

CÔNG TY CỔ PHẦN
CHỨNG KHOÁN VIX
VIX SECURITIES
JOINT STOCK COMPANY

CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM
Độc lập - Tự do - Hạnh phúc
THE SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

Số/No: 405/2026/VIX – CBTT

Hà Nội, ngày 05 tháng 06 năm 2026

Ha Noi, June 5, 2026

CÔNG BỐ THÔNG TIN BẤT THƯỜNG
DISCLOSURE OF EXTRAORDINARY INFORMATION

Kính gửi/To:

Ủy Ban Chứng khoán Nhà nước
The State Securities Commission
Sở Giao dịch Chứng khoán Việt Nam
Vietnam Stock Exchange
Sở Giao dịch Chứng khoán thành phố Hồ Chí Minh
Ho Chi Minh Stock Exchange

Tên Công ty: CÔNG TY CỔ PHẦN CHỨNG KHOÁN VIX

Company name: VIX Securities Joint Stock Company

Mã chứng khoán/Ticker: **VIX**

Địa chỉ trụ sở chính: Tầng 22, số 52 phố Lê Đại Hành, phường Hai Bà Trưng, thành phố Hà Nội.

Address: Floor 22, No. 52 Le Dai Hanh Street, Hai Ba Trung Ward, Ha Noi, Vietnam

Điện thoại: (024) 4456 8888, số máy lẻ: 5103; Fax: (024) 3978 5380

Telephone: (024) 4456 8888, Ext: 5103; Fax: (024) 3978 5380

Email: info@vixs.vn

Nội dung thông tin công bố/Information content disclosed:

- Thông báo mời họp Đại hội đồng cổ đông thường niên năm 2026/*Notice of Invitation to the 2026 Annual General Meeting of Shareholders.*
- Các tài liệu liên quan của Đại hội/*Documents for the 2026 Annual General Meeting of Shareholders.*

Thông tin này đã được công bố trên trang thông tin điện tử của Công ty vào ngày 05/06/2026 tại đường dẫn/*Detailed information has been published on VIX's website on June 5, 2026 at the following link:*

<https://vixs.vn/qhcd/cong-bo-thong-tin>

Chúng tôi cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố./*We hereby declare to be responsible for the accuracy and completeness of the disclosed information.*

Tài liệu đính kèm/Attachments:

- Thông báo mời họp Đại hội đồng cổ đông thường niên năm 2026/*Notice of Invitation to the 2026 Annual General Meeting of Shareholders.*
- Các tài liệu liên quan của Đại hội/*Documents for the 2026 Annual General Meeting of Shareholders.*

NGƯỜI ĐƯỢC ỦY QUYỀN
CÔNG BỐ THÔNG TIN
PERSON AUTHORIZED TO
DISCLOSE INFORMATION



DU VÂN TOÀN

Hanoi, June 05, 2026

NOTICE OF MEETING INVITATION
2026 Annual General Meeting of Shareholders

To:

No.:

Shareholder name:

Address:

Telephone:

Pursuant to the Law on Enterprises No. 59/2020/QH14 dated June 17, 2020 and the Charter of VIX Securities Joint Stock Company, the Board of Directors of the Company has decided to convene the 2026 Annual General Meeting of Shareholders of VIX Securities Joint Stock Company (stock code: VIX, enterprise registration certificate no.: 0102576064).

We respectfully invite Shareholders to attend the General Meeting.

- **Meeting time:** Starting from **09:00 on Friday, June 26, 2026** (expected to last for half a day).
- **Venue:** Thang Long Ballroom, Floor 7, Melia Hanoi Hotel – 44B Ly Thuong Kiet Street, Cua Nam Ward, Hanoi City.
- **Eligibility to attend:** All shareholders holding shares of VIX Securities Joint Stock Company as recorded on the shareholder list confirmed by the Vietnam Securities Depository and Clearing Corporation (VSDC) as of the record date May 27, 2026.
- **Agenda of the General Meeting:** The General Meeting will review and approve matters within the authority of the General Meeting of Shareholders (*in accordance with the proposed agenda enclosed herewith*).

Meeting documents may be downloaded from the Company's website at: <https://vixs.vn/quan-he-co-dong>.

Thank you sincerely!

Recipients:

- As above;
- SSC (for reporting);
- Stock Exchanges (for reporting and disclosure);
- VSDC (for reporting);
- Archived at: General Office, Secretary, VIX website.

ON BEHALF OF THE BOARD OF DIRECTORS



NGUYEN TUAN DUNG

Notes:

1. Shareholders are requested to bring their National Identity Card/Citizen ID Card/Passport for shareholder identity verification, and a valid Letter of Authorization (if attending as an authorized representative) when attending the General Meeting.
2. If Shareholders are unable to attend in person, please authorize a representative using the enclosed Letter of Authorization form.
3. To ensure the successful organization of the General Meeting, Shareholders are kindly requested to confirm their attendance before 17:00 on Thursday, June 25, 2026 by contacting: Customer Services Department – VIX Securities Joint Stock Company, at the Company's Head Office: Floor 22, No. 52 Le Dai Hanh Street, Hai Ba Trung Ward, Hanoi City; Telephone: 024.4456.8888 (ext. 6122, 6116, 6152); Fax: 024.3978.5380; Email: dvkh@vixs.vn.



SOCIALIST REPUBLIC OF VIETNAM
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LETTER OF AUTHORIZATION

Attendance at the 2026 Annual General Meeting of Shareholders
of VIX Securities Joint Stock Company

To: VIX Securities Joint Stock Company ("VIX / the Company")

A. AUTHORIZING PARTY:

Shareholder's full name:
ID Card / Citizen ID / Passport / Business Registration Certificate No.:
Date of issue:/...../..... Place of issue:.....
Correspondence address:
Being a shareholder of VIX Securities Joint Stock Company, currently holding ⁽¹⁾: shares.

B. AUTHORIZED PARTY:

1. Individual/Organization name:
ID Card / Citizen ID / Passport / Business Registration Certificate No.:
Date of issue:/...../..... Place of issue:.....
2. Mr: NGUYEN TUAN DUNG - Chairman of the Board of Directors of VIX
3. Mr.: TRUONG NGOC LAN - CEO of VIX
4. Ms.: TRAN HONG VAN - Head of the Board of Supervisor of VIX

C. SCOPE OF AUTHORIZATION:

On behalf of the Authorizing Party, the Authorized Party is hereby authorized to represent ⁽²⁾ shares at the 2026 Annual General Meeting of Shareholders of VIX and to vote on all matters on the agenda of the General Meeting with the corresponding number of shares.

The Authorizing Party accepts full responsibility for this authorization and undertakes not to raise any complaints or legal claims against the Company or the Authorized Party.

This Letter of Authorization shall take effect from the date of signing until the conclusion of the 2026 Annual General Meeting of Shareholders of VIX Securities Joint Stock Company.

AUTHORIZED PARTY
(Signature and full name; seal if
organization)

....., date.....month..... year 2026
AUTHORIZING PARTY
(Signature and full name; seal if organization)

1. If the shareholder does not fill in sections (1) and (2), it shall be understood that the shareholder authorizes the Authorized Party to represent all shares currently held by the shareholder.
2. In the case where the shareholder authorizes the Chairman of the Board of Directors, the Head of Board of Supervisor, or the CEO of the Company, please send the Letter of Authorization directly or by registered mail to the Company's Head Office in Hanoi before the opening date of the General Meeting, to the address stated in the "Notice of Meeting".

PROPOSED MEETING AGENDA
2026 ANNUAL GENERAL MEETING OF SHAREHOLDERS
VIX SECURITIES JOINT STOCK COMPANY

Date: 26/06/2026

No.	Content	Time	Facilitated by
1	Welcome guests and shareholders, register attendance, verify shareholder eligibility, and distribute documents	08h30 - 09h00	Organizing Committee / Shareholder Eligibility Verification Committee
A	OPENING OF THE GENERAL MEETING		
2	Statement of purpose; introduction of attendees and delegates	09h00 - 09h30	Organizing Committee
3	Report on shareholder eligibility verification results		Shareholder Eligibility Verification Committee
4	Introduction of the Chairperson of the General Meeting		Organizing Committee
5	Chairperson proposes the Secretary of the General Meeting and approves the Presiding Committee and Vote-Counting Committee		Chairperson
6	Approval of the Rules of Procedure of the General Meeting		Presiding Committee
7	Approval of the Agenda of the General Meeting	Presiding Committee	
B	PRINCIPAL MATTERS OF THE GENERAL MEETING		
B1	BUSINESS OPERATIONS REPORTS		
8	Summary presentation of the Audited 2025 Financial Statements	09h30 - 10h00	Chief Accountant
9	Report of the Board of Directors (BOD) on corporate governance and performance of the BOD and each BOD member for 2025		Board of Directors
10	Report of the Board of Supervisor (BOS) on the Company's business results and the performance of the BOD and Chief Executive Officer for 2025		Board of Supervisor
11	Self-assessment report of the Board of Supervisor on its own performance and that of each BOS member for 2025		Board of Supervisor
B2	PROPOSALS FOR SHAREHOLDER APPROVAL		
12	<p><i>1. Proposal on the approval of the audited Financial Statements for 2025, business results for 2025, and profit distribution plan for 2025</i></p> <p><i>2. Proposal on the approval of remuneration and other benefits for BOD and BOS members for 2025, and the remuneration plan for BOD and BOS for 2026</i></p>	10h00 - 10h30	Presiding committee

	3. Proposal on the approval of business plan targets for 2026		
	4. Proposal on selection of the auditing firm for the 2026 Financial Statements		
	5. Proposal on the approval of the plan to issue shares as dividend payment for 2025		
	6. Proposal on the approval of the securities clearing and settlement services through a central clearing counterparty		
	7. Proposal on amendments and supplements to the Company's Charter		
B3	SESSION DISCUSSION		
13	Shareholder comments and questions; responses from the Company	10h30 - 11h00	Presiding Committee
B4	ELECTION OF BOD / BOS MEMBERS FOR TERM 2026–2031		
14	Adoption of the resolution to dismiss BOD members and Board of Supervisor members whose terms have expired	11h00 - 11h20	Presiding Committee CÔNG TY ĂN HOÀN - T.P. HÀ NỘI
15	Adoption of the Election Regulations		
16	Introduction and approval of the list of nominees and candidates for election as BOD/BOS members for the term 2026–2031		
B5	VOTING AND ELECTION		
17	Voting on agenda items presented and reported at the General Meeting; election of BOD/BOS members for the term 2026–2031	11h20 - 11h45	Chairperson
18	Break time		Organizing Committee
19	Announcement of voting results and election results for BOD/BOS members		Vote-Counting Committee
C	CLOSING OF THE GENERAL MEETING		
20	Presentation of the Minutes of the 2026 Annual General Meeting of Shareholders	11h45 - 12h00	Secretary of the General Meeting
21	Vote to approve the Minutes of the 2026 Annual General Meeting of Shareholders		Chairperson
22	Declaration of closing of the General Meeting		Chairperson

ON BEHALF OF THE BOARD OF DIRECTORS
CHAIRMAN



NGUYEN TUAN DUNG

REGULATION
ON THE 2026 ANNUAL GENERAL MEETING OF SHAREHOLDERS
OF VIX SECURITIES JOINT STOCK COMPANY

CHAPTER I
GENERAL PROVISIONS

Article 1: Scope and Subjects of Application

- 1.1. The Regulation apply to the organization of the 2026 Annual General Meeting of Shareholders (hereinafter referred to as the "General Meeting") of VIX Securities Joint Stock Company.
- 1.2. The Regulation set out in detail the rights and obligations of shareholders and meeting attendees, as well as the conditions and procedures for conducting the General Meeting.

CHAPTER II
RIGHTS AND OBLIGATIONS OF SHAREHOLDERS
AND GENERAL MEETING ATTENDEES

Article 2: Rights and Obligations of Shareholders

2.1. Eligibility to Attend the General Meeting

Shareholders or authorized representatives of shareholders whose names appear on the shareholder list as of the record date for attendance at the General Meeting (May 27, 2026).

2.2. Rights of Shareholders when attending the General Meeting

- a. To attend in person or to authorize another person to attend the General Meeting. In the case where a shareholder authorizes another person to attend the General Meeting, the authorization must be made in writing in accordance with applicable regulations.
- b. To vote on all matters within the authority of the General Meeting as provided by the Law on Enterprises, other relevant legal documents, and the Charter of VIX Securities Joint Stock Company.
- c. To be publicly notified of the agenda of the General Meeting.

- d. At the General Meeting, each shareholder or authorized representative shall receive a Voting Card, a Voting Ballot, and an Election Ballot upon registering with the Shareholder Eligibility Verification Committee.
- Voting Card: Used for voting by raising the card in person at the General Meeting to express opinions on matters such as: approval of the Presidium and the Vote-Counting Committee; approval of the Regulations and Agenda; approval of dismissal of Board of Directors (BOD) members/ Board of Supervisors (BOS) members; approval of the Election Regulations; approval of the list of nominated and self-nominated candidates for the BOD/BOS; approval of the Minutes of the General Meeting; and other matters as directed by the Chairperson of the General Meeting.
 - Voting Ballot: Used to vote on matters related to business operations by marking on the ballot, including: Audited financial statements; BOD Report; BOS Report; Business performance report and profit distribution plan; Business plan targets; Remuneration, expenses, and other benefits for BOD/BOS members; Share issuance plan for dividend payment; Selection of auditing firm for the 2026 financial statements; Amendments and Supplements to the Company Charter; Provision of securities clearing and settlement services under the central counterparty mechanism, etc. All matters to be voted on by this method shall be clearly stated on the Voting Ballot.
 - Election Ballot: Used to elect BOD and BOS members for the 2026–2031 term. The Election Ballot shall be cast by secret ballot using the cumulative voting method. The Election Regulations shall be approved at the General Meeting before the election is held.
- e. Shareholders or authorized representatives who arrive late are entitled to register and then immediately participate and vote at the General Meeting; however, the Chairperson shall not be obligated to suspend the meeting and the validity of voting rounds already conducted shall not be affected.

2.3. Obligations of Shareholders When Attending the General Meeting

- a) Shareholders/authorized representatives attending the General Meeting must present identity documents verifying their shareholder status status, including: National Identity Card / Citizen ID Card / Passport / or other lawful documents as required; and must also present a valid Letter of Authorization (for authorized representatives) when registering with the Shareholder Credential Verification Committee.
- b) To comply with the provisions of the Regulation.
- c) To strictly observe the internal rules of the General Meeting and to respect the outcomes of the General Meeting.
- d) Shareholders must be seated in the designated seats or areas assigned by the Organizing Committee upon entering the meeting hall. Compliance with seating arrangements made by the Organizing Committee.

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- e) Do not use mobile phones during the General Meeting. Mobile phones must be switched off or set to silent mode.
- f) Shareholders/shareholder representatives are responsible for attending the General Meeting from commencement to conclusion. Should any shareholder/shareholder representative be compelled to leave before the conclusion of the General Meeting due to force majeure, that shareholder/representative is responsible for notifying the Organizing Committee and submitting their written opinions on matters to be voted on at the General Meeting.

Article 3: Rights and Obligations of the Shareholder Eligibility Verification Committee

The Shareholder Eligibility Verification Committee is established by the Board of Directors and introduced to the General Meeting. Its functions and duties are as follows:

- To verify the credentials of shareholders or authorized representatives attending the General Meeting, including: National Identity Card / Citizen ID Card / Passport / etc., and valid Letters of Authorization (for authorized representatives).
- To distribute to shareholders or authorized representatives attending the General Meeting: Voting Cards, Voting Ballots, Election Ballots, and other meeting documents.
- To report to and be accountable to the General Meeting for the results of shareholder credential verification.

Article 4: Rights and Obligations of the Ballot Counting Committee

The Ballot Counting Committee shall consist of individuals who are not included in the list of nominees/self-nominees for the BOD/BOS. Members are proposed by the Chairperson of the General Meeting and approved by the General Meeting, which shall also decide on the number and specific composition of the Committee. Its functions and duties are as follows:

- To provide guidance on voting procedures, distribute ballots, collect ballots, and count votes for elections.
- To review, assess, and rule on invalid ballots and to compile any complaints regarding the election (if any).
- To prepare the vote-counting minutes, announce the election results, and declare the list of elected members.
- To seal the Election Ballots and hand them over to the Presidium.
- In addition to duties related to the election of BOD/BOS members, the Vote-Counting Committee shall also be responsible for counting Ballots and reporting voting results on all matters put to shareholder vote at the General Meeting.

Article 5: Rights and Obligations of the Presidium and the Meeting Secretary

5.1. Pursuant to Point a, Clause 2, Article 20 of the Charter of VIX Securities Joint Stock Company, the Chairman of the Board of Directors shall serve as Chairperson of the General

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Meeting. Other members of the Presidium shall be proposed by the Chairperson and approved by the General Meeting through voting. The Presidium is responsible for presiding over the General Meeting.

- 5.2. Decisions of the Presidium regarding the order, procedures, or events arising outside the agenda of the General Meeting shall be final and binding.
- 5.3. The Presidium shall carry out all tasks deemed necessary to conduct the General Meeting in a lawful and orderly manner, or to ensure that the General Meeting reflects the will of the majority of attending shareholders.
- 5.4. The Chairperson has the right to adjourn a General Meeting at which sufficient attendance has been registered for a period not exceeding 03 (three) working days from the originally scheduled opening date, or change the venue only in the following circumstances:
 - a. The venue does not have adequate and convenient seating for all attendees.
 - b. The communication facilities at the venue do not allow shareholders to participate in discussion and voting.
 - c. Attendees disrupt or cause disorder at the meeting, posing a risk that the meeting cannot be conducted fairly and lawfully.
- 5.5. The Meeting Secretary shall perform support tasks as assigned by the Presidium.

CHAPTER III

PROCEDURES FOR CONDUCTING THE GENERAL MEETING

Article 6: Conditions for Holding the General Meeting

The General Meeting shall be validly held when shareholders/shareholder representatives attending the meeting represent more than 50% of the total voting shares as recorded on the shareholder list established at the time the shareholder list was finalized for the purpose of exercising the right to attend the General Meeting.

Article 7: Manner of Conducting the General Meeting

- 7.1. The General Meeting is expected to last for half (½) a day.
- 7.2. The General Meeting shall discuss and approve each matter in turn on the agenda.
- 7.3. Discussion at the General Meeting:

- Principles:

Shareholders shall register their comments for discussing using the speaking registration form provided by the Organizing Committee, or raise their Voting Card to request the permission to speak and obtain the Chairperson's approval. Shareholders shall submit their speaking registration forms to the Secretariat during the General Meeting. To ensure orderly discussion, shareholders who have registered in advance using the form shall be given

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priority to speak, followed by those raising their Voting Cards in the order arranged by the Chairperson.

- Manner of speaking:

Shareholders shall speak concisely and focus on the key issues relevant to the approved agenda of the General Meeting. The Chairperson shall arrange for shareholders to speak in the order of registration and shall respond to shareholders' questions. The Chairperson is entitled to remind or request shareholders to focus on the key points to save time and ensure the quality of discussion. Only remarks relevant to the agenda of the General Meeting shall be recorded in the Minutes.

7.4. Voting Procedures and Election Regulations:

- Voting Procedures:

- The following matters shall be voted on by show of Voting Cards in person at the General Meeting: approval of the Presidium and the Vote-Counting Committee; approval of the Meeting Regulation and Agenda; approval of dismissal of BOD/BOS members; approval of the Election Regulations; approval of the list of nominees and self-nominees for BOD/BOS membership; approval of the General Meeting Minutes; and other matters as directed by the Chairperson.
 - The following matters presented and reported at the General Meeting shall be voted on the Voting Ballot: Audited financial statements, Business performance report, profit distribution plan, BOD Report, BOS Report, Business plan targets, Remuneration for BOD/BOS, Selection of auditing firm, Share issuance plan for dividend payment, Amendments and Supplements to the Company Charter, Provision of securities clearing and settlement services under the central counterparty mechanism, etc. All matters to be voted on by this method shall be clearly stated on the Voting Ballot. Shareholders shall vote by ticking one of the options: "Approve", "Disapprove", or "No opinion" for each matter, and then deposit the voting ballot into a sealed box as instructed by the Vote-Counting Committee.
- The election of BOD/BOS members shall follow the Election Regulations approved at the General Meeting prior to the election.

Article 8: Approval of General Meeting Resolutions

A resolution of the General Meeting shall be approved upon obtaining more than 50% of the total voting rights of all attending shareholders, except for the share issuance plan for dividend payment, which requires approval by at least 65% of the total voting rights of all attending shareholders.

Article 9: Minutes of the General Meeting

The proceedings of the General Meeting shall be recorded in Minutes, which shall be read aloud and approved before the close of the General Meeting. The Minutes shall be prepared in Vietnamese and archived at the Company's Head Office.

**CHAPTER IV
OTHER PROVISIONS**

Article 10: Cases Where the General Meeting Cannot Be Held

- 10.1. In the event that the first General Meeting does not meet the required conditions set out in Article 6 of the Regulation, the second meeting notice shall be sent within 30 days from the originally scheduled date of the first meeting. The second General Meeting shall be validly held when shareholders attending the meeting represent 33% or more of the total voting shares.
- 10.2. In the event that the second meeting does not meet the required conditions specified in Clause 10.1 of this Article, the third meeting notice must be sent within 20 days from the originally scheduled date of the second meeting. The third General Meeting shall be validly held regardless of the total number of voting shares represented by attending shareholders.

**CHAPTER V
IMPLEMENTATION**

Article 11: Entry into Force

The Regulation consists of 5 Chapters and 11 Articles, and shall take effect immediately upon approval by vote of the General Meeting of Shareholders.

Shareholders and all persons attending the General Meeting are responsible for complying with the provisions set out in these Regulations.

Date Month Year 2026

ON BEHALF OF THE GENERAL MEETING OF SHAREHOLDERS

CHAIRPERSON OF THE MEETING


[Handwritten signature]

NGUYEN TUAN DUNG



BOARD OF DIRECTORS RESOLUTION

Re: Establishment of the Shareholder Eligibility Verification Committee
at the 2026 Annual General Meeting of Shareholders

BOARD OF DIRECTORS VIX SECURITIES JOINT STOCK COMPANY

- Pursuant to Enterprise Law No. 59/2020/QH14 dated 17/06/2020 and its guiding documents;
- Pursuant to Securities Law No. 54/2019/QH14 dated 26/11/2019;
- Decree No. 155/2020/ND-CP dated 31/12/2020 detailing the implementation of certain articles of the Securities Law;
- Pursuant to the Charter of VIX Securities Joint Stock Company;
- Minutes of the Board of Directors (BOD) meeting dated June 05, 2026 regarding the covering of the 2026 Annual General Meeting of Shareholders.

HEREBY RESOLVES

Article 1: To establish the Shareholder Eligibility Verification Committee at the 2026 Annual General Meeting of Shareholders of VIX Securities Joint Stock Company, comprising the following members:

- Ms. Duong Thi Kim Oanh - Head of Internal Control Department - Committee Chair
- Ms. Nguyen Bich Le - Head of Corporate Advisory and Equity Underwriting Department - Member
- Ms. Nguyen Thanh Loan - Deputy Head of Information Technology Department - Member

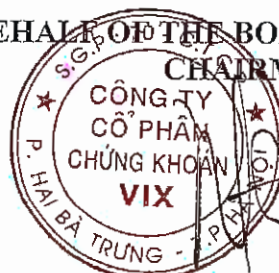
Article 2: The Shareholder Eligibility Verification Committee is responsible for verifying shareholders' eligibility attending the 2026 Annual General Meeting of Shareholders of VIX Securities Joint Stock Company and reporting the results to the General Meeting.

Article 3: This Resolution takes effect from the date of signing. Members of the Board of Directors, the General Director's Board, and all relevant departments and individuals are responsible for implementing this Resolution.

Distribution:

- As per Article 3
(for implementation);
- Board of Supervisors;
- Filed: General Office, BOD.

ON BEHALF OF THE BOARD OF DIRECTORS
CHAIRMAN



NGUYEN TUAN DUNG

VIX SECURITIES JOINT STOCK COMPANY

Registered Office: Floor 22, No. 52 Le Dai Hanh Street, Hai Ba Trung Ward, Hanoi



SUMMARY FINANCIAL STATEMENTS

Year 2025 (Audited)

I. BALANCE SHEET

As at 31/12/2025

No.	Description	31/12/2024 (VND)	31/12/2025 (VND)
I	CURRENT ASSETS	19.558.155.308.985	33.962.186.395.621
1	Financial assets	19.555.958.327.381	33.959.710.475.495
2	Other current assets	2.196.981.604	2.475.920.126
II	NON-CURRENT ASSETS	48.170.088.620	204.860.841.229
1	Long-term financial assets	1.500.000.000	151.500.000.000
2	Fixed assets	24.643.693.104	31.723.177.305
3	Other long-term assets	22.026.395.516	21.637.663.924
	TOTAL ASSETS	19.606.325.397.605	34.167.047.236.850
III	LIABILITIES	3.561.584.498.167	12.712.284.159.210
1	Current liabilities	3.472.527.045.297	11.875.431.410.548
2	Non-current liabilities	89.057.452.870	836.852.748.662
IV	OWNERS' EQUITY	16.044.740.899.438	21.454.763.077.640
1	Share capital	14.706.656.555.000	15.435.823.405.000
2	Reserve funds	172.961.480.462	172.961.480.462
3	Undistributed profit	1.165.122.863.976	5.845.978.192.178
3.1	Realised profit	809.483.065.847	2.499.157.210.879
3.2	Un realised profit	355.639.798.129	3.346.820.981.299
	TOTAL EQUITY AND LIABILITIES	19.606.325.397.605	34.167.047.236.850

II. INCOME STATEMENT

No.	Indicator	Year 2024 (VND)	Year 2025 (VND)	Growth (%)
1	Operating Income	1.837.806.618.366	8.279.145.384.688	450
2	Operating Expenses	899.470.935.366	1.121.626.148.376	125
3	Financial Income	9.692.386.526	9.600.819.889	99
4	Financial Expenses	80.833.799.454	384.978.463.430	476
5	General and administrative expenses	52.346.529.840	57.006.082.036	109
6	Other operating profit	278.302.068	(8.123.183.942)	
7	Profit before tax	815.126.042.300	6.717.012.326.793	824
8	Corporate income tax	151.806.083.569	1.306.990.148.591	861
9	Profit after tax	663.319.958.731	5.410.022.178.202	816
10	Profit after tax attributable to owners	663.319.958.731	5.410.022.178.202	816
11	Earnings per share (VND)	757	3.533	467

The full 2025 Financial Statements audited by Ernst & Young Vietnam Co., Ltd. have been published on VIX's website at <https://vixs.vn/bao-cao> on 23/03/2026.



Shape the future
with confidence

Ernst & Young Vietnam Limited
2 Hai Trieu Street, 5th Floor
1st Chi Minh City, Vietnam

Tel: +84 28 3824 5252
Email: ey@cmcc.vn, ey@ey.com
Website (EN): ey.com/en_vn
Website (VN): ey.com/vn_vn

Reference No: 11542854/E-68708067

INDEPENDENT AUDITORS' REPORT

To: **The Shareholders of
VIX Securities Joint Stock Company**

We have audited the accompanying financial statements of VIX Securities Joint Stock Company (the Company) as prepared on 23 March 2025 and set out on pages 6 to 63, which comprise the statement of financial position as at 31 December 2025, the income statement, the cash flow statement and the statement of changes in owners' equity for the year then ended and the notes thereto.

Management's responsibility

The Company's Management is responsible for the preparation and true and fair presentation of these financial statements in accordance with Vietnamese Accounting Standards, Vietnamese Enterprise Accounting System, accounting guidance applicable to securities companies and the statutory requirements relevant to the preparation and presentation of the financial statements, and for such internal control as Management determines is necessary to enable the preparation and presentation of the financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' responsibility

Our responsibility is to express an opinion on the financial statements based on our audit. We conducted our audit in accordance with Vietnamese Standards on Auditing. Those standards require that we comply with ethical requirements and plan and perform the audit to obtain reasonable assurance about whether the separate financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditors' judgement, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the Company's preparation and true and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Company's internal control. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of accounting estimates made by Management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

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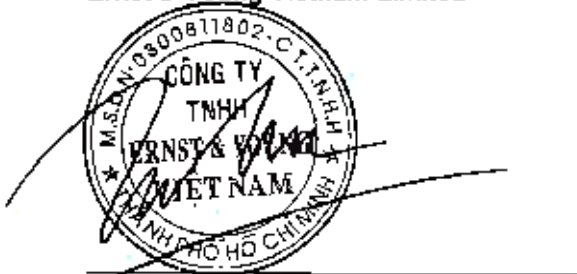


Shape the future
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Opinion

In our opinion, the financial statements give a true and fair view, in all material respects, of the financial position of the Company as at 31 December 2025, and of the results of its operations its cash flows and its changes in owners' equity for the year then ended in accordance with Vietnamese Accounting Standards, Vietnamese Enterprise Accounting System, accounting guidance applicable to securities companies and the statutory requirements relevant to the preparation and presentation of the financial statements.

Ernst & Young Vietnam Limited



Vũ Tiến Dũng
Deputy General Director
Audit Practicing Registration
Certificate No. 3221-2025-004-1



Nguyễn Văn Trung
Auditor
Audit Practicing Registration
Certificate No. 3847-2026-004-1



Hanoi, Vietnam

23 March 2026



**REPORT OF THE BOARD OF DIRECTORS
ON CORPORATE GOVERNANCE AND PERFORMANCE
OF THE BOARD OF DIRECTORS AND EACH MEMBER FOR 2025**

To: Shareholders of VIX Securities Joint Stock Company

Pursuant to the Law on securities, the Law on Enterprises, and the Company Charter, the Board of Directors (BOD) respectfully presents to Shareholders the corporate governance situation, the results of the Board of Directors' operations in 2025, as well as the operational orientation for 2026, as follows:

I. ASSESSMENT OF THE BOD OF THE COMPANY'S OPERATIONS IN 2025

1. The Company's Business Operations in 2025

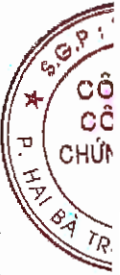
Vietnam's stock market in 2025 recorded impressive growth, with both the VN-Index and market liquidity increasing by approximately 40%. The market capitalization of listed shares reached approximately VND 9.98 quadrillion, equivalent to nearly 80% of GDP. The number of newly opened investor accounts increased significantly, bringing the total number of securities accounts to approximately 11.9 million by end of December 2025 – equivalent to about 11% of the population – achieving the Government's target ahead of schedule of 11 million accounts by 2030 under the stock market development orientation.

These positive market developments created many opportunities for securities companies in general and VIX in particular to expand business operations, increase market share, and improve operational efficiency.

In 2025, the major business segments including proprietary trading, brokerage, and margin lending all operated steadily and met or exceeded the set targets. Total company revenue reached VND 8,288 billion, an increase of 349% compared to 2024; profit before tax reached VND 6,717 billion, an increase of 724% compared to 2024.

Specific results by major business segment are as follows:

- **Proprietary trading:** Revenue from proprietary trading in 2025 reached VND 6,976 billion, accounting for 84.17% of total revenue and an increase of 483% compared to 2024.
- **Brokerage:** VIX's brokerage transaction fee revenue in 2025 reached VND 215 billion, an increase of 59% compared to 2024.



- **Margin lending:** Margin lending interest income reached VND 1,068 billion, an increase of 119% compared to 2024. Outstanding margin loan balance reached VND 15,380 billion, an increase of 166% compared to 2024.

- **Summary table of 2025 business plan execution:**

No.	Indicator	Unit	Plan 2025	Actual 2025	Achievement Rate (%)
1	Profit before tax	Million VND	6.500.000	6.717.012	103
2	Profit after tax	Million VND	5.200.000	5.410.022	104

- **Information technology operations:**

In 2025, VIX continued to strongly invest in and develop its IT infrastructure to improve service quality and system operational capacity. The Company continued to cooperate with FSS Financial Software Solutions Joint Stock Company to improve and develop new features on the trading system, thereby enhancing convenience and customer experience.

Together with other market members, VIX cooperated with the Stock Exchanges and the Vietnam Securities Depository and Clearing Corporation (VSDC) to successfully go-live the KRX trading technology system in May 2025, contributing to enhanced transaction processing capability and providing a foundation for the deployment of new products and trading mechanisms in the Vietnamese stock market.

The Company continued to invest in upgrading IT infrastructure to enhance system processing capacity, expand storage, and ensure security. The Company regularly reviewed and assessed security vulnerabilities in the trading system to ensure stable and safe system operation. In 2025, VIX's trading system consistently operated efficiently, serving customers' trading needs and ensuring information security and asset management.

- **Internal control and risk management:**

Internal control and risk management activities were continuously strengthened to monitor the Company's business operations. Under the principle that internal control and risk management should not merely serve as ex-pest control functions, but should operate in close co-operation with business units to early identification and prevention of potential risks. In 2025, no bad debts or non-performing loans arose in business operations. All investment limitation indicators were kept under control; the financial safety ratio as of 31/12/2025 was 920.73%, an increase of 33.3% compared to the same period in 2024.

2. Direction and Management of the BOD in 2025

Pursuant to the BOD's Operating Regulations and the Resolutions of the 2025 Annual/Extraordinary General Meeting of Shareholders, the BOD held regular monthly meetings and extraordinary meetings to provide timely guidance and policies in accordance

with market conditions, focused on directing the execution of the 2025 business plan set forth by the General Meeting of Shareholders.

The BOD worked closely with the Executive Management to direct and implement the business plan and manage the Company carefully in compliance with applicable laws and the Company Charter. The BOD's decisions were assessed as timely and accurate, laying the groundwork for the Company's development in 2025 and subsequent years. The Company also reviewed, amended, and fully issued relevant operational procedures to ensure compliance with changes in the operation mechanism of the new trading system.

The Company continued to stabilize its organizational structure, strengthen effective risk management across business segments, and develop activities suitable to the market context. It also promoted close coordination among departments within the Company.

3. Results of Share Issuance for payment of 2024 dividends (stock dividend ratio of 5%) and Rights Offering to Existing Shareholders pursuant to 2025 AGM Resolutions

The Company completed the issuance of 72,916,685 shares as 2024 dividends to shareholders on 19/06/2025, achieving 100% of the plan approved by the General Meeting of Shareholders. All issued shares were additionally listed and traded on the Ho Chi Minh Stock Exchange (HOSE) from 23/07/2025.

Pursuant to the rights offering plan approved by the 2025 Extraordinary General Meeting of Shareholders: The Company completed the offering on 21/04/2026. A total of 918,857,914 shares were successfully offered and distributed at an offering price of VND 12,000 per share, achieving 100% of the plan approved by the General Meeting of Shareholders. All issued shares were additionally listed and traded on HOSE from 29/05/2026.

II. BOD'S ASSESSMENT OF THE EXECUTIVE MANAGEMENT AND MANAGEMENT PERSONNEL

1. Assessment of the Implementation of BOD Resolutions

The BOD highly appreciates the performance of the Executive Management and management personnel in implementing the Resolutions and Decisions of the BOD. The Executive Management maintained regular weekly, monthly, and ad hoc meetings to review performance, draw lessons, and make adjustments for the subsequent period in line with actual conditions and market developments. The Executive Management effectively communicated information and submitted regular reports to the BOD and the Board of Supervisors regarding the implementation of the AGM Resolutions and BOD Decisions, and promptly sought BOD guidance on emerging matters.

2. Assessment of Company Management and Administration

According to the BOD's assessment, the Executive Management and management personnel performed their assigned tasks well in managing and administering the Company, specifically:

- Managed the Company's operations prudently, safely, and effectively;

- Advised and assisted the BOD in further restructuring the Company toward leaner, cost-saving operations appropriate to the Company's actual situation and market conditions;
- Improved the business process system, reorganized departments, and reasonably reassigned personnel, focusing on the Company's core business segments in line with the Company's operational direction at each period;
- Implemented brokerage, proprietary trading, and margin lending development plans combined with flexible risk management, ensuring safety requirements and effective capital utilization;
- Participated in technology system connectivity sessions organized by regulatory authorities, ensuring compliance with requirements and deadlines;
- Maintained and strengthened quality human resources for the risk management and internal control system to effectively prevent risks that could affect the interests of the Company and its customers.

3. Assessment of Legal Compliance

In the course of performing their duties, the Executive Management fully complied with all relevant legal regulations, the Company Charter, and the Resolutions and Decisions of the General Meeting of Shareholders and the BOD.

III. REPORT ON THE PERFORMANCE OF THE BOD AND EACH BOD MEMBER IN 2025

A. BOARD OF DIRECTORS

1. Composition of the Board of Directors

In accordance with the Law on Enterprises, the Law on Securities, and the Company Charter, in 2025 the Company's BOD consisted of 05 members and maintained its activities and fully complied with all regulations under applicable laws. The composition and number of BOD members met the standards and conditions required by law and the Company Charter.

2. Sub-committees of the Board of Directors

The Company does not establish any BOD sub-committees.

3. Board of Directors Activities

3.1 BOD Member Information:

No.	BOD Member	Position	Date of Commencement / Cessation as BOD Member / Independent BOD Member	
			Date of Appointment	Date of Dismissal
1	Nguyen Tuan Dung (*)	Chairman of the Board of Directors	25/06/2021	

2	Do Ngoc Dinh	BOD Member – Deputy CEO	23/05/2025	
3	Cao Thi Hong	Independent BOD Member	25/06/2021	
4	Ha Huy Hung	Independent BOD Member	23/05/2025	
5	Phan Duc Linh	Independent BOD Member	28/11/2025	
6	<i>Thai Hoang Long</i>	<i>BOD Member</i>	<i>15/04/2023</i>	<i>23/05/2025</i>
7	<i>Truong Ngoc Lan</i>	<i>BOD Member – CEO</i>	<i>15/04/2023</i>	<i>28/11/2025</i>
8	<i>Tran Thi Hong Ha</i>	<i>Independent BOD Member</i>	<i>25/06/2021</i>	<i>23/05/2025</i>

(*) Mr. Nguyen Tuan Dung was elected Chairman of the Board of Directors from 28/05/2025.

3.2 BOD Meetings:

No.	BOD Member	Meetings Attended / Total Meetings	Rate (%)	Reason for Absence
1	Nguyen Tuan Dung	70/70	100	
2	Do Ngoc Dinh	46/70	65.71	Elected to BOD from 23/05/2025
3	Cao Thi Hong	70/70	100	
4	Ha Huy Hung	46/70	65.71	Elected to BOD from 23/05/2025
5	Phan Duc Linh	5/70	7.14	Elected to BOD from 28/11/2025
6	<i>Truong Ngoc Lan</i>	<i>65/70</i>	<i>92.86</i>	<i>Dismissed on 28/11/2025</i>
7	<i>Thai Hoang Long</i>	<i>0/70</i>	<i>0.00</i>	<i>Resigned 27/09/2024; dismissed 23/05/2025</i>
8	<i>Tran Thi Hong Ha</i>	<i>23/70</i>	<i>32.86</i>	<i>Dismissed on 23/05/2025</i>

In 2025, the Board of Directors held 70 meetings and issued 79 Resolutions and Decisions.

Detailed list of BOD Resolutions and Decisions: See Appendix 01 attached.

3.3 Performance Assessment of Each BOD Member

The Company's BOD operates on the principle of collective leadership with individual responsibility, with each member responsible for specific business segments to support the Executive Management. In 2025, all BOD members fulfilled their assigned duties:

- The Chairman of the BOD was responsible for the overall directions and coordination of the BOD.

- Other BOD members supported the Chairman in directing and supervising the Company's business segments in accordance with the BOD's division of authority and issued resolutions.

3.4 Report on the Performance Assessment of Independent BOD Members and the Independent BOD Members' Assessment of the BOD's Operations

- The Company has 03 (three) independent BOD members. They make independent decisions on Company matters and objectively supervise the Executive Management's activities.
- According to the assessment of independent BOD members: The BOD has properly exercised its rights and obligations within its authority and in accordance with applicable laws. The BOD ensured safe and effective Company operations in compliance with the Charter, applicable laws, and internal regulations, treated all shareholders equally, and respected the interests of related stakeholders.
- Independent BOD members attended all meetings, voted on agenda items, and put forward recommendations for the development and governance of the Company.
- In 2025, no shareholder complaints or inquiries were submitted to independent BOD members regarding the governance activities of the BOD and the Executive Management.

3.5 Results of Tasks Assigned to the BOD by the 2025 Annual/Extraordinary General Meeting of Shareholders

No.	Task Content	Completion	Result Notes
1	Issuance of shares as 2024 dividend at 5% ratio	Issued 72,916,685 shares – 100% completed	Issuance completed on 19/06/2025
2	Selection of auditing firm for 2025 Financial Statements	100% completed	Ernst & Young Vietnam Co., Ltd.
3	2025 business results (plan revised upward by 433% vs. AGM 2025 resolution)	104% completed	Profit after tax: VND 5,410 billion / Revised plan: VND 5,200 billion
4	Share offering to existing shareholders at 10:6 ratio to increase charter capital to VND 24,502,877,720,000	100% completed	Capital increase and supplementary listing of all 918,857,914 additional shares on HOSE completed; shares tradeable on HOSE from 29/05/2026.

4. Amendments to the Company Charter Pursuant to AGM Authorization

- In 2025 and the first six months of 2026, the Company amended its Company Charter in relation to charter capital and number of shares following the completion of share issuances, specifically:

- ✓ On 24/06/2025, the BOD issued Resolution No. 34/2025/VIX/NQ-HĐQT amending Clause 1, Article 6 of the Company Charter, specifically: "The Company's charter capital is VND 15,314,298,580,000 (In words: Fifteen thousand three hundred and fourteen billion, two hundred and ninety-eight million, five hundred and eighty thousand dong). The total charter capital is divided into 1,531,429,858 shares with a par value of VND 10,000 per share."
- ✓ On 21/04/2026, upon completion of the rights offering to existing shareholders pursuant to the 2025 Extraordinary General Meeting of Shareholders resolution, the BOD issued Resolution No. 17/2026/VIX/NQ-HĐQT amending Clause 1, Article 6 of the Company Charter, specifically: "The Company's charter capital is VND 24,502,877,720,000 (In words: Twenty-four thousand five hundred and two billion, eight hundred and seventy-seven million, seven hundred and twenty thousand dong). The total charter capital is divided into 2,450,287,772 shares with a par value of VND 10,000 per share."
- The above Charter amendments have been reported to the State Securities Commission of Vietnam, the Stock Exchanges, published on VIX's website, and disclosed in accordance with applicable regulations.

5. Report on Transactions with Related Parties

In 2025, the Company had no transactions with related parties.

B. REMUNERATION OF THE BOARD OF DIRECTORS, BOARD OF SUPERVISORS, AND OTHER COSTS

- BOD and BOS remuneration paid from 01/01/2025 to 31/12/2025: VND 2,150,000,000
- Other costs for the BOD and BOS in 2025: None.

C. PLANS AND OPERATIONAL ORIENTATION OF THE BOARD OF DIRECTORS FOR 2026

1. Medium and Long-term Objectives and Strategy:

- To develop VIX into a professional and efficient securities company, ranked among the leading securities companies in the market in terms of capital scale, competitive capacity, and operational efficiency, and to be the preferred partner of investors.
- To achieve the above objectives, the Company will focus on the following key orientations and solutions:
 - Place operational efficiency and value creation for shareholders at the center of governance, management, and business development.
 - Accelerate brokerage development, enhance competitive capacity, and increase customer value through technology investment, improved product and service quality, and customer care; research and develop new products with a focus on applying artificial intelligence (AI) to investment advisory, which will be a key direction.

- Strengthen the development of high-quality human resources, building a workforce with expertise, capability, and alignment with VIX's corporate culture and development orientation.
- Continuously improve the organizational structure, governance system, and operational processes to enhance product and service quality, optimize business efficiency, and maintain safe, effective, and sustainable risk management.

2. 2026 Business Plan:

Based on an assessment of the challenges and opportunities of the stock market in 2026, and building on results achieved over the years, the BOD has determined to focus on enhancing financial capacity and ensuring effective, safe corporate governance. The Company will continue to develop and promote its business segments to maximize operational efficiency, including:

- Securities brokerage operations
- Proprietary securities investment operations
- Securities underwriting operations
- IT system development in conjunction with human resource development to meet operational requirements
- Strengthening international cooperation and integration to attract more participation from foreign investors and partners
- Closely monitoring the Company's business plan execution and creating all necessary mechanisms, policies, and facilities to ensure the successful completion of the business plan while strictly adhering to legal regulations and the interests of shareholders and the Company
- Closely following the Government's stock market development orientations for 2026 and subsequent years, and preparing resources to meet market changes in both products and operational mechanisms

The above constitutes the report of the Board of Directors to be presented at the 2026 Annual General Meeting of Shareholders. We sincerely thank our Shareholders.

ON BEHALF OF THE BOARD OF DIRECTORS

CHAIRMAN



NGUYEN TUAN DUNG

APPENDIX 01

List of BOD Resolutions in 2025

*(Attached to the Report of the Board of Directors of VIX Securities Joint Stock Company
presented at the 2026 Annual General Meeting of Shareholders)*

No.	Resolution No.	Date Issued	Content	Approval Rate
1	01/2025/VIX/NQ-HĐQT	11/02/2025	Approved the plan for additional purchase of VSC shares	100%
2	02/2025/VIX/NQ-HĐQT	19/02/2025	Approved the plan for purchasing shares of GELEX Infrastructure Joint Stock Company	100%
3	03/2025/VIX/NQ-HĐQT	20/02/2025	Approved the authorization to attend the Extraordinary General Meeting of Shareholders of EIB	100%
4	04/2025/VIX/NQ-HĐQT	03/03/2025	Approved the plan for nominating candidates for election to the Board of Directors and Board of Supervisors of EIB	100%
5	05/2025/VIX/NQ-HĐQT	07/03/2025	Approved the extension of the timeline for holding the 2025 Annual General Meeting of Shareholders	100%
6	06/2025/VIX/NQ-HĐQT	11/03/2025	Approved the credit limit at An Binh Commercial Joint Stock Bank	100%
7	07/2025/VIX/NQ-HĐQT	24/03/2025	Approved the borrowing policy at EVNFinance	100%
8	08/2025/VIX/NQ-HĐQT	27/03/2025	Convened the 2025 Annual General Meeting of Shareholders	100%
9	09/2025/VIX/NQ-HĐQT	04/04/2025	Approved authorization to attend the Annual General Meeting of Shareholders of VSC	100%
10	10/2025/VIX/NQ-HĐQT	14/04/2025	Approved authorization to attend the Annual General Meeting of Shareholders of SEA	100%
11	11/2025/VIX/NQ-HĐQT	16/04/2025	Approved the credit limit at SHB – Thang Long Branch	100%
12	12/2025/VIX/NQ-HĐQT	18/04/2025	Approved the credit limit at EIB – Hanoi Branch	100%
13	13/2025/VIX/NQ-HĐQT	22/04/2025	Approved the credit limit at VIB	100%
14	14/2025/VIX/NQ-HĐQT	23/04/2025	Approved the supplementary credit limit at EIB – Hanoi Branch	100%
15	15/2025/VIX/NQ-HĐQT	24/04/2025	Approved the authorization to attend the General Meeting of Shareholders of PC1	100%

16	16/2025/VIX/NQ-HĐQT	25/04/2025	Approved the agenda and meeting documents for the General Meeting of Shareholders, and established the Shareholder Credential Verification Committee	100%
17	17/2025/VIX/NQ-HĐQT	28/04/2025	Approved the authorization to attend the General Meeting of Shareholders of EIB	100%
18	18/2025/VIX/NQ-HĐQT	29/04/2025	Approved the authorization to attend the General Meeting of Shareholders of Hai Phong Titan Joint Stock Company	100%
19	19/2025/VIX/NQ-HĐQT	29/04/2025	Suspended funding for the construction of Thanh Liem B High School	100%
20	20/2025/VIX/NQ-HĐQT	15/05/2025	Resumed funding for the construction of Thanh Liem B High School	100%
21	21/2025/VIX/NQ-HĐQT	20/05/2025	Approved the credit limit at MSB	100%
22	22/2025/VIX/NQ-HĐQT	20/05/2025	Approved the additional nomination of BOD candidates for election at the 2025 Annual General Meeting of Shareholders	100%
23	23/2025/VIX/NQ-HĐQT	20/05/2025	Approved the credit limit at VPB	100%
24	24/2025/VIX/NQ-HĐQT	22/05/2025	Approved the IT system development plan for the period 2025-2026	100%
25	25/2025/VIX/NQ-HĐQT	28/05/2025	Approved the election of the Chairman of the Board of Directors	100%
26	26/2025/VIX/NQ-HĐQT	28/05/2025	Approved the change of the person responsible for corporate governance	100%
27	27/2025/VIX/NQ-HĐQT	28/05/2025	Assignment of duties and remuneration for BOD members	100%
28	28/2025/VIX/NQ-HĐQT	28/05/2025	Approved the delegation of authority in corporate governance	100%
29	29/2025/VIX/NQ-HĐQT	28/05/2025	Approved the implementation of the plan for issuing shares as 2024 dividends	100%
30	30/2025/VIX/NQ-HĐQT	28/05/2025	Approved the remuneration for the Chairman of the Board of Directors	100%
31	31/2025/VIX/NQ-HĐQT	04/06/2025	Approved the credit limit at BIDV	100%
32	32/2025/VIX/NQ-HĐQT	06/06/2025	Fixed the shareholder list for 2024 dividend payment	100%
33	33/2025/VIX/NQ-HĐQT	19/06/2025	Authorized attendance at the 2025 Annual General Meeting of Shareholders of HAH	100%
34	34/2025/VIX/NQ-HĐQT	24/06/2025	Approved the result of share issuance for 2024 dividends and amendment to the Company Charter	100%

35	35/2025/VIX/NQ-HĐQT	30/06/2025	Approved the registration of change in business registration content due to change in charter capital	100%
36	36/2025/VIX/NQ-HĐQT	30/06/2025	Approved the selection of the auditing firm for the 2025 Financial Statements	100%
37	37/2025/VIX/NQ-HĐQT	02/07/2025	Adjusted the sponsorship amount for the construction of Thanh Liem B High School	100%
38	38/2025/VIX/NQ-HĐQT	07/07/2025	Approved the short-term credit limit at VietinBank – Nam Thang Long Branch	100%
39	39/2025/VIX/NQ-HĐQT	24/07/2025	Approved the plan for purchasing PET shares	100%
40	40/2025/VIX/NQ-HĐQT	28/07/2025	Approved the adjustment of remuneration for BOD members	100%
41	41/2025/VIX/NQ-HĐQT	28/07/2025	Approved the adjustment of CEO's compensation	100%
42	42/2025/VIX/NQ-HĐQT	08/08/2025	Approved the plan for purchasing VPB shares	100%
43	43/2025/VIX/NQ-HĐQT	14/08/2025	Approved the short-term credit limit at VPBank	100%
44	44/2025/VIX/NQ-HĐQT	14/08/2025	Approved the IT infrastructure upgrade plan	100%
45	45/2025/VIX/NQ-HĐQT	20/08/2025	Approved the plan for additional purchase of GEX shares	100%
46	46/2025/VIX/NQ-HĐQT	21/08/2025	Approved the capital contribution plan to establish VIX Digital Asset Exchange Joint Stock Company	100%
47	47/2025/VIX/NQ-HĐQT	22/08/2025	Approved the nomination of representative to attend the Extraordinary General Meeting of Shareholders of GELEX Infrastructure Joint Stock Company	100%
48	48/2025/VIX/NQ-HĐQT	28/08/2025	Approved the credit limit at MSB	100%
49	49/2025/VIX/NQ-HĐQT	05/09/2025	Approved the credit limit at ACB	100%
50	50/2025/VIX/NQ-HĐQT	11/09/2025	Approved the Corporate Culture Development Project	100%
51	51/2025/VIX/NQ-HĐQT	11/09/2025	Nominated representative to attend the 2nd Extraordinary General Meeting of Shareholders of GELEX Infrastructure Joint Stock Company	100%
52	52/2025/VIX/NQ-HĐQT	15/09/2025	Adjusted securities type information	100%

53	53/2025/VIX/NQ-HĐQT	17/09/2025	Approved the plan for additional purchase of GEE shares	100%
54	54/2025/VIX/NQ-HĐQT	19/09/2025	Convened the 2025 Extraordinary General Meeting of Shareholders	100%
55	55/2025/VIX/NQ-HĐQT	19/09/2025	Approved the credit limit at TCB	100%
56	56/2025/VIX/NQ-HĐQT	23/09/2025	Approved the proposal to the 2025 Extraordinary General Meeting of Shareholders on upward adjustment of 2025 profit plan	100%
57	57/2025/VIX/NQ-HĐQT	25/09/2025	Approved the credit limit at VPBank	100%
58	58/2025/VIX/NQ-HĐQT	29/09/2025	Adjusted securities type information for shares issued under the ESOP 2024 program	100%
59	59/2025/VIX/NQ-HĐQT	29/09/2025	Authorized attendance at the 2025 Extraordinary General Meeting of Shareholders of VSC	100%
60	60/2025/VIX/NQ-HĐQT	02/10/2025	Authorized attendance at the 3rd Extraordinary General Meeting of Shareholders of GELEX Infrastructure Joint Stock Company	100%
61	61/2025/VIX/NQ-HĐQT	03/10/2025	Approved the credit limit at Indovina Bank	100%
62	62/2025/VIX/NQ-HĐQT	08/10/2025	Approved sponsorship for the Signing Ceremony of the UN Convention on Cybercrime; continued funding for temple restoration	100%
63	63/2025/VIX/NQ-HĐQT	17/10/2025	Established the Shareholder Credential Verification Committee	100%
64	64/2025/VIX/NQ-HĐQT	21/10/2025	Approved the plan for purchasing shares of VPBank Securities Joint Stock Company	100%
65	65/2025/VIX/NQ-HĐQT	27/10/2025	Approved the upward adjustment of 2025 Business Plan	100%
66	66/2025/VIX/NQ-HĐQT	27/10/2025	Approved the meeting documents for the 2025 Extraordinary General Meeting of Shareholders	100%
67	67/2025/VIX/NQ-HĐQT	10/11/2025	Approved the plan for additional purchase of shares of GELEX Electric Equipment Joint Stock Company (GEE)	100%
68	68/2025/VIX/NQ-HĐQT	11/11/2025	Appointed representative to attend the Extraordinary General Meeting of Shareholders of VIX Crypto Assets Exchange Joint Stock Company (VIXEX)	100%

69	69/2025/VIX/NQ-HĐQT	12/11/2025	Approved the credit limit at National Citizen Commercial Joint Stock Bank – Hanoi Branch (NCB)	100%
70	70/2025/VIX/NQ-HĐQT	13/11/2025	Approved the credit limit at Vietnam Modern Bank LLC – Hanoi Branch (MBV)	100%
71	71/2025/VIX/NQ-HĐQT	18/11/2025	Approved partial sponsorship for the construction of a spiritual monument at Yen Tu Heritage Site, Quang Ninh Province	100%
72	71A/2025/VIX/NQ-HĐQT	24/11/2025	Approved voting on agenda items at the Extraordinary General Meeting of Shareholders of VIX Crypto Assets Exchange Joint Stock Company	100%
73	72/2025/VIX/NQ-HĐQT	28/11/2025	Assignment of duties and remuneration for BOD members	100%
74	73/2025/VIX/NQ-HĐQT	02/12/2025	Implemented the plan for offering additional shares to existing shareholders to increase charter capital	100%
75	74/2025/VIX/NQ-HĐQT	02/12/2025	Approved the registration dossier for offering additional shares to existing shareholders to increase charter capital	100%
76	75/2025/VIX/NQ-HĐQT	02/12/2025	Approved criteria for selecting investors to purchase odd-lot shares and remaining shares in the offering	100%
77	76/2025/VIX/NQ-HĐQT	15/12/2025	Approved sponsorship for renovation of the yard and residential quarter at Phap Hai Pagoda, Cam Xuyen Commune, Ha Tinh Province	100%
78	77/2025/VIX/NQ-HĐQT	18/12/2025	Appointed the representative for VIX's contributed capital at VIX Crypto Assets Exchange Joint Stock Company (VIXEX)	100%
79	01/2025/VIX/QĐ-CT.HĐQT	26/12/2025	Decision of the Chairman of the BOD on payment of the 13th-month salary and 2025 business performance salary	100%

Hanoi, June 05, 2026

ON BEHALF OF THE BOARD OF DIRECTORS



NGUYEN TUAN DUNG

**REPORT OF THE BOARD OF SUPERVISOR
ON THE COMPANY'S BUSINESS RESULTS, THE PERFORMANCE OF THE
BOARD OF DIRECTORS AND THE EXECUTIVE MANAGEMENT FOR 2025**

To: Shareholders of VIX Securities Joint Stock Company

Pursuant to:

- *The functions and duties of the Board of Supervisor as prescribed in the Law on Securities, the Law on Enterprises, and the Company Charter;*
- *The Operating Regulations of the Board of Supervisor of VIX Securities Joint Stock Company.*

The Board of Supervisor (BOS) respectfully presents to the General Meeting of Shareholders (GMS) the activities of the BOS, specifically as follows:

Part I: Activities of the BOS and Results of the BOS's Inspection and Supervision

1. Composition and Size of the BOS

The Board of Supervisor maintains 03 members in accordance with the provisions of the Company Charter; all members meet the eligibility requirements. Specifically:

Ms. Tran Hong Van – Head of the Board

Ms. Trinh Thi My Le – Member

Ms. Nguyen Thi Duyen – Member

The activities of the BOS in 2025 were conducted in strict compliance with the operating regulations and procedures issued by the BOS.

2. Results of Supervision of the Company's Business Operations

a. General Activities

- Capital increase activities: In 2025, the Company completed the issuance of an additional 72,916,685 shares as 2024 dividends and the rights offering of an additional 918,857,914 shares to existing shareholders (approved at the Extraordinary General Meeting of Shareholders on 28/11/2025 and completed in April 2026), thereby increasing the Company's current charter capital to VND 24,502,877,720,000.

- Internal regulations: During the year, the Company issued new and revised certain operational procedures to align with the practical requirements of its business activities, including: the Collateral Registration Procedure; the Operational



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Management Procedure on the Flex software system; the Securities Depository Procedure; the Securities Ownership Transfer Procedure; the Corporate Actions Procedure; the Procedure for Organising the General Meeting of Shareholders; the Procedure for Share Purchase Transactions not requiring full payment upon order placement by foreign institutional investors; and others.

- Business results achieved by the Company in 2025:

2025 was recorded as a year of strong and landmark growth for Vietnam's stock market. In line with the market's positive development trend, the Company also recorded exceptional business results, specifically:

- During the year, the total number of newly opened securities trading accounts increased by 60% compared to 2024; at the same time, the number of newly opened margin trading accounts increased by 61% compared to the same period of the previous year, reflecting a significant increase in the Company's customer base as well as the level of utilisation of the Company's products and services.
- Revenue from the Company's major business segments recorded positive results:

No.	Indicator	Year 2025 (VND billion)	Year 2024 (VND billion)	Increase/ decrease (%)
1	Gain on financial assets measured at fair value through profit or loss (FVTPL)	6,898.33	1,188.32	581
2	Gain on held-to-maturity investments	75.23	6.77	1,111
3	Gain on loans and receivables	1,068.89	488.34	219
4	Revenue from securities brokerage operations	215.14	135.02	159
5	Revenue from securities underwriting, issuance agency, and financial advisory services	16.35	15.27	107
6	Revenue from securities depository services	5.19	4.09	127

(Source: 2025 Audited Financial Statements)

b. Assessment / Review of the Financial Statements and Business Results

- In 2025, the BOS conducted inspections of the quarterly financial statements, the Review semi-annual Financial Statements, and the audited annual report. Through its inspection activities, the BOS found that the Company's financial and accounting work was carried out appropriately and in full compliance with accounting standards, the accounting regime, and applicable legal regulations.

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- In 2025, the Company selected Ernst & Young Vietnam Co., Ltd. as the auditing firm for the 2025 Semi-annual financial statements and the 2025 Financial Statements in compliance with the Resolution of the General Meeting of Shareholders. The BOS concurs with the auditor's opinion that: the Financial Statements give a true and fair view in all material respects of the financial position of the Company as at 31 December, 2025.

- In the course of its supervisory activities, the BOS did not identify any material irregularities affecting the Company's business operations and financial position in 2025.

- The full text of VIX's 2025 Audited Financial Statements has been published on the Company's website at the following link: <https://vixs.vn/bao-cao>

- The following are key financial indicators of the Company as at 31/12/2025:

No.	Indicator	Year 2025 (VND billion)	Year 2024 (VND billion)	Increase/ decrease (%)
1	Total revenue	8,288.75	1,847.50	449
2	Profit before tax	6,717.01	815.13	824
3	Corporate income tax	1,306.99	151.81	861
4	Profit after tax	5,410.02	663.32	816
5	Earnings per share (VND)	3,533	757	467

c. Assessment Report on the Company's Transactions with Related Parties

In 2025, the Company had no transactions with related parties.

3. Results of Supervision of the BOD's management and governance activities

In 2025, the BOD members of the Company were as follows:

No.	BOD Member	Position	Date of Commencement / Cessation as BOD Member / Independent BOD Member	
			Date of Appointment	Date of Dismissal
1	Nguyen Tuan Dung (*)	Chairman of the Board of Directors	25/06/2021	
2	Do Ngoc Dinh	BOD Member – Deputy CEO	23/05/2025	
3	Cao Thi Hong	Independent BOD Member	25/06/2021	
4	Ha Huy Hung	Independent BOD Member	23/05/2025	

5	Phan Duc Linh	Independent BOD Member	28/11/2025	
6	Thai Hoang Long	BOD Member		23/05/2025
7	Truong Ngoc Lan	BOD Member – CEO		28/11/2025
8	Tran Thi Hong Ha	Independent BOD Member		23/05/2025

(*) *Mr. Nguyen Tuan Dung was elected Chairman of the Board of Directors from 28/05/2025.*

- In 2025, the BOD held 70 meetings and issued 79 resolutions. All Resolutions and Decisions issued by the BOD were in accordance with the proper procedures and authority, and in compliance with applicable law, the Company Charter and relevant internal regulations.

- According to the BOS's assessment, the BOD and its members effectively fulfilled their governance, direction, and supervisory role over the Company's operations. At the same time, the BOD efficiently implemented the matters approved by the General Meeting of Shareholders. Coordination between the BOD and the Executive Management was maintained on a regular basis, ensuring timely exchange of information, resolution of emerging issues, and implementation of management activities, thereby contributing to the enhancement of the Company's operational efficiency.

4. Results of Supervision of the management and administration activities of the Executive Management and other managers.

- In 2025, the Executive Management conducted its management activities in accordance with the Resolutions of the BOD and the GMS. On a monthly/quarterly basis, the Executive Management organised management briefings with managers to update on operational status, provide timely direction for resolving emerging issues, and address difficulties and obstacles encountered in the course of work implementation across departments.

- Corporate governance and internal control were given close attention by the Executive Management in their implementation, ensuring that all activities and operational procedures complied with applicable law, the Company Charter, and relevant internal regulations. Through inspection and supervisory activities, no cases of self-dealing or material conflict of interest adversely affecting the Company's operations and interests were identified.

- According to the Board of Supervisor's assessment, the Executive Management and other managers performed their management and administrative functions effectively,

ensuring efficient coordination among departments and units, contributing to the maintenance of stable operations and the achievement of the set objectives and plans.

5. Coordination between the BOS, BOD, and the Executive Management

- In 2025, the BOD and the Executive Management maintained close coordination with the BOS in the course of governing and managing the Company's operations. The BOS was given the opportunity to attend BOD meetings relevant to the Company's activities in order to stay abreast of implementation status, emerging issues, and matters requiring supervision.

- Through regular information exchange and coordination among the parties, the BOS effectively fulfilled its supervisory function; at the same time, the BOS's opinions and recommendations were duly considered and taken into account by the BOD and the Executive Management in the course of decision-making and implementation.

- In 2025, the BOS did not receive any shareholder complaints, inquiries, or feedback regarding violations committed by the BOD, the Executive Management, or the BOS in the course of performing their assigned duties.

5. BOS Operating Expenses and Remuneration

- BOS operating expenses in 2025: None.

- Total BOS remuneration in 2025: VND 144,000,000.

Part II. BOS Recommendations and Operational Orientation for 2026

Persuant to applicable law and the Company Charter, in order to progressively enhance the effectiveness of management, direction, and business operations, the BOS puts forward the following recommendations:

- Continuously improve the quality of governance and management by the BOD and the Executive Management to create an efficient working environment, maximising the capacity and initiative of the workforce.

- Regularly review and update the system of internal documents and operational procedures to ensure streamlining, compliance with applicable law, and responsiveness to the Company's practical operational requirements at each stage of development.

- Research and diversify the Company's products and services to enhance competitiveness, meet the increasingly diverse needs of investors, and align with market development trends.

- Strengthen the application of technology in governance, supervision, and risk alerting to enhance the capacity for identifying, detecting early, and promptly addressing emerging risks, particularly in conditions of market volatility.

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- Promote in-depth training and standardisation of the workforce's competencies to improve human resource quality, meet development requirements, and adapt to international integration trends, particularly in the context of Vietnam's stock market progressively advancing toward a market upgrade.

The foregoing constitutes the Report of the Board of Supervisor on the Company's business results and the performance of the Board of Directors and the Executive Management in 2025. The BOS sincerely thanks the BOD members, the Executive Management, leadership, and all staff and employees of the Company for their support in enabling the BOS to fulfil its duties effectively.

We respectfully present this report to the General Meeting.

**ON BEHALF OF THE BOARD OF SUPERVISOR
HEAD OF THE BOARD**



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TRAN HONG VAN

No.: 03/2026/VIX/BC-BKS

Hanoi, June 05, 2026

**2025 SELF-ASSESSMENT REPORT ON THE PERFORMANCE OF THE
BOARD OF SUPERVISORS AND EACH MEMBER**

To: Shareholders of VIX Securities Joint Stock Company

On the basis of the results of its inspection and supervisory activities during the year, the Board of Supervisor (BOS) respectfully presents to the 2026 Annual General Meeting of Shareholders (AGM) this Self-Assessment Report on the performance of the BOS and Each Member, specifically as follows:

1. Assessment of the Performance of the Company's Board of Supervisor:

- Composition of the BOS: Throughout 2025, the BOS maintained a composition of 03 members (comprising 01 Head of the Board and 02 Members), ensuring compliance with the personnel composition requirements for the BOS as prescribed in Article 37 of the Company Charter.

- Activities of the BOS:

In 2025, the Board of Supervisor held 04 meetings, in compliance with the minimum requirement of 02 meetings per year as stipulated in the Company Charter. The meetings focused on key matters including: approval of the BOS Report to be presented at the 2025 Annual General Meeting of Shareholders; election of the Head of the Board of Supervisor; assignment of duties and remuneration for BOS members; and assessment of the Company's operations in the first six months of the year.

In addition to regular meetings and direct working sessions, the BOS maintained regular information and document exchange among its members, and discussed and reached consensus on measures to improve the BOS's operational effectiveness. The BOS also attended BOD meetings to stay abreast of operational developments and issues arising in the course of the Company's activities.

- Results of task performance:

In 2025, BOS Members performed their duties in accordance with their assigned scope of responsibilities and the Operating Regulations of the BOS, focusing primarily on the following:

- ✓ Supervising the activities of the BOD and the Executive Management in their compliance with applicable law, the Company Charter, and the Company's internal regulations.



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- ✓ Inspecting the issuance of documents and implementation procedures by the BOD and the Executive Management relating to the Company's business activities.
- ✓ Examining the reasonableness, legality, and accuracy of the organisation of accounting, the preparation of Financial Statements, and the storage of accounting documents.
- ✓ Reviewing the quarterly/semi-annual financial statements and the 2025 annual Financial Statements.
- ✓ Inspecting and supervising the implementation of the business plan and all other matters approved by the General Meeting of Shareholders.

2. Assessment of Each Member:

- All BOS Members attended all meetings convened by the Head of the BOS.
- Actively contributed opinions and participated in discussions on matters raised at meetings.
- BOS Members proactively performed their assigned duties, liaised with relevant departments, and collaborated with the Company's Internal Control department to fulfil their responsibilities:

- Ms. Tran Hong Van – Head of the BOS: responsible for overall management, chairing regular and extraordinary BOS meetings, liaising with shareholders, and receiving and processing documents relating to the BOS from the BOD, the Executive Management, and shareholders. Together with the BOS Members, she maintained close oversight of all of the Company's operations.
- Ms. Trinh Thi My Le: responsible for inspection and supervision of management and administration of business operations, accounting organisation, and preparation of Financial Statements. Also responsible for supervising the implementation of the General Meeting of Shareholders' resolutions on matters relating to finance, investment, and use of capital.
- Ms. Nguyen Thi Duyen: responsible for reviewing the preparation and issuance of legal documents, procedures, and operating regulations of the Company, and supervising compliance with applicable law in respect of the Company's organisation and operations, information disclosure, and implementation of employee welfare policies. Also responsible for inspecting and supervising the convening and conduct of General Meetings of Shareholders in accordance with the proper procedures and requirements of applicable law and the Company Charter.



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In 2025, the BOS satisfactorily fulfilled its duties in accordance with its functions, responsibilities, and authority as prescribed by applicable law, the Company Charter, and relevant internal regulations.

During the year, the Board of Supervisor did not receive any shareholder complaints, recommendations, or requests for resolution from any shareholder or group of shareholders. Accordingly, no cases arose requiring the BOS to review, process complaint files, or provide related explanations to the Board of Directors, shareholders, or groups of shareholders.

The foregoing constitutes the 2025 Self-Assessment Report on the performance of the BOS and Each Member, presented to the 2026 Annual General Meeting of Shareholders.

We respectfully present this report to the General Meeting.

**ON BEHALF OF THE BOARD OF SUPERVISOR
HEAD OF THE BOARD**



TRAN HONG VAN



PROPOSAL

**Re: Approval of 2025 Business Results, 2025 Audited Financial Statements,
and 2025 Profit Distribution Plan**

To: The General Meeting of Shareholders of VIX Securities Joint Stock Company

Pursuant to:

- Law on Enterprises No. 59/2020/QH14 dated 17 June 2020 and its implementing regulations;
- Law on Securities No. 54/2019/QH14 dated 26 November 2019 and its implementing regulations;
- The Charter of VIX Securities Joint Stock Company.

The Board of Directors of VIX Securities Joint Stock Company respectfully submits to the General Meeting of Shareholders (GMS) for approval the 2025 business results, the 2025 Financial Statements audited by Ernst & Young Vietnam Co., Ltd., and the 2025 Profit Distribution Plan, specifically as follows:

1. 2025 Business Results

No.	Indicator	2025 Plan (VND)	2025 Audited Financial Statements (VND)	Achievement Rate (%)
(1)	(2)	(3)	(4)	(5) = (4) / (3)
1	Profit before tax	6.500.000.000.000	6.717.012.326.793	103
2	Profit after tax	5.200.000.000.000	5.410.022.178.202	104

2. 2025 Financial Statements audited by Ernst & Young Vietnam Co., Ltd.

The full 2025 Audited Financial Statements of VIX Securities Joint Stock Company have been published on the Company's website at the following link: <https://vixs.vn/bao-cao>



3. 2025 Profit Distribution Plan

No.	Indicator	Notes	Amount (VND)
1	Profit after tax realised in 2025		2.418.840.995.032
2	Undistributed profit after tax realised from prior period carried forward		80.316.215.847
3	Total undistributed profit after tax realised as at 31/12/2025	(3) = (1) + (2)	2.499.157.210.879
4	2025 dividend payment at a rate of 5% in the form of shares	Estimated	1.225.143.886.000
5	Remaining undistributed profit after tax realised to be carried forward to next year	Estimated	1.274.013.324.879

The Board of Directors submits to the GMS for approval the payment of a 2025 dividend at a rate of 5% ratio in the form of shares, sourced from undistributed post-tax profit realised as reflected in the 2025 Audited Financial Statements. This dividend is calculated on the Company's current charter capital of VND 24.502.877.720.000.

We respectfully submit this matter to the General Meeting of Shareholders for its consideration and approval.

Recipients:

- GMS;
- Archived: General Affairs/
BOS/ BOD.

**ON BEHALF OF THE BOARD OF DIRECTORS
CHAIRMAN OF THE BOARD OF DIRECTORS**



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NGUYEN TUAN DUNG

PROPOSAL

Re: Approval of Remuneration and Other Benefits for BOD and BOS Members for 2025 and the Remuneration Plan for the BOD and BOS for 2026

To: The General Meeting of Shareholders of VIX Securities Joint Stock Company

Pursuant to:

- Law on Enterprises No. 59/2020/QH14 adopted by the National Assembly of the Socialist Republic of Vietnam on 17/06/2020 and its implementing regulations;
- Law on Securities No. 54/2019/QH14 adopted by the National Assembly of the Socialist Republic of Vietnam on 26/11/2019 and its implementing regulations;
- The Charter of VIX Securities Joint Stock Company.

The Board of Directors of VIX Securities Joint Stock Company respectfully submits to the General Meeting of Shareholders (GMS) for approval the payment of remuneration to the Board of Directors (BOD) and the Board of Supervisors (BOS) for 2025 and the remuneration plan for the BOD and BOS for 2026, specifically as follows:

I. Remuneration for the BOD and BOS for 2025

Pursuant to the Resolution of the 2025 Annual General Meeting of Shareholders and the actual operating results, the Board of Directors respectfully submits to the GMS for its consideration and approval the remuneration paid to the BOD and BOS for 2025 in the amount of **VND 2.150.000.000**, with details as follows:

No.	Body	Remuneration from 01/01/2025 to 31/12/2025 (VND)
1	Board of Directors	2.006.000.000
2	Board of Supervisors	144.000.000
	Total remuneration for the BOD and BOS for 2025	2.150.000.000

II. Remuneration Plan for the BOD and BOS for 2026

The BOD respectfully submits to the General Meeting of Shareholders for approval the remuneration plan for the BOD and BOS for 2026 as follows:



**VIX SECURITIES
JOINT STOCK COMPANY**

No.: 03/2026/VIX/TT-HĐQT

SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

Hanoi, June 05, 2026

PROPOSAL
Re: 2026 Business Plan

To: The General Meeting of Shareholders of VIX Securities Joint Stock Company

Pursuant to:

- Law on Securities No. 54/2019/QH14 dated 26 November 2019 and its implementing regulations;
- Law on Enterprises No. 59/2020/QH14 dated 17 June 2020 and its implementing regulations;
- The Charter of VIX Securities Joint Stock Company.

The Board of Directors of VIX Securities Joint Stock Company respectfully submits to the General Meeting of Shareholders for approval the 2026 Business Plan with the following key financial indicators:

No.	Indicator	Unit	2026 Plan
1	Profit before tax	VND billion	3.500
2	Profit after tax	VND billion	2.800

We respectfully submit this matter to the General Meeting of Shareholders for its consideration and approval.

Recipients:

- GMS;
- Archived: General Affairs / BOS / BOD.

**ON BEHALF OF THE BOARD OF DIRECTORS
CHAIRMAN OF THE BOARD OF DIRECTORS**



NGUYEN TUAN DUNG

No.: 04/2026/VIX/TT-BKS

Hanoi, June 05, 2026

PROPOSAL

**Re: Approval of the List of Independent Auditing Firms
for Auditing the 2026 Financial Statements**

To: The General Meeting of Shareholders of VIX Securities Joint Stock Company

Pursuant to:

- Law on Enterprises No. 59/2020/QH14 dated 17/6/2020 and its implementing regulations;
- Law on Securities No. 54/2019/QH14 dated 26/11/2019 and its implementing regulations;
- The Company Charter of VIX Securities Joint Stock Company.

The Board of Supervisor of VIX Securities Joint Stock Company ("VIX" / "the Company") respectfully submits to the General Meeting of Shareholders for approval the selection of the auditing firms for auditing the 2026 Financial Statements, specifically as follows:

1. List of Auditing Firms for auditing VIX's 2026 Financial Statements

The list of firms proposed for selection is as follows:

- Ernst & Young Vietnam Co., Ltd.;
- PwC (Vietnam) Co., Ltd.;
- Deloitte Vietnam Audit Co., Ltd.;
- KPMG Co., Ltd.

2. Selection of the Auditing Firm

The General Meeting of Shareholders authorizes the Board of Directors to select one of the above-mentioned auditing firms to enter into an audit agreement for the 2026 Financial Statements in accordance with applicable law.

We respectfully submit this matter to the General Meeting of Shareholders for its consideration and approval.

Recipients:

- General Meeting of Shareholders;
- Archived: General Affairs / BOS / BOD.

**ON BEHALF OF THE BOARD OF SUPERVISOR
HEAD OF THE BOARD**



TRAN HONG VAN

PROPOSAL

Re: Approval of the share issuance plan for payment of 2025 dividends

To: The General Meeting of Shareholders of VIX Securities Joint Stock Company

Pursuant to:

- Law on Enterprises No. 59/2020/QH14 dated June 17, 2020;
- Law on Securities No. 54/2019/QH14 dated November 26, 2019;
- Decree No. 155/2020/NĐ-CP dated December 31, 2020 of the Government detailing the implementation of a number of articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/ND-CP;
- Circular No. 118/2020/TT-BTC dated December 31, 2020 of the Minister of Finance providing guidance on offering and issuance of securities, tender offer, share repurchase, registration and delisting of public companies, as amended and supplemented by Circular No. 115/2025/TT-BTC dated December 15, 2025;
- Circular No. 121/2020/TT-BTC dated December 31, 2020 of Ministry of Finance prescribing operation of securities companies;
- Charter of VIX Securities Joint Stock Company;
- The Company's business performance and capital demand to enhance financial capacity, supplement resources and expand business operations serving the Company's business activities.

The Board of Directors of VIX Securities Joint Stock Company respectfully submits to the General Meeting of Shareholders for consideration and approval the share issuance plan for payment of 2025 dividends with the following principal contents:

1. Exercise ratio: 20:1 (equivalent to a stock dividend ratio of 5%)
2. Method for handling fractional shares: Additional shares issued for dividend payment to existing shareholders shall be rounded down to the nearest whole share. Fractional shares (if any) shall be cancelled.
3. Implementation timeline: After the approval by the General Meeting of Shareholders and acceptance by the State Securities Commission of Vietnam (expected in Quarter 3, 2026).
4. Expected change in the Company's charter capital after the issuance:
 - + Charter capital before the issuance: VND 24,502,877,720,000
 - + Number of shares before the issuance: 2,450,287,772 shares
 - + Total expected additional shares to be issued: 122,514,388 shares
 - + Total expected issuance value at par value: VND 1,225,143,880,000
 - + Expected charter capital after the issuance: VND 25,728,021,600,000
5. Detailed issuance plan: Attached to this Proposal.

Respectfully submitted to the General Meeting of Shareholders for consideration and approval!

Recipients:

- GMS;
- Archive Office/BOS/BOD.

ON BEHALF OF THE BOARD OF DIRECTORS

CHAIRMAN
CÔNG TY
CỔ PHẦN
CHỨNG KHOÁN
VIX
P. HAI BÀ TRUNG
H. HÀ NỘI

NGUYEN TUAN DUNG

SHARE ISSUANCE PLAN FOR PAYMENT OF 2025 DIVIDENDS

(Attached to Proposal No: 05/2026/VIX/TT-HĐQT of the Board of Directors dated 05/06/2026)

I. Principal terms of the Plan

1.	Name of shares	Shares of VIX Securities Joint Stock Company
2.	Stock code	VIX
3.	Type of shares	Common shares
4.	Par value	VND 10,000 per share
5.	Expected number of shares to be issued	122,514,388 shares
6.	Expected total issuance value at par value	VND 1,225,143,880,000
7.	Issuance ratio (Expected number of shares to be issued/Outstanding shares)	5%
8.	Exercise ratio	20:1 (A shareholder owning 20 shares shall receive 01 new share)
9.	Offerees	Existing shareholders whose names appear on the shareholder list as of the record date for entitlement to stock dividend distribution provided by the Vietnam Securities Depository and Clearing Corporation (VSDC) in accordance with regulations
10.	Source of issuance capital	Undistributed after-tax profit as recorded in the audited 2025 financial statements of VIX Securities Joint Stock Company
11.	Method for handling fractional shares	Additional shares issued for dividend payment to existing shareholders shall be rounded down to the nearest whole share. Fractional shares (if any) shall be cancelled. <i>Example: On the record date for entitlement to stock dividend distribution, shareholder Nguyễn Văn A owns 588 shares. Accordingly, shareholder A shall be entitled to receive additional shares issued for dividend payment calculated as follows: $(588:20) \times 1 = 29.4$ shares, rounded down to 29 shares. The fractional portion of 0.4 share shall be cancelled.</i>
12.	Transfer restriction	Share issued for payment of 2025 stock dividends to existing shareholders shall not be subject to transfer restriction.

II. Amendment/Adjustment to the Establishment and Operation License, the Enterprise Registration Certificate and the Company Charter

The General Meeting of Shareholders authorizes the Board of Directors to amend and supplement the Charter of VIX Securities Joint Stock Company and undertake procedures for amendment/adjustment to the Establishment and Operation License and the Enterprise



Registration Certificate in accordance with the new charter capital upon completion of the issuance.

III. Approval for additional securities depository registration and listing registration

The General Meeting of Shareholders approves the registration (adjustment to information on the registered number of securities) and additional depository registration of all additionally issued shares at the Vietnam Securities Depository and Clearing Corporation, as well as the additional listing registration of all such shares at the Stock Exchange where the Company's shares are listed, and authorizes the Board of Directors to implement and complete all relevant procedures immediately upon completion of the issuance.

IV. Approval of authorization from the General Meeting of Shareholders to the Board of Directors

The General Meeting of Shareholders authorizes the Board of Directors to decide the following matters relating to the issuance:

1. To determine the issuance timing and prepare and finalize the dossier for issuance of shares for dividend payment to be submitted to the State Securities Commission of Vietnam. In the event that the State Securities Commission of Vietnam requests amendments or supplements, the Board of Directors shall be authorized to decide on such amendments and supplements in accordance with the comments/requests of the State Securities Commission of Vietnam.
2. To determine an appropriate timeline for fixing the record date and other timelines relating to the implementation of the share issuance in accordance with regulations.
3. To amend and supplement Clause 1, Article 6 of the Company Charter (provisions on charter capital and number of shares) and other relevant provisions of the Company Charter in accordance with the actual issuance results.
4. To undertake procedures for amendment/adjustment to the Establishment and Operation License and the Enterprise Registration Certificate of the Company relating to the change in charter capital in accordance with the actual issuance results.
5. To undertake procedures for registration (adjustment to information on the registered number of securities), additional depository registration of all issued shares at the Vietnam Securities Depository and Clearing Corporation and additional listing registration of all such shares at the Stock Exchange where the Company's shares are listed;
6. In addition to the matters set out above, the General Meeting of Shareholders also approves the authorization for the Board of Directors to decide all other related matters arising during the implementation of the share issuance for dividend payment in accordance with regulations.



ON BEHALF OF THE BOARD OF DIRECTORS

CHAIRMAN

NGUYEN TUAN DUNG



PROPOSAL

**Re: Provision of Securities Clearing and Settlement Services under the
Central Counterparty Mechanism**

**To: The General Meeting of Shareholders of VIX Securities Joint Stock
Company**

Pursuant to:

- *Law on Enterprises No. 59/2020/QH14 dated 17/6/2020 and its implementing regulations;*
- *Law on Securities No. 54/2019/QH14 dated 26/11/2019 and its implementing regulations;*
- *Decree No. 155/2020/NĐ-CP dated 31/12/2020 of the Government providing detailed regulations for the implementation of certain provisions of the Securities Law, as amended and supplemented by Decree No. 245/2025/NĐ-CP;*
- *The Charter of VIX Securities Joint Stock Company.*

The Board of Directors (BOD) of VIX Securities Joint Stock Company ("VIX" / "the Company") respectfully submits to the General Meeting of Shareholders for approval the implementation of activities relating to the provision of securities clearing and settlement services under the central counterparty mechanism, specifically as follows:

Pursuant to the provisions of Article 151 of Decree No. 155/2020/NĐ-CP dated 31/12/2020 of the Government on the conditions for provision of securities clearing and settlement services, the Company has satisfied the prescribed conditions, including:

- The Company has been granted the Certificate of Registration for Securities Depository Operations No. 01/UBCK-GCN issued by the State Securities Commission of Vietnam (SSC) on 10/12/2007.
- The Company has satisfied the conditions relating to charter capital and owners' equity (in excess of the minimum threshold of VND 250 billion for direct clearing members and VND 900 billion for general clearing members as prescribed);
- The Company has satisfied the applicable financial safety conditions and other legal requirements (specifically: the Company has made provisions in accordance with applicable regulations; the debt-to-equity ratio as reported in the most recent annual financial statements is 0.59 times (satisfying the requirement



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of no more than 05 times); and the available capital ratio has been continuously maintained above the minimum threshold of 260% as required over the most recent 12-month period).

On the foregoing basis, the BOD respectfully submits to the General Meeting of Shareholders for its consideration and approval of the following matters:

1. To approve for the Company to proceed with implementing activities relating to the provision of securities clearing and settlement services under the central counterparty mechanism.
2. To delegate and authorize to the BOD to undertake the registration procedures and other related procedures with the competent regulatory authorities; to organise the implementation of the aforementioned services; and to resolve related matters as they arise in accordance with applicable law and the Company Charter. The BOD shall be permitted to sub-delegate authority to the Chief Executive Officer (CEO) to decide and implement tasks within the permitted scope of authorisation.

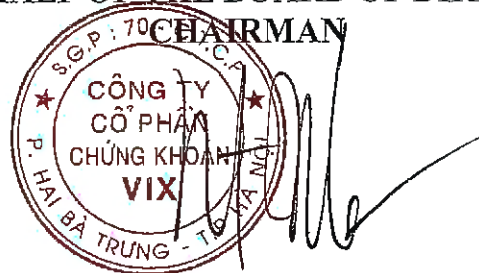


We respectfully submit this matter to the General Meeting of Shareholders for its consideration and approval.

Recipients:

- Archived: GMS;
- Archived: General Affairs / BOS / BOD.

**ON BEHALF OF THE BOARD OF DIRECTORS
CHAIRMAN**



NGUYEN TUAN DUNG

PROPOSAL

**Re: Approval of Amendments and Supplements to the Company Charter
of VIX Securities Joint Stock Company**

To: The General Meeting of Shareholders of VIX Securities Joint Stock Company

Pursuant to:

- Law on Enterprises No. 59/2020/QH14 dated 17/06/2020 and its amending, supplementing, and implementing regulations;
- Law on Securities No. 54/2019/QH14 dated 26/11/2019 and its amending, supplementing, and implementing regulations;
- Decree No. 155/2020/NĐ-CP dated 31/12/2020 of the Government providing detailed regulations for the implementation of certain provisions of the Securities Law, as amended and supplemented by Decree No. 245/2025/NĐ-CP dated 11/9/2025 of the Government;
- The Charter of VIX Securities Joint Stock Company ("the Company").

In order to revise, amend, and supplement certain provisions of the Company Charter in accordance with applicable law currently in force, the Board of Directors respectfully submits to the General Meeting of Shareholders for its consideration and approval of the following matters:

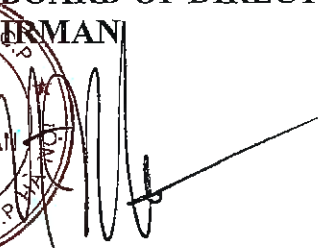
1. Approval of the amendments and supplements to the Company Charter, with details as set out in the "Schedule of Amendments and Supplements to the Company Charter of VIX Securities Joint Stock Company" attached to this Submission.
2. The amended Company Charter shall take effect from the date on which it is approved by the 2026 Annual General Meeting of Shareholders.

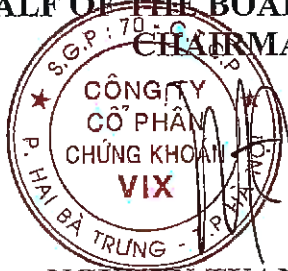
We respectfully submit this matter to the General Meeting of Shareholders for its consideration and approval.

Recipients:

- GMS;
- Archived: General Affairs /
BOS / BOD.

ON BEHALF OF THE BOARD OF DIRECTORS

CHAIRMAN

NGUYEN TUAN DUNG



LIST OF AMENDMENTS AND SUPPLEMENTS TO THE CHARTER OF VIX SECURITIES JOINT STOCK COMPANY

(Attached to proposal No. 07/2026/VIX/TT-HĐQT dated 05/06/2026)

Explanatory Notes:

- Content that is underlined indicates the amendments and supplements of the new Charter compared with the current Charter.

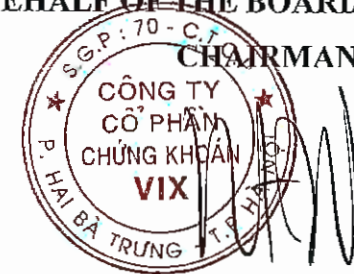
No.	Article, Clause, Point	Amended and Supplemented Contents		Reason for the Proposed Amendment and Supplement
		Current Charter	New Charter	
1	Preamble	This Charter is adopted pursuant to Resolution of the General Meeting of Shareholders No. 01/2023/VIX/NQ-ĐHCĐ dated 15 April 2023, <u>Resolution of the 2025 Extraordinary General Meeting of Shareholders No. 02/2025/VIX/NQ-ĐHCĐ dated 28 November 2025, and Resolution of the Board of Directors No. 17/2026/VIX/NQ-HĐQT dated 21 April 2026, in order to reflect the new charter capital scale in accordance with the authorization of the General Meeting of Shareholders.</u>	This Charter is adopted pursuant to Resolution of the General Meeting of Shareholders <u>No. 01/2026/VIX/NQ-ĐHCĐ dated 26 June 2026.</u>	Amended to reflect the number and date of the Resolution of the 2026 Annual General Meeting of Shareholders.
2	Clause 3, Article 2	3. Registered Office of the Company: - Head office address: Floor 22, No. 52 Le Dai Hanh Street, <u>Le Dai Hanh Ward, Hai Ba Trung District, Hanoi.</u>	3. Registered Office of the Company: - Head office address: Floor 22, No. 52 Le Dai Hanh Street, <u>Hai Ba Trung Ward, Hanoi.</u>	Updated the registered office address in line with the change of administrative boundaries and the current Business Registration Certificate.

No.	Article, Clause, Point	Amended and Supplemented Contents		Reason for the Proposed Amendment and Supplement
		Current Charter	New Charter	
3	Clause 1, Article 21	1. A resolution on the following matters shall be approved if it is approved by shareholders representing 65% or more of the total voting rights of all shareholders <u>attending the meeting</u> , except for the cases stipulated in Clauses 3, 4 and 6 of Article 148 of the Law on Enterprises:	1. A resolution on the following matters shall be approved if it is approved by shareholders representing 65% or more of the total voting rights of all shareholders <u>attending and voting at the meeting</u> , except for the cases stipulated in Clauses 3, 4 and 6 of Article 148 of the Law on Enterprises:	Amended pursuant to Clause 5, Article 7 of Law No. 03/2022/QH15 amending certain articles of the Law on Enterprises 2020.
4	Clause 2, Article 21	2. Resolutions shall be approved when approved by shareholders holding more than 50% of the total voting rights of all shareholders <u>attending the meeting</u> , except for the cases stipulated in Clause 1 of this Article and Clauses 3, 4 and 6 of Article 148 of the Law on Enterprises.	2. Resolutions shall be approved when approved by shareholders holding more than 50% of the total voting rights of all shareholders <u>attending and voting at the meeting</u> , except for the cases stipulated in Clause 1 of this Article and Clauses 3, 4 and 6 of Article 148 of the Law on Enterprises.	Amended pursuant to Clause 5, Article 7 of Law No. 03/2022/QH15 amending certain articles of the Law on Enterprises 2020.
5	Clause 1, Article 64	1. This Charter, comprising 21 sections and 64 articles, was unanimously adopted by the General Meeting of Shareholders of VIX Securities Joint Stock Company, which also approved the full text and effectiveness of this Charter on 15 April 2023 <u>and was amended as to the provision on the charter capital level with the unanimous agreement of the Board of Directors of VIX Securities Joint Stock Company on 21 April 2026 in Hanoi under the authorization of the 2025 Extraordinary General Meeting of Shareholders.</u>	1. This Charter, comprising 21 sections and 64 articles, was unanimously adopted by the General Meeting of Shareholders of VIX Securities Joint Stock Company, which also approved the full text and effectiveness of this Charter <u>on 26 June 2026 in Hanoi.</u>	Amended to reflect the date approved by the 2026 Annual General Meeting of Shareholders.

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No.	Article, Clause, Point	Amended and Supplemented Contents		Reason for the Proposed Amendment and Supplement
		Current Charter	New Charter	
6	Clause 5, Article 64	5. This Charter takes effect from <u>21 April 2026.</u>	5. This Charter takes effect from <u>26 June 2026.</u>	Amended to reflect the date approved by the 2026 Annual General Meeting of Shareholders.

ON BEHALF OF THE BOARD OF DIRECTORS



NGUYEN TUAN DUNG

PROPOSAL

Re: Approval of dismissal of members of the Board of Directors and members of the Board of Supervisors upon completion of the 2021 - 2026 term

To: The General Meeting of Shareholders of VIX Securities Joint Stock Company

Pursuant to:

- *The Law on Enterprises No. 59/2020/QH14 passed by the National Assembly of the Socialist Republic of Vietnam on 17 June 2020 and its guiding documents;*
- *The Law on Securities No. 54/2019/QH14 passed by the National Assembly of the Socialist Republic of Vietnam on 26 November 2019 and its guiding documents;*
- *The Charter of VIX Securities Joint Stock Company.*

The Board of Directors (BOD) of VIX Securities Joint Stock Company respectfully submits to the General Meeting of Shareholders for consideration and approval the dismissal of members of the Board of Directors and members of the Board of Supervisors upon the completion of the 2021 - 2026 term, specifically as follows:

1. Dismissal of 02 members of the BOD upon the completion of the 2021 - 2026 term of office:
 - Mr. Nguyen Tuan Dung
 - Ms. Cao Thi Hong
2. Dismissal of 03 members of the Board of Supervisors upon the completion of the 2021 - 2026 term of office:
 - Ms. Tran Hong Van
 - Ms. Trinh Thi My Le
 - Ms. Nguyen Thi Duyen

Respectfully submitted to the General Meeting of Shareholders for consideration and approval!

Recipients:

- GMS;
- Archive at: Office/BOS/BOD.

ON BEHALF OF THE BOARD OF DIRECTORS



NGUYEN TUAN DUNG

Hanoi, June 05, 2026

**NOTICE OF NOMINATION/ SELF-NOMINATION OF CANDIDATES
FOR THE BOARD OF DIRECTORS AND THE BOARD OF SUPERVISOR
TERM 2026–2031**

To: Shareholders of VIX Securities Joint Stock Company

Pursuant to:

- *Law on Enterprises No. 59/2020/QH14 dated June 17, 2020 of the National Assembly of the Socialist Republic of Vietnam and its implementing guidelines;*
- *Law on Securities No. 54/2019/QH14 dated November 26, 2019 of the National Assembly of the Socialist Republic of Vietnam and its implementing guidelines;*
- *The Charter of VIX Securities Joint Stock Company.*

The Board of Directors of VIX Securities Joint Stock Company ("the Company/VIX") respectfully announces:

At the 2026 Annual General Meeting of Shareholders, VIX plans to elect 02 (two) members of the Board of Directors (BOD) and 03 (three) members of the Board of Supervisor (BOS) whose terms have expired. The term of the newly elected BOD and BOS members shall be 05 (five) years: 2026–2031.

The Board of Directors hereby notifies Shareholders of the opportunity to run as candidates or nominate qualified candidates for the positions of BOD and BOS members as follows:

I. NOMINATION/ SELF-NOMINATION FOR THE BOARD OF DIRECTORS

1. Criteria and Eligibility for BOD Candidacy and Nomination

(Excerpt from the Company Charter)

Article 25. Candidacy and Nomination of Board of Directors Members.

4. Board of Directors members must meet the following criteria and conditions:

- a) Not falling within the cases specified in Clause 2, Article 17 of the Law on Enterprises;
- b) Having professional qualifications and experience in business administration or in the fields of securities, finance, or banking;
- c) Not simultaneously serving as a member of the BOS of the Company;



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d) Not simultaneously serving as a member of the Board of Directors, a member of the Members' Council, or Chief Executive Officer (Director) of another securities company;

đ) May simultaneously serve as a member of the Board of Directors of no more than 05 other companies.

2. Regulations on BOD Candidacy and Nomination

(Except from the Company Charter)

Article 25. Candidacy and Nomination of Board of Directors Members.

2. Shareholders or groups of shareholders holding 10% or more of total common shares are entitled to nominate BOD candidates. Shareholders or groups holding 10% of common shares may nominate one (01) candidate; above 10% to 20% may nominate up to two (02) candidates; above 20% to 40% up to three (03) candidates; above 40% to 50% up to four (04) candidates; above 50% up to the full required number of candidates.
3. If the number of BOD candidates through nomination and self-nomination is still insufficient, the incumbent Board of Directors may introduce additional candidates or organize nominations in accordance with the Company Charter, the Internal Regulation on Corporate Governance, and the Operating Regulation of the Board of Directors. Any additional candidate introduction by the incumbent BOD must be disclosed clearly before the General Meeting of Shareholders votes to elect BOD members in accordance with applicable law.

II. NOMINATION/ SELF-NOMINATION FOR THE BOARD OF SUPERVISOR

1. Criteria and Eligibility for BOS Candidacy and Nomination

(Excerpt from the Company Charter)

Article 37. Composition of the Board of Supervisor

2. Members of the Board of Supervisor must meet the criteria and conditions stipulated in Article 169 of the Law on Enterprises and must not fall into any of the following cases:

- a) Working in the accounting or finance department of the Company;
- b) Being a member or employee of an independent auditing firm that has audited the Company's financial statements in the three immediately preceding years.

(Excerpt from the Law on Enterprises)

Article 169. Criteria and Conditions for Members of the Board of Supervisor

1. Members of the Board of Supervisor must meet the following criteria and conditions:

- a) Not falling within the cases specified in Clause 2, Article 17 of this Law;
- b) Having been trained in one of the following disciplines: economics, finance, accounting, auditing, law, business administration, or a discipline relevant to the business activities of the enterprise;



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- c) Not being a family member of any BOD member, the Director or Chief Executive Officer, or any other manager of the Company;
- d) Not being a manager of the Company; not required to be a shareholder or employee of the Company, unless otherwise provided for by the Company Charter;
- đ) Other criteria and conditions as required by relevant applicable laws and the Company Charter.

2. In addition to the criteria and conditions specified in Clause 1 of this Article, members of the Board of Supervisor of a public company or a state-owned enterprise as defined in Point b, Clause 1, Article 88 of this Law must not be family members of the enterprise's managers at the company and its parent company; representatives of the enterprise's capital contribution, or representatives of state capital at the parent company and at the company.

2. Regulations on BOS Candidacy and Nomination

(Excerpt from the Company Charter)

Article 36. Candidacy and Nomination of the Board of Supervisor's Members.

- 2. Shareholders or groups of shareholders holding 10% or more of total common shares are entitled to nominate BOS candidates. Shareholders or groups holding 10% of common shares may nominate one (01) candidate; above 10% to 30% may nominate up to two (02) candidates; above 30% may nominate up to the full required number of candidates.
- 3. If the number of BOS candidates through nomination and self-nomination is insufficient, the incumbent Board of Supervisor may introduce additional candidates in accordance with the Company Charter, the Internal Regulation on Corporate Governance, and the Operating Regulation of the Board of Supervisor. Any additional candidate introduction by the incumbent BOS must be disclosed clearly before the General Meeting of Shareholders votes to elect BOS members in accordance with applicable law.

III. APPLICATION DOCUMENTS FOR THE BOD AND BOS CANDIDACY/NOMINATION

The application dossier for nomination/ self-nomination to the Board of Directors/ the Board of Supervisor shall include:

- Application form for nomination/ self-nomination to the Board of Directors/ the Board of Supervisor *(form available for download on the Company's website: <https://vixs.vn/quan-he-co-dong>);*
- Personal information disclosure form completed by the candidate in accordance with Appendix III – Circular No. 96/2020/TT-BTC dated November 16, 2020 issued by the Ministry of Finance *(form available for download on the Company's website: <https://vixs.vn/quan-he-co-dong>);*
- Certified copies of:



A handwritten signature in black ink, located at the bottom right corner of the page.

- + National Identity Card / Citizen ID Card, or Passport (for overseas Vietnamese or foreigners);
- + Degrees, diplomas, and certificates proving the candidate's educational qualifications and professional expertise.

Candidates and nominators for the Board of Directors/ the Board of Supervisor shall be legally responsible before the General Meeting of Shareholders for the accuracy and truthfulness of the content of their application dossiers.

The application dossier must be placed in a sealed envelope, clearly marked on the outside as "Application Dossier for BOD/BOS Candidacy/Nomination, Term 2026–2031", and submitted in person at the Company's head office or sent by registered mail to the following address:

- Board of Directors Office, VIX Securities Joint Stock Company
- Address: Floor 22, No. 52 Le Dai Hanh Street, Hai Ba Trung Ward, Hanoi City.
- Telephone: 024.4456 8888
- Recipient: Mr. Nguyen Tuan Dung – Chairman of the Board of Directors

To ensure the successful organization of the General Meeting, shareholders are kindly requested to submit their application dossiers for BOD/BOS candidacy/nomination to the Company **no later than 17:00 on June 23, 2026.**

The Board of Directors of VIX Securities Joint Stock Company respectfully announces./.

Recipients:

- As above;
- Archived at: BOD Secretary.

ON BEHALF OF THE BOARD OF DIRECTORS
CHAIRMAN



NGUYEN TUAN DUNG



**REGULATIONS
FOR ELECTION OF BOD AND BOS MEMBERS
FOR THE 2026–2031 TERM**

Pursuant to:

- *Law on Enterprises No. 59/2020/QH14 of the National Assembly of the Socialist Republic of Vietnam on 17/06/2020 and its implementing regulations;*
- *Law on Securities No. 54/2019/QH14 of the National Assembly of the Socialist Republic of Vietnam on 26/11/2019 and its implementing regulations;*
- *The Charter of VIX Securities Joint Stock Company.*

The election of Board of Directors (BOD) and Board of Supervisor (BOS) members for the 2026–2031 term at the 2026 Annual General Meeting of Shareholders (GMS) of VIX Securities Joint Stock Company (the 'Company' / 'VIX') shall be conducted in accordance with the following provisions:

Article 1: Principles and Eligible Participants

1. Principles of Election

The election must comply with applicable law, the Company Charter, and these Election Regulations.

The election of BOD and BOS members shall be conducted using the cumulative voting method, whereby each shareholder shall have a total number of votes corresponding to the total number of shares held multiplied by the number of members to be elected to the BOD or BOS, and the shareholder shall be entitled to concentrate all of their votes on one or more candidates.

2. Eligible Participants

Shareholders owning voting shares and authorized representatives of shareholders owning voting shares (as per the shareholder list of the Company established as at 27/05/2026) who are present at the General Meeting of Shareholders are eligible to participate in the election.

Article 2: Number of Members to be Elected and Term of Office

The number of members and the term of office of the BOD and BOS to be elected at the 2026 Annual General Meeting of Shareholders shall be as follows:



- The number of BOD Members to be elected is 02 (two) persons.
- The number of BOS Members to be elected is 03 (three) persons.
- Term of office: 05 (five) years (2026–2031).

Article 3: Eligibility Criteria and Conditions for BOD and BOS Membership

1. Eligibility Criteria and Conditions for BOD Membership

A BOD Member must meet all eligibility criteria and conditions as set out in Clause 4, Article 25 of the Company Charter, specifically:

(Extract from Clause 4, Article 25 – Company Charter)

"Article 25. Nomination and Self-Nomination of BOD Members

4. A BOD Member must meet the following criteria and conditions:

- a) Not fall within the categories of persons referred to in Clause 2, Article 17 of the Law on Enterprises;
- b) Hold professional qualifications and experience in business administration or in the fields of securities, finance, or banking;
- c) Not concurrently serve as a supervisor of the Company;
- d) Not concurrently serve as a member of the Board of Directors, member of the Members' Council, or Chief Executive Office (Director) of another securities company;
- d) May concurrently serve as a member of the Board of Directors of no more than 05 other companies."

2. Eligibility Criteria and Conditions for BOS Membership

A BOS Member must meet all eligibility criteria and conditions as set out in Clause 2, Article 37 of the Company Charter, specifically:

(Extract from Clause 2, Article 37 – Company Charter)

Article 37. Composition of the Board of Supervisor

2. BOS Members must meet the criteria and conditions prescribed in Article 169 of the Law on Enterprises and must not fall within any of the following categories:

- a) Working in the accounting or finance department of the Company;
- b) Being a member or employee of an independent auditing firm that has conducted an audit of the Company's financial statements in any of the three immediately preceding years.

(Extract from Article 169 – Law on Enterprises)

Article 169. Eligibility Criteria and Conditions for Supervisors

1. A Supervisor must meet the following criteria and conditions:

a) Not fall within the categories of persons referred to in Clause 2, Article 17 of this Law;

b) Have been trained in one of the following disciplines: economics, finance, accounting, auditing, law, business administration, or a discipline relevant to the enterprise's business activities;

c) Not be a person with a family relationship with members of the Board of Directors, the Director or General Director, or other managers;

d) Not be a manager of the company; need not necessarily be a shareholder or employee of the company, unless otherwise provided in the company charter;

đ) Meet any other criteria and conditions as required by other relevant laws and the company charter.

2. In addition to the criteria and conditions prescribed in Clause 1 of this Article, a Supervisor of a public company or a state-owned enterprise as defined in Point b, Clause 1, Article 88 of this Law must not be a person with a family relationship with a manager of the enterprise and of the parent company; a representative of the enterprise's contributed capital, or a representative of state capital at the parent company and at the company.

Article 4: Nomination and Self-Nomination of BOD and BOS Members

1. Nomination and Self-Nomination for Election to the BOD

The nomination and self-nomination of persons for election to the BOD shall be conducted as follows:

- Shareholders or groups of shareholders holding 10% or more of the total common shares shall be entitled to nominate BOD candidates. Shareholders or groups of shareholders holding 10% of common shares may nominate one (01) candidate; above 10% to 20% up to two (02) candidates; above 20% to 40% up to three (03) candidates; above 40% to 50% up to four (04) candidates; above 50% up to the full required number of candidates.

- If the number of BOD candidates through nomination and self-nomination is still insufficient, the incumbent Board of Directors may introduce additional candidates or organise nominations in accordance with the Company Charter, the Internal Regulations on Corporate Governance, and the Operating Regulations of the Board of Directors. Any additional candidates introduced by the incumbent BOD must be disclosed clearly before the General Meeting of Shareholders votes to elect BOD members in accordance with applicable law

2. Nomination and Self-Nomination for Election to the BOS

The nomination and self-nomination of persons for election to the BOS shall be conducted as follows:

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- Shareholders or groups of shareholders holding 10% or more of the total common shares shall be entitled to nominate BOS candidates. Shareholders or groups of shareholders holding 10% of common shares may nominate one (01) candidate; above 10% to 30% up to two (02) candidates; above 30% up to the full required number of candidates.

- If the number of BOS candidates through nomination and self-nomination is insufficient, the incumbent Board of Supervisor may introduce additional candidates or organise nominations in accordance with the Company Charter, the Internal Regulations on Corporate Governance, and the Operating Regulations of the Board of Supervisor. Any additional candidates introduced by the incumbent BOS must be disclosed clearly before the General Meeting of Shareholders votes to elect BOS members in accordance with applicable law.

Article 5: Nomination and Candidacy Documentation for BOD and BOS

The nomination and candidacy documentation for election to the BOD and BOS shall include:

- Application for self-nomination / nomination for election to the Board of Directors or Board of Supervisor;
- Personal information form completed by the candidate as prescribed in Appendix No. III of Circular 96/2020/TT-BTC issued by the Ministry of Finance on 16/11/2020;
- Certified copies of:
 - + National Identity Card / Citizen Identity Card / Passport (for overseas Vietnamese nationals or foreign nationals);
 - + Diplomas, degrees, and certificates providing the candidate's educational and professional qualifications.

Candidates and nominators for election to the BOD and BOS shall be legally responsible, and shall be accountable to the General Meeting of Shareholders, for the accuracy and truthfulness of their nomination and candidacy documentation.

Nomination and candidacy documentation shall be placed in a sealed envelope clearly marked "Nomination/Candidacy Documentation for the BOD/BOS 2026–2031 Term" and submitted directly to the Company's registered office or sent by registered mail to the following address:

- Office of the Board of Directors of VIX Securities Joint Stock Company
- Address: Floor 22, No. 52 Le Dai Hanh Street, Hai Ba Trung Ward, Hanoi
- Telephone: 024.4456 8888
- Recipient: Mr. Nguyen Tuan Dung – Chairman of the Board of Directors



Only nomination and candidacy documentation that satisfies all requirements, and candidates who meet all eligibility criteria and conditions for BOD and BOS membership, shall be included in the candidate list announced at the General Meeting.

Article 6: Election Method

1. Election Ballot

Shareholders and duly authorized representatives attending the 2026 Annual General Meeting of Shareholders shall each receive, upon completing the registration procedures, one (01) BOD Election Ballot and one (01) BOS Election Ballot (the "Election Ballot"). Each Election Ballot must contain the following information:

- Name and shareholder number of the shareholder;
- Total number of voting shares of the shareholders;
- Total number of votes (equal to the number of shares held and represented, multiplied by the number of members to be elected to the BOD or BOS (i.e., multiplied by 2 for the BOD Election Ballot and multiplied by 3 for the BOS Election Ballot));
- The names of nominees and candidates are pre-printed in alphabetical order (A–Z) on the Election Ballot, bearing the embossed seal of VIX Securities Joint Stock Company.

Shareholders or authorized representatives of shareholders who receive an Election Ballot must verify the information printed thereon. Any errors must be immediately reported to the Vote-Counting Committee for a replacement ballot.

2. Election Method

Voting for the election of BOD and BOS members shall be conducted using the cumulative voting method, whereby each shareholder or duly authorized representative attending the meeting shall have a total number of votes corresponding to the total number of shares held or represented, multiplied by the number of members to be elected to the BOD or BOS, as indicated on the Election Ballot. A shareholder or duly authorized representative may concentrate all of their votes on one candidate or distribute their votes among their chosen candidates.

Example:

A shareholder holding 1,000 shares in the Company shall receive Election Ballots as follows:

- For BOD election:

- BOD Election Ballot: the shareholder shall have: 1,000 (shares) × 2 (BOD members to be elected) = 2,000 votes (the Company has pre-printed the number of votes on the Election Ballot);

- The shareholder may concentrate all 2,000 BOD votes on one candidate, or distribute the 2,000 votes among the listed candidates provided that the total number of votes allocated is equal to or less than 2,000; if the total exceeds 2,000, the Election Ballot shall be invalid.

- If the shareholder does not vote for any listed candidate, a cross (X) must be marked in the vote allocation box next to that candidate's name.

➤ For BOS election:

- BOS Election Ballot: the shareholder shall have: 1,000 (shares) × 03 (BOS members to be elected) = 3,000 votes (the Company has pre-printed the number of votes on the Election Ballot);

- The shareholder may concentrate all 3,000 BOS votes on one candidate, or distribute the 3,000 votes among the listed candidates provided that the total number of votes allocated is equal to or less than 3,000; if the total exceeds 3,000, the Election Ballot shall be invalid.

- If the shareholder does not vote for any listed candidate, a cross (X) must be marked in the vote allocation box next to that candidate's name.

3. Invalid Election Ballots

An Election Ballot shall be invalid if it:

- Does not bear the embossed seal of VIX Securities Joint Stock Company;
- Has been altered, erased, or corrected (in the event of an error, the shareholder must obtain a replacement ballot);
- Contains the name of a person not included on the approved candidate list;
- Has a total number of allocated votes exceeding the permitted number of votes;
- Is not signed by the shareholder or the authorized representative.

Article 7: Vote Counting

The Vote-Counting Committee, elected by the General Meeting of Shareholders, is responsible for counting the votes, preparing the vote-counting minutes, and announcing the vote-counting results before the General Meeting. The vote-counting minutes and all Election Ballots must be handed over to the Chairperson of the meeting.

Article 8: Conditions for Successful Election

Successful candidates for election to the BOD and BOS shall be determined in descending order of votes received, beginning with the candidate with the highest

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number of votes, until the required number of members has been filled. In the event that two or more candidates receive the same number of votes for the last available position, the General Meeting of Shareholders shall hold a separate re-election among those candidates.

Article 9: Effectiveness

These Election Regulations shall be presented before the 2026 Annual General Meeting of Shareholders of VIX Securities Joint Stock Company and shall become effective upon approval by shareholders representing more than 50% of the total votes of all attending shareholders.

**ON BEHALF OF THE GENERAL
MEETING OF SHAREHOLDERS
CHAIRPERSON OF THE MEETING**



NGUYEN TUAN DUNG





SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

**APPLICATION FOR NOMINATION / SELF-NOMINATION FOR BOD MEMBER AND BOS MEMBER
OF VIX SECURITIES JOINT STOCK COMPANY FOR THE 2026-2031 TERM**

To: VIX Securities Joint Stock Company

Pursuant to:

- *Applicable law currently in force;*
- *The Company Charter of VIX Securities Joint Stock Company;*
- *The Notice dated 05/06/2026 of the Board of Directors of VIX Securities Joint Stock Company ("VIX" / "the Company") on the criteria, conditions, and procedures for nomination/self-nomination of candidates for election as Board of Directors Members and Board of Supervisor Members for the 2026-2031 term.*

Today, date month year, I/we, the shareholders whose names appear on VIX's shareholder list as at the record date 27/05/2026, voluntarily agree to form a Group of Shareholders collectively holding / representing ownership of a total of: ordinary shares, accounting for% of the total voting shares of VIX, comprising the following shareholders:

No.	Shareholder Name	National ID Card / Citizen ID Card / Passport / Business Registration No.	Date of Issue	Number of shares held / represented at VIX	Equivalent % of total voting shares	Shareholder's signature and full name ¹ In the event that a shareholder authorizes another individual / organization to exercise shareholder rights, the authorized person shall sign (and affix a seal if the authorized party is an organization) ²
1						
2						
....						



Having reviewed the applicable conditions and criteria for the nomination/self-nomination of Board of Directors Members and Board of Supervisor Members of VIX Securities Joint Stock Company for the 2026-2031 term, I/we have agreed to pool all of our ordinary shares listed above in order to nominate the persons named below as candidates for election to the Board of Directors and Board of Supervisor of VIX Securities Joint Stock Company for the 2026-2031 term, as follows:

No.	Full Name	National ID Card / Citizen ID Card / Passport number, date of issue and place of issue	Permanent Address	Number of shares held at VIX (if any)	Educational background - Area of specialisation	Nominated title
1						
2						

I/We attach hereto this Application for Nomination/Self-Nomination together with the candidate dossier and all related documents, duly prepared in full in accordance with applicable law and the criteria, conditions, and procedures for nomination/self-nomination of candidates for election as Board of Directors Members and Board of Supervisor Members for the 2026-2031 term.

I/We confirm that all information and documents provided by I/we are truthful, accurate, and lawful; and I/we shall bear full legal responsibility to the law and to the Company for all information declared and submitted in this dossier.

I/We agree to designate the person whose information is set out below as the representative of the Group of Shareholders to liaise with VIX on behalf of the Group of Shareholders and to perform all procedures, compile all dossiers and documents, in connection with the nomination/self-nomination of personnel for the BOD and BOS of VIX.

Mr./Ms.:

National ID Card / Citizen ID Card / Passport number: Date of issue: .../.../..... Place of issue:

Contact address:



Telephone number:

Email:

Signature:
.....

We respectfully request the Company to consider, receive, and carry out the relevant procedures in accordance with applicable regulations.

We sincerely thank the Company.



¹ If the shareholder is a legal person, the signature and full name of the legal representative or duly authorized person, together with the seal of the legal person, must be affixed.

² In the case of authorization, the authorized party must submit an additional power of attorney prepared in accordance with applicable civil law, clearly specifying the name of the authorized individual or organization and the number of shares in respect of which authorization is granted.

Appendix III

PERSONAL INFORMATION FORM

(Issued together with Circular No. 96/2020/TT-BTC dated 16/11/2020 of the Minister of Finance)



SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

....., date month year

PERSONAL INFORMATION FORM

To: - State Securities Commission of Vietnam (SSC);
- Stock Exchanges.

- 1/ Full name:
- 2/ Gender:
- 3/ Date of birth:
- 4/ Place of birth:
- 5/ National Identity Card number (or Passport number):.....
- Date of issue / Place of issue:
- 6/ Nationality:
- 7/ Ethnicity:
- 8/ Permanent address:
- 9/ Telephone number:
- 10/ Email address:
- 11/ Name of the organisation subject to information disclosure obligations:
- 12/ Current position at the organisation subject to information disclosure obligations:.....
- 13/ Current positions held at other organisations:
- 14/ Number of shares held: representing for %
of charter capital, of which:
- + Representing (name of State / strategic shareholder / other organisation) ownership:.....*.*
- + Personal ownership:
- 15/ Share retention commitments (if any):
- 16/ List of related persons of the declarant*:
- 17/ Related interests with the public company or public fund (if any):
- 18/ Interests conflicting with the public company or public fund (if any):* *



I hereby declare that the information provided above is true and accurate. I shall bear full legal responsibility for any false declaration.*

DECLARANT
(Signature and full name)

* Related persons as defined in Clause 46, Article 4 of the Law on Securities dated 26/11/2019 - see attached appendix (if any)



VIX SECURITIES JOINT STOCK COMPANY
ANNUAL GENERAL MEETING OF SHAREHOLDERS

VOTING BALLOT

Attendee's name:	
Shareholder number:	
Meeting attendance number:	
Number of voting shares:	

Vote on the following agenda items of the General Meeting:

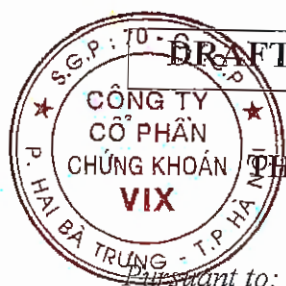
No.	Ballot Item	Shareholder's Vote		
		Approve	Disapprove	No opinion
1	Approval of the Report of the Board of Directors on Corporate Governance and the Performance of the Board of Directors and each member for 2025			
2	Approval of the Report of the Board of Supervisor on the Company's business results, the Performance of the Board of Directors and the Executive Management for 2025			
3	Approval of 2025 Self-Assessment Report on the Performance of the Board of Supervisors and each member			
4	Approval of 2025 business results, and 2025 Profit distribution plan 2025 Audited Financial Statements			
5	Approval of remuneration and other benefits for BOD and BOS Members for 2025 and the remuneration plan for BOD and BOS members for 2026			
6	Approval of the 2026 Business Plan			
7	Approval of the list of Independent auditing firms for auditing the 2026 Financial Statements			
8	Approval of the share issuance plan for payment of 2025 dividends			
9	Approval of Provision of securities clearing and settlement services under the Central Counterparty Mechanism			
10	Approval of amendments and supplements to the Company Charter			

SHAREHOLDER / SHAREHOLDER'S REPRESENTATIVE

(Signature and full name)

No.: 01/2026/VIX/NQ-DHCD

Hanoi, June 26, 2026



RESOLUTION

THE 2026 ANNUAL GENERAL MEETING OF SHAREHOLDERS VIX SECURITIES JOINT STOCK COMPANY

Pursuant to:

- Law on Enterprises No. 59/2020/QH14 dated June 17, 2020 and its amending, supplementing and implementing regulations;
- Law on Securities No. 54/2019/QH14 dated November 26, 2019 and its amending, supplementing and implementing regulations;
- The Charter of VIX Securities Joint Stock Company;
- The Vote Counting Minutes of the 2026 Annual General Meeting of Shareholders of VIX Securities Joint Stock Company dated June 26, 2026;
- The Minutes of the 2026 Annual General Meeting of Shareholders of VIX Securities Joint Stock Company dated June 26, 2026.

RESOLVES

Article 1. To approve the 2025 audited financial statements, the 2025 business results, and the 2025 profit distribution plan as follows:

1. To approval the 2025 financial statements audited by Ernst & Young Vietnam Co., Ltd

The full 2025 Audited Financial Statements of VIX Securities Joint Stock Company has been published on the Company's website at the following link: <https://vixs.vn/bao-cao>

2. To approve the business results for 2025 with the following key indicators:

Unit: VND

No.	Financial Indicator	2025 Plan	Audited 2025 Financial Statements	% of Plan Achieved
(1)	(2)	(3)	(4)	(5)=(4)/(3)
1	Profit before tax	6,500,000,000,000	6,717,012,326,793	103
2	Profit after tax	5,200,000,000,000	5,410,022,178,202	104

3. To approve the 2025 profit distribution plan as follows:

Unit: VND

No.	Indicator	Notes	Amount
1	Profit after tax realized in 2025		2,418,840,995,032

2	Undistributed profit after tax realized from prior period carried forward		80,316,215,847
3	Total undistributed profit after tax realized as at December 31, 2025	(3) = (1) + (2)	2,499,157,210,879
4	2025 dividend payment at a rate of 5% in the form of shares	Estimated	1,225,143,886,000
5	Remaining undistributed profit after tax realized to be carried forward to next year	Estimated	1,274,013,324,879

The General Meeting of Shareholders approves a dividend payment for 2025 at a rate of 5% in the form of shares, to be distributed from the Company's undistributed realized profit after tax as reflected in the 2025 Audited Financial Statements. This dividend amount is calculated based on the Company's current charter capital of VND 24,502,877,720,000.

Article 2. To approve the Report of the Board of Directors on corporate governance and the performance of the Board of Directors and each member for 2025.

Article 3. To approve the Report of the Board of Supervisors on the Company's business results, the performance of the Board of Directors and the Executive Management for 2025.

Article 4. To approve the 2025 self-assessment report on the performance of the Board of Supervisors and each member.

Article 5. To approve the remuneration and other benefits for the Board of Directors and the Board of Supervisors members for 2025 and the remuneration plan for the Board of Directors and the Board of Supervisors members for 2026 as follows:

1. To approve the remuneration of the Board of Directors and the Board of Supervisors members for 2025 as follows:

No.	Board/Committee	Remuneration for the period from January 1, 2025 to December 31, 2025 (VND).
1	Board of Directors	2,006,000,000
2	Board of Supervisors	144,000,000
	Total remuneration for the Board of Directors and the Board of Supervisors for 2025	2,150,000,000

2. To approve the remuneration plan and operating expenses budget for the Board of Directors and the Board of Supervisors for 2026 as follows:

- The Board of Directors and the Board of Supervisors members shall serve and receive remuneration on a full-time or part-time basis. In the event that the 2026 profit after tax plan approved by the General Meeting of Shareholders is met or exceeded, the total remuneration for the Board of Directors and the Board of Supervisors for 2026 shall not exceed 1% of the Company's 2026 profit after tax, the specific remuneration amount shall be determined by the Board of Directors.
- If the Company fails to achieve the 2026 profit after tax plan, the remuneration for the Board of Directors and the Board of Supervisors shall not exceed VND 5 billion, the specific amount shall be determined by the Board of Directors based on actual circumstances.
- Up to 0.2% of the 2026 profit after tax shall be allocated to supplement the operating expenses of the Board of Directors and the Board of Supervisors.

Article 6. To approve the 2026 business plan

The General Meeting of Shareholders approves the 2026 business plan with the following key targets:

No.	Indicator	Unit	2026 Plan
1	Profit before tax	VND billion	3,500
2	Profit after tax	VND billion	2,800

Article 7. To approve the list of independent auditing firms for auditing the 2026 financial statements as follows:

1. The list of audit firms proposed for selection includes:
 - Ernst & Young Vietnam Co., Ltd.;
 - PwC (Vietnam) Co., Ltd.;
 - Deloitte Vietnam Audit Co., Ltd.;
 - KPMG Co., Ltd.;
2. The General Meeting of Shareholders authorizes the Board of Directors to select one of the above audit firms to enter into an audit agreement for the the 2026 financial statements in accordance with applicable laws.

Article 8. To approve the share issuance plan for payment of 2025 dividend, with the principal terms as follows:

1. Exercise ratio: 20:1 (equivalent to a stock dividend ratio of 5%).
2. Treatment of fractional shares: Additional shares issued for dividend payment to existing shareholders shall be rounded down to the nearest whole share. Fractional shares (if any) shall be cancelled.
3. Implementation timeline: After the approval by the General Meeting of Shareholders and acceptance by the State Securities Commission of Vietnam (expected in Quarter 3, 2026).
4. Expected changes in the Company's charter capital after the issuance:

- + Charter capital before the issuance: VND 24,502,877,720,000;
- + Number of shares before the issuance: 2,450,287,772 shares;
- + Total expected additional shares to be issued: 122,514,388 shares;
- + Total expected issuance value at par value: VND 1,225,143,880,000;
- + Expected charter capital after the issuance: VND 25,728,021,600,000.

(Details are provided in Proposal No. 05/2026/VIX/TT-HDQT dated June 5, 2026 of the Board of Directors attached to this Resolution.)

5. Approval for additional securities depository registration and listing registration:

The General Meeting of Shareholders approves the registration (adjustment to information on the registered number of securities) and additional depository registration of all additionally issued shares at the Vietnam Securities Depository and Clearing Corporation, as well as the additional listing registration of all such shares at the Stock Exchange where the Company's shares are listed, and is authorizes the Board of Directors to implement and complete all related procedures immediately upon completion of the issuance.

6. Approval of authorization from the General Meeting of Shareholders to the Board of Directors:

The General Meeting of Shareholders authorizes the Board of Directors to decide on the following matters relating to the issuance:

- To determine the issuance timing and prepare and finalize the dossier for the dividend share issuance for submission to the State Securities Commission of Vietnam. Where amendments or supplements are required by the State Securities Commission of Vietnam, the Board of Directors shall be authorized to make such amendments and supplements in accordance with the recommendations or requests of the State Securities Commission of Vietnam.
- To determine the appropriate record date and other relevant timelines relating to the implementation of the share issuance in accordance with regulations.
- To amend and supplement Clause 1, Article 6 of the Company's Charter (regarding charter capital and number of shares) and other relevant provisions of the Charter based on the actual issuance results.
- To undertake procedures for amendment and adjustment of the Company's Establishment and Operation License and Enterprise Registration Certificate relating to changes in charter capital in accordance with the actual issuance results.
- To undertake procedures for registration (adjustment to information on registered number of securities), additional depository registration of all issued shares at the Vietnam Securities Depository and Clearing Corporation and additional listing registration of all such shares at the Stock Exchange where the Company's shares are listed.

- In addition to the matters stated above, the General Meeting of Shareholders also approves the authorization for the Board of Directors to decide all other related matters arising during the implementation of the share issuance for dividend payment in accordance with regulations.

Article 9. To approve the provision of securities clearing and settlement services under the Central Counterparty Mechanism, specifically as follows:

- To approve for the Company to proceed with implementing activities relating to the provision of securities clearing and settlement services under the Central Counterparty Mechanism.
- To delegate/authorize to the Board of Directors to undertake the registration procedures and other related procedures with the competent regulatory authorities; to organize the implementation of the aforementioned services; and to resolve related matters as they arise in accordance with applicable law and the Company Charter. The Board of Directors shall be permitted to sub-delegate authority to the Chief Executive Officer to decide and implement tasks within the permitted scope of such authorization.

(Details are provided in Proposal No. 06/2026/VIX/TT-HDQT dated June 5, 2026 of the Board of Directors attached to this Resolution.)

Article 10. To approve of the dismissal of members of the Board of Directors and members of the Board of Supervisors of VIX Securities Joint Stock Company upon completion of the 2021 - 2026 term, as follows:

1. For the Board of Directors:

- Dismissal of Mr. Nguyen Tuan Dung as a member of the Board of Directors for the 2021 - 2026 term;
- Dismissal of Ms. Cao Thi Hong as a member of the Board of Directors for the 2021 - 2026 term;

2. For the Board of Supervisors:

- Dismissal of Ms. Tran Hong Van as a member of the Board of Supervisors for the 2021 - 2026 term;
- Dismissal of Ms. Trinh Thi My Le as a member of the Board of Supervisors for the 2021 - 2026 term;
- Dismissal of Ms. Nguyen Thi Duyen as a member of the Board of Supervisors for the 2021 - 2026 term.

Article 11. To approve the election results of the members of the Board of Directors and the members of the Board of Supervisors of VIX Securities Joint Stock Company for a term of five (05) years (2026 – 2031) as follows:

❖ *Election results of the Board of Directors:*

The following individuals have been elected to serve as members of the Board of Directors of VIX Securities Joint Stock Company for a five-year term of (2026 – 2031):

1) Mr/Ms.....

2) Mr/Ms

Accordingly, following the election, the Board of Directors of VIX Securities Joint Stock Company consists of the following five (05) members:

1. Mr. Ha Huy Hung (five-year term: 2025–2030)
2. Mr. Do Ngoc Dinh (five-year term: 2025–2030)
3. Mr. Phan Duc Linh (five-year term: 2025–2030)
4. Mr/Ms.....(five-year term: 2026–2031)
5. Mr/Ms.....(five-year term: 2026–2031)

❖ *Election results of the Board of Supervisors:*

The following individuals have been elected to serve as members of the Board of Supervisors of VIX Securities Joint Stock Company for a five-year term (2026 – 2031):

1. Mr/Ms.....
2. Mr/Ms.....
3. Mr/Ms.....

Accordingly, following the election, the Board of Supervisors of VIX Securities Joint Stock Company consists of the following three (03) members:

1. Mr/Ms.(five-year term: 2026–2031)
2. Mr/Ms.(five-year term: 2026–2031)
3. Mr/Ms.(five-year term: 2026–2031)

Article 12. To approve the amendments and supplements to the Company's Charter in accordance with Proposal No. 07/2026/VIX/TT-HDQT of the Board of Directors dated June 5, 2026 attached to this Resolution.

Article 13. Implementation

This Resolution shall take effect from June 26, 2026. The members of the Board of Directors, the Board of Supervisors and the Executive Management shall be responsible for implementing this Resolution and organizing its execution within the scope of their respective functions and duties in accordance with the Charter of VIX Securities Joint Stock Company and the applicable laws of Vietnam.

Recipients:

- *As specified in Article 13;*
- *SSC, Stock Exchange;*
- *Shareholders;*
- *Archived at: Administration Office, BOS, BOD.*

**FOR AND ON BEHALF OF THE GENERAL
MEETING OF SHAREHOLDERS
CHAIRPERSON OF THE MEETING**

NGUYEN TUAN DUNG

