỹ Lệ	No.: 036190000230, issued date 25/04/2021, place of issue: Police Department for Administrative Management of Social Order	Bachelor	Shareholder/ group of shareholders
3	Nguyễn Thị Duyên	No.: 001178014599, issued date: 22/11/2021, place of issue: Police Department for Administrative Management of Social Order	Bachelor	Shareholder/ group of shareholders

The Meeting approved the List of nominated and self-nominated candidates for election to the Board of Directors and the Board of Supervisor for the 2026–2031 term, as follows:

Voting method: Raising voting cards

Voting results:

Contents	Total	Valid	Invalid	Approve	Disapprove	No opinion
Number of Votes cast	1,236,811,574	1,236,811,574	0	1,236,811,574	0	0
Percentage of votes cast by attending shareholders	100%	100%	0%	100%	0%	0%

Accordingly, the General Meeting approved the List of nominated and self-nominated candidates for election to the BOD and the BOS with an approval rate of 100%.

E. SECRET BALLOT VOTING ON MATTERS PRESENTED TO THE GENERAL MEETING

The shareholders cast their votes by secret ballot to approve the reports and proposals presented to the General Meeting.

Ms. Duong Thi Kim Oanh, Head of the Vote Counting Committee, on behalf of the Vote Counting Committee, reported the status of voting ballots issued to shareholders as of the vote counting time as follows:

I. Report on voting ballots issued to Shareholders

Items	Number of Ballots	Number of voting rights represented
Voting ballots issued (*)	152	1,236,811,574
Voting ballots collected	121	1,231,114,625
Number of votes not participating in the voting	31	5,696,949

II. Results of the Voting Ballot Count:

- Approval of the Report of the Board of Directors on on corporate governance and performance of the Board of Directors and each member for 2025.

Results of the Voting Ballot Count:

Contents	Total	Valid	Invalid	Approve	Disapprove	No opinion
Number of votes cast	1,231,114,625	1,230,969,365	145,260	1,228,611,569	0	2,357,796
Percentage of votes cast by attending shareholders	99.540%	99.528%	0.012%	99.337%	0%	0.191%

2. Approval of the Board of Supervisor on the company's business results, the performance of the Board of Supervisor and the Executive Management for 2025

Results of the Voting Ballot Count:

Contents	Total	Valid	Invalid	Approve	Disapprove	No opinion
Number of votes cast	1,231,114,625	1,230,969,365	145,260	1,229,487,469	0	1,481,896
Percentage of votes cast by attending shareholders	99.540%	99.528%	0.012%	99.408%	0%	0.120%

3. Approval of the 2025 self – assessment report on the performance of the Board of Supervisor and each member

Results of the Voting Ballot Count:

Contents	Total	Valid	Invalid	Approve	Disapprove	No opinion
Number of votes cast	1,231,114,625	1,230,953,365	161,260	1,228,594,269	0	2,359,096
Percentage of votes cast by attending shareholders	99.540%	99.527%	0.013%	99.336%	0%	0.191%

4. Approval of the proposal regarding approval of 2025 Audited Financial Statements, 2025 Business results, and 2025 Profit distribution plan

Results of the Voting Ballot Count:

Contents	Total	Valid	Invalid	Approve	Disapprove	No opinion
Number of votes cast	1,231,114,625	1,230,953,365	161,260	1,228,594,269	0	2,359,096
Percentage of votes cast by attending shareholders	99.540%	99.527%	0.013%	99.336%	0%	0.191%

5. Approval of the Proposal regarding approval of remuneration and other benefits for BOD and BOS Members for 2025 and the remuneration plan for the BOD and BOS for 2026

Results of the Voting Ballot Count:

Contents	Total	Valid	Invalid	Approve	Disapprove	No opinion
Number of Votes cast	1,231,114,625	1,230,969,365	145,260	1,228,122,329	475,992	2,371,044
Percentage of votes cast by attending shareholders	99.540%	99.528%	0.012%	99.297%	0.038%	0.193%

6. Approval of the Proposal regarding 2026 Business plan

Results of the Voting Ballot Count:

Contents	Total	Valid	Invalid	Approve	Disapprove	No opinion
Number of Votes cast	1,231,114,625	1,230,969,365	145,260	1,229,287,795	146,594	1,534,976
Percentage of votes cast by attending shareholders	99.540%	99.528%	0.012%	99.392%	0.012%	0.124%

7. Approval of the Proposal regarding Approval of the List of independent Auditing firms for auditing the 2026 Financial Statements

Results of the Voting Ballot Count:

Contents	Total	Valid	Invalid	Approve	Disapprove	No opinion
Number of votes cast	1,231,114,625	1,230,969,365	145,260	1,193,509,023	0	37,460,342
Percentage of votes cast by attending shareholders	99.540%	99.528%	0.012%	96.499%	0%	3.029%

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8. Approval of the proposal regarding approval of the issuance plan for payment of 2025 dividends

Results of the Voting Ballot Count:

Contents	Total	Valid	Invalid	Approve	Disapprove	No opinion
Number of votes cast	1,231,114,625	1,230,969,365	145,260	1,227,631,875	957,626	2,379,864
Percentage of votes cast by attending shareholders	99.540%	99.528%	0.012%	99.258%	0.077%	0.193%

9. Approval of the proposal regarding provision of securities clearing and settlement services under the Central Counterparty Mechanism

Results of the Voting Ballot Count:

Contents	Total	Valid	Invalid	Approve	Disapprove	No opinion
Number of votes cast	1,231,114,625	1,230,968,065	146,560	1,229,465,401	100	1,502,564
Percentage of votes cast by attending shareholders	99.540%	99.528%	0.012%	99.406%	0.00%	0.122%

10. Approval of the Proposal regarding approval of amendments and supplements to the Company Charter of VIX Securities Joint Stock Company

Results of the Voting Ballot Count:

Contents	Total	Valid	Invalid	Approve	Disapprove	No opinion
Number of votes cast	1,231,114,625	1,230,969,365	145,260	1,229,430,921	0	1,538,444
Percentage of votes cast by attending shareholders	99.540%	99.528%	0.012%	99.403%	0%	0.125%

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F. MATTERS APPROVED BY SECRET BALLOT AT THE GENERAL MEETING AND THE CORRESPONDING APPROVAL VOTING RESULTS

Pursuant to the regulations of the Organization of the General Meeting, the Company's Charter and the applicable laws and regulations, the following matters were approved by secret ballot at the General Meeting:

I. Voting on matters presented at the AGM:

No.	Matters approved at the AGM	Corresponding approval voting rates
1	Approved the report of the Board of Directors on on corporate governance and performance of the Board of Directors and each member for 2025	99.337%
2	Approved the report of the Board of Supervisor on the company's business results, the performance of the Board of Supervisor and the Executive Management for 2025	99.408%
3	Approved the 2025 self – assessment report on the performance of the Board of Supervisor and each member	99.336%
4	Approved the proposal regarding approval of 2025 Audited Financial Statements, 2025 Business results, and 2025 Profit distribution plan	99.336%
5	Approved the proposal regarding approval of remuneration and other benefits for BOD and BOS members for 2025 and the remuneration plan for the BOD and BOS for 2026	99.297%
6	Approved the proposal regarding 2026 Business plan	99.392%
7	Approved the proposal regarding approval of the list of independent Auditing firms for auditing the 2026 Financial Statements	96.499%
8	Approved the proposal regarding approval of the issuance plan for payment of 2025 dividends	99.258%
9	Approved the proposal regarding provision of securities clearing and settlement services under the Central Counterparty Mechanism	99.406%
10	Approved the proposal regarding approval of amendments and supplements to the Company Charter of VIX Securities Joint Stock Company	99.403%



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II. Election members of the BOD/BOS for the 2026-2031 term:

1. Election of members of the Board of Directors for the 2026–2031 term:

In accordance with the Regulations for election approved by the General Meeting of Shareholders, the election of members of the Board of Directors was conducted using the cumulative voting method. The voting results for the election of members of the Board of Directors were as follows:

Contents	Number of voting ballots	Number of votes
Number of voting ballots issued	152	1,236,811,574
Number of ballots not participating in the election	24	5,400,728
Number of ballots collected	128	1,231,410,846
Number of invalid ballots	17	568,899
Number of valid ballots	111	1,230,841,947

Results of the election:

No	Full name	Number of votes	Voting rates
1	Nguyen Tuan Dung	1,188,622,650	96.10%
2	Cao Thị Hồng	1,165,300,824	94.22%

Conclusion: Based on the foregoing voting results, the following individuals were elected as members of the Board of Directors of VIX Securities Joint Stock Company for the 2026–2031 term:

1. Mr. Nguyen Tuan Dung
2. Ms. Cao Thị Hồng

Accordingly, following the election, the Board of Directors of VIX Securities Joint Stock Company comprises the following five (05) members:

No.	Full name	Term
1	Ha Huy Hung	2025 - 2030
2	Do Ngoc Dinh	2025 - 2030
3	Phan Duc Linh	2025 - 2030
4	Nguyen Tuan Dung	2026 - 2031
5	Cao Thị Hồng	2026 - 2031

2. Election of Members of the Board of Supervisor for the 2026–2031 term:

In accordance with the Regulations for election approved by the General Meeting of Shareholders, the election of members of the Board of Supervisor was conducted using the cumulative voting method. The voting results for the election of members of the Board of Supervisor were as follows:

Contents	Number of voting ballots	Number of votes
Number of voting ballots issued	152	1,236,811,574
Number of ballots not participating in the election	27	6,129,668
Number of ballots collected	125	1,230,681,906
Number of invalid ballots	15	33,126,072
Number of valid ballots	110	1,197,555,834

Results of the election:

No	Full name	Number of votes	Voting rates
1	Tran Hong Van	1,197,461,173	96.81%
2	Trinh Thi My Le	1,164,606,418	94.16%
3	Nguyen Thi Duyen	1,167,185,384	94.37%

Conclusion: Based on the foregoing voting results, the following individuals were elected as members of the Board of Supervisor of VIX Securities Joint Stock Company for the 2026–2031 term:

1. Ms. Tran Hong Van
2. Ms. Trinh Thi My Le
3. Ms. Nguyen Thi Duyen

Accordingly, following the election, the Board of Supervisor of VIX Securities Joint Stock Company comprises the following three (03) members:

No.	Full name	Term
1	Tran Hong Van	2026 - 2031
2	Trinh Thi My Le	2026 - 2031
3	Nguyen Thi Duyen	2026 - 2031

G. APPROVE THE MINUTES OF 2026 ANNUAL GENERAL MEETING OF SHAREHOLDERS

Mr. Du Van Toan, Secretary of the General Meeting, read the full text of the Minutes of the 2026 Annual General Meeting of Shareholders to the General Meeting.

The Chairperson and the Secretary of the General Meeting shall be responsible for the truthfulness, accuracy and completeness of these Minutes.

The General Meeting of Shareholders approved the Minutes of the 2026 Annual General Meeting of Shareholders of VIX Securities Joint Stock Company as follows:

Voting method: Raising voting cards

Voting results:

Contents	Total	Valid	Invalid	Approve	Disapprove	No opinion
Number of votes cast	1,236,811,574	1,236,811,574	0	1,236,811,574	0	0
Percentage of votes cast by attending shareholders	100%	100%	0%	100%	0%	0%

Accordingly, the General Meeting approved the Minutes of the 2026 Annual General Meeting of Shareholders with an approval rate of 100%.

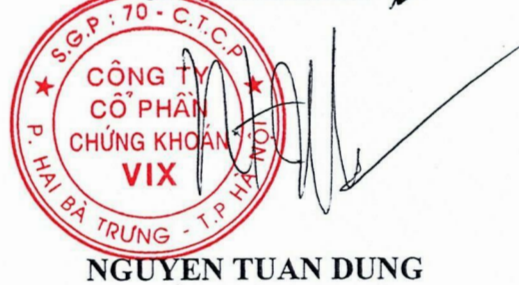

The 2026 Annual General Meeting of Shareholders of VIX Securities Joint Stock Company was concluded at 13:00 on June 26, 2026.

SECRETARY OF THE AGM



DU VAN TOAN

CHAIRPERSON

NGUYEN TUAN DUNG

No.: 01/2026/VIX/NQ-DHCD

Hanoi, June 26, 2026

RESOLUTION
THE 2026 ANNUAL GENERAL MEETING OF SHAREHOLDERS
VIX SECURITIES JOINT STOCK COMPANY

Pursuant to:

- Law on Enterprises No. 59/2020/QH14 dated June 17, 2020 and its amending, supplementing and implementing regulations;
- Law on Securities No. 54/2019/QH14 dated November 26, 2019 and its amending, supplementing and implementing regulations;
- The Charter of VIX Securities Joint Stock Company;
- The Vote Counting Minutes of the 2026 Annual General Meeting of Shareholders of VIX Securities Joint Stock Company dated June 26, 2026;
- The Minutes of the 2026 Annual General Meeting of Shareholders of VIX Securities Joint Stock Company dated June 26, 2026.

RESOLVES

Article 1. To approve the 2025 audited financial statements, the 2025 business results, and the 2025 profit distribution plan as follows:

1. To approve the 2025 financial statements audited by Ernst & Young Vietnam Co., Ltd

The full 2025 Audited Financial Statements of VIX Securities Joint Stock Company has been published on the Company's website at the following link: <https://vixs.vn/bao-cao>

2. To approve the business results for 2025 with the following key indicators:

Unit: VND

No.	Financial Indicator	2025 Plan	Audited 2025 Financial Statements	% of Plan Achieved
(1)	(2)	(3)	(4)	(5)=(4)/(3)
1	Profit before tax	6,500,000,000,000	6,717,012,326,793	103
2	Profit after tax	5,200,000,000,000	5,410,022,178,202	104

3. To approve the 2025 profit distribution plan as follows:

Unit: VND

No.	Indicator	Notes	Amount
1	Profit after tax realized in 2025		2,418,840,995,032

2	Undistributed profit after tax realized from prior period carried forward		80,316,215,847
3	Total undistributed profit after tax realized as at December 31, 2025	(3) = (1) + (2)	2,499,157,210,879
4	2025 dividend payment at a rate of 5% in the form of shares	Estimated	1,225,143,886,000
5	Remaining undistributed profit after tax realized to be carried forward to next year	Estimated	1,274,013,324,879

The General Meeting of Shareholders approves a dividend payment for 2025 at a rate of 5% in the form of shares, to be distributed from the Company's undistributed realized profit after tax as reflected in the 2025 Audited Financial Statements. This dividend amount is calculated based on the Company's current charter capital of VND 24,502,877,720,000.

Article 2. To approve the Report of the Board of Directors on corporate governance and the performance of the Board of Directors and each member for 2025.

Article 3. To approve the Report of the Board of Supervisors on the Company's business results, the performance of the Board of Directors and the Executive Management for 2025.

Article 4. To approve the 2025 self-assessment report on the performance of the Board of Supervisors and each member.

Article 5. To approve the remuneration and other benefits for the Board of Directors and the Board of Supervisors members for 2025 and the remuneration plan for the Board of Directors and the Board of Supervisors members for 2026 as follows:

1. To approve the remuneration of the Board of Directors and the Board of Supervisors members for 2025 as follows:

No.	Board/Committee	Remuneration for the period from January 1, 2025 to December 31, 2025 (VND).
1	Board of Directors	2,006,000,000
2	Board of Supervisors	144,000,000
	Total remuneration for the Board of Directors and the Board of Supervisors for 2025	2,150,000,000

2. To approve the remuneration plan and operating expenses budget for the Board of Directors and the Board of Supervisors for 2026 as follows:

- The Board of Directors and the Board of Supervisors members shall serve and receive remuneration on a full-time or part-time basis. In the event that the 2026 profit after tax plan approved by the General Meeting of Shareholders is met or exceeded, the total remuneration for the Board of Directors and the Board of Supervisors for 2026 shall not exceed 1% of the Company's 2026 profit after tax, the specific remuneration amount shall be determined by the Board of Directors.
- If the Company fails to achieve the 2026 profit after tax plan, the remuneration for the Board of Directors and the Board of Supervisors shall not exceed VND 5 billion, the specific amount shall be determined by the Board of Directors based on actual circumstances.
- Up to 0.2% of the 2026 profit after tax shall be allocated to supplement the operating expenses of the Board of Directors and the Board of Supervisors.

Article 6. To approve the 2026 business plan

The General Meeting of Shareholders approves the 2026 business plan with the following key targets:

No.	Indicator	Unit	2026 Plan
1	Profit before tax	VND billion	3,500
2	Profit after tax	VND billion	2,800

Article 7. To approve the list of independent auditing firms for auditing the 2026 financial statements as follows:

1. The list of audit firms proposed for selection includes:
 - Ernst & Young Vietnam Co., Ltd.;
 - PwC (Vietnam) Co., Ltd.;
 - Deloitte Vietnam Audit Co., Ltd.;
 - KPMG Co., Ltd.;
2. The General Meeting of Shareholders authorizes the Board of Directors to select one of the above audit firms to enter into an audit agreement for the the 2026 financial statements in accordance with applicable laws.

Article 8. To approve the share issuance plan for payment of 2025 dividend, with the principal terms as follows:

1. Exercise ratio: 20:1 (equivalent to a stock dividend ratio of 5%).
2. Treatment of fractional shares: Additional shares issued for dividend payment to existing shareholders shall be rounded down to the nearest whole share. Fractional shares (if any) shall be cancelled.

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3. Implementation timeline: After the approval by the General Meeting of Shareholders and acceptance by the State Securities Commission of Vietnam (expected in Quarter 3, 2026).
4. Expected changes in the Company's charter capital after the issuance:
 - + Charter capital before the issuance: VND 24,502,877,720,000;
 - + Number of shares before the issuance: 2,450,287,772 shares;
 - + Total expected additional shares to be issued: 122,514,388 shares;
 - + Total expected issuance value at par value: VND 1,225,143,880,000;
 - + Expected charter capital after the issuance: VND 25,728,021,600,000.

(Details are provided in Proposal No. 05/2026/VIX/TT-HDQT dated June 5, 2026 of the Board of Directors attached to this Resolution.)

5. Approval for additional securities depository registration and listing registration:

The General Meeting of Shareholders approves the registration (adjustment to information on the registered number of securities) and additional depository registration of all additionally issued shares at the Vietnam Securities Depository and Clearing Corporation, as well as the additional listing registration of all such shares at the Stock Exchange where the Company's shares are listed, and is authorizes the Board of Directors to implement and complete all related procedures immediately upon completion of the issuance.

6. Approval of authorization from the General Meeting of Shareholders to the Board of Directors:

The General Meeting of Shareholders authorizes the Board of Directors to decide on the following matters relating to the issuance:

- To determine the issuance timing and prepare and finalize the dossier for the dividend share issuance for submission to the State Securities Commission of Vietnam. Where amendments or supplements are required by the State Securities Commission of Vietnam, the Board of Directors shall be authorized to make such amendments and supplements in accordance with the recommendations or requests of the State Securities Commission of Vietnam.
- To determine the appropriate record date and other relevant timelines relating to the implementation of the share issuance in accordance with regulations.
- To amend and supplement Clause 1, Article 6 of the Company's Charter (regarding charter capital and number of shares) and other relevant provisions of the Charter based on the actual issuance results.
- To undertake procedures for amendment and adjustment of the Company's Establishment and Operation License and Enterprise Registration Certificate relating to changes in charter capital in accordance with the actual issuance results.

- To undertake procedures for registration (adjustment to information on registered number of securities), additional depository registration of all issued shares at the Vietnam Securities Depository and Clearing Corporation and additional listing registration of all such shares at the Stock Exchange where the Company's shares are listed.
- In addition to the matters stated above, the General Meeting of Shareholders also approves authorization for the Board of Directors to decide all other related matters arising during the implementation of the share issuance for dividend payment in accordance with regulations.

Article 9. To approve the provision of securities clearing and settlement services under the Central Counterparty Mechanism, specifically as follows:

- To approve for the Company to proceed with implementing activities relating to the provision of securities clearing and settlement services under the Central Counterparty Mechanism.
- To delegate/authorize to the Board of Directors to undertake the registration procedures and other related procedures with the competent regulatory authorities; to organize the implementation of the aforementioned services; and to resolve related matters as they arise in accordance with applicable law and the Company Charter. The Board of Directors shall be permitted to sub-delegate authority to the Chief Executive Officer to decide and implement tasks within the permitted scope of such authorization.

(Details are provided in Proposal No. 06/2026/VIX/TT-HDQT dated June 5, 2026 of the Board of Directors attached to this Resolution.)

Article 10. To approve of Amendments and Supplements to the Company Charter of VIX Securities Joint Stock Company

(Details are provided in Proposal No. 07/2026/VIX/TT-HDQT dated June 5, 2026 of the Board of Directors attached to this Resolution.)

Article 11. To approve of the dismissal of members of the Board of Directors and members of the members of the Board of Supervisors of VIX Securities Joint Stock Company upon completion of the 2021 - 2026 term, as follows:

1. For the Board of Directors:

- Dismissal of Mr. Nguyen Tuan Dung as a member of the Board of Directors for the 2021 - 2026 term;
- Dismissal of Ms. Cao Thi Hong as a member of the Board of Directors for the 2021 - 2026 term;

2. For the Board of Supervisors:

- Dismissal of Ms. Tran Hong Van as a member of the Board of Supervisors for the 2021 - 2026 term;

- Dismissal of Ms. Trinh Thi My Le as a member of the Board of Supervisors for the 2021 - 2026 term;
- Dismissal of Ms. Nguyen Thi Duyen as a member of the Board of Supervisors for the 2021 - 2026 term.

Article 12. To approve the election results of the members of the Board of Directors and the members of the Board of Supervisors of VIX Securities Joint Stock Company for a term of five (05) years (2026 – 2031) as follows:

❖ *Election results of the Board of Directors:*

The following individuals have been elected to serve as members of the Board of Directors of VIX Securities Joint Stock Company for a five-year term of (2026 – 2031):

- 1) Mr. Nguyen Tuan Dung
- 2) Ms. Cao Thi Hong

Accordingly, following the election, the Board of Directors of VIX Securities Joint Stock Company consists of the following five (05) members:

1. Mr. Ha Huy Hung (five-year term: 2025 – 2030)
2. Mr. Nguyen Tuan Dung (five-year term: 2026 – 2031)
3. Mr. Do Ngoc Dinh (five-year term: 2025 – 2030)
4. Mr. Phan Duc Linh (five-year term: 2025 – 2030)
5. Ms. Cao Thi Hong (five-year term: 2026 – 2031)

❖ *Election results of the Board of Supervisors:*

The following individuals have been elected to serve as members of the Board of Supervisors of VIX Securities Joint Stock Company for a five-year term (2026 – 2031):

1. Ms. Tran Hong Van
2. Ms. Trinh Thi My Le
3. Ms. Nguyen Thi Duyen

Accordingly, following the election, the Board of Supervisors of VIX Securities Joint Stock Company consists of the following three (03) members:

1. Ms. Tran Hong Van (five-year term: 2026 – 2031)
2. Ms. Trinh Thi My Le (five-year term: 2026 – 2031)
3. Ms. Nguyen Thi Duyen (five-year term: 2026 – 2031)

Article 13. Implementation

This Resolution shall take effect from June 26, 2026. The members of the Board of Directors, the Board of Supervisors and the Executive Management shall be responsible for implementing

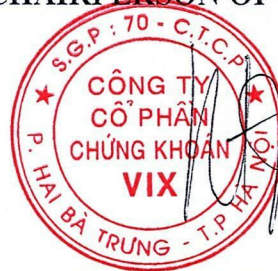


this Resolution and organizing its execution within the scope of their respective functions and duties in accordance with the Charter of VIX Securities Joint Stock Company and the applicable laws of Vietnam.

Recipients:

- *As specified in Article 13;*
- *SSC, Stock Exchange;*
- *Shareholders;*
- *Archived at: Administration Office, BOS, BOD.*

**FOR AND ON BEHALF OF THE GENERAL
MEETING OF SHAREHOLDERS
CHAIRPERSON OF THE MEETING**



NGUYEN TUAN DUNG