

CÔNG TY CỔ PHẦN
CHỨNG KHOÁN VIX
VIX SECURITIES
JOINT STOCK COMPANY

CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM
Độc lập - Tự do - Hạnh phúc
THE SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

Số/No: 299/2026/VIX – CBTT

Hà Nội, ngày 21 tháng 04 năm 2026

Ha Noi, April 21, 2026

CÔNG BỐ THÔNG TIN BẤT THƯỜNG
DISCLOSURE OF EXTRAORDINARY INFORMATION

Kính gửi/To: Ủy Ban Chứng khoán Nhà nước
The State Securities Commission
Sở Giao dịch Chứng khoán Việt Nam
Vietnam Stock Exchange
Sở Giao dịch Chứng khoán thành phố Hồ Chí Minh
Ho Chi Minh Stock Exchange

Tên Công ty: CÔNG TY CỔ PHẦN CHỨNG KHOÁN VIX

Company name: VIX Securities Joint Stock Company

Mã chứng khoán/Ticker: VIX

Địa chỉ trụ sở chính: Tầng 22, số 52 phố Lê Đại Hành, phường Hai Bà Trưng, thành phố Hà Nội.

Address: Floor 22, No. 52 Le Dai Hanh Street, Hai Ba Trung Ward, Ha Noi, Vietnam

Điện thoại: (024) 4456 8888, số máy lẻ: 5103; Fax: (024) 3978 5380

Telephone: (024) 4456 8888, Ext: 5103; Fax: (024) 3978 5380

Email: info@vixs.vn

Nội dung thông tin công bố/Information content disclosed:

- Thông báo thay đổi số lượng cổ phiếu có quyền biểu quyết/*Notice of Change in the Number of Voting Shares*
- Báo cáo kết quả đợt chào bán cổ phiếu ra công chúng/*Report on the Results of the Public Offering of Shares.*

Thông tin này đã được công bố trên trang thông tin điện tử của Công ty vào ngày 21/04/2026 tại đường dẫn/*Detailed information has been published on VIX's website on April 21, 2026 at the following link:*

<https://vixs.vn/qhcd/cong-bo-thong-tin>

Chúng tôi cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố./*We hereby declare to be responsible for the accuracy and completeness of the disclosed information.*

Tài liệu đính kèm:

- Thông báo thay đổi số lượng cổ phiếu có quyền biểu quyết.
- Báo cáo kết quả chào bán

**NGƯỜI ĐƯỢC ỦY QUYỀN
CÔNG BỐ THÔNG TIN
PERSON AUTHORIZED TO
DISCLOSE INFORMATION**



DU VĂN TOÀN

CÔNG TY CỔ PHẦN
CHỨNG KHOÁN VIX
VIX SECURITIES
JOINT STOCK COMPANY
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Số/No.: 297/2026/VIX- CBTT

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Hà Nội, ngày 21 tháng 04 năm 2026

Ha Noi, April 21, 2026

THÔNG BÁO THAY ĐỔI SỐ LƯỢNG CỔ PHIẾU CÓ QUYỀN BIỂU QUYẾT
CHANGE IN NUMBER OF SHARES WITH VOTING RIGHTS

Kính gửi: Ủy ban Chứng khoán Nhà nước
Sở Giao dịch Chứng khoán Việt Nam
Sở Giao dịch Chứng khoán Thành phố Hồ Chí Minh
To: State Securities Commission of Vietnam
Vietnam Exchange
HoChiMinh Stock Exchange

Tên tổ chức: CÔNG TY CỔ PHẦN CHỨNG KHOÁN VIX

Name of organization: VIX SECURITIES JOINT STOCK COMPANY

Mã chứng khoán/Stock code: VIX

Địa chỉ: tầng 22, số 52 phố Lê Đại Hành, Phường Hai Bà Trưng, Thành phố Hà Nội, Việt Nam.

Address: Floor 22, No.52 Le Dai Hanh Street, Hai Ba Trung Ward, Ha Noi, Viet Nam.

Điện thoại liên hệ/Tel.: (84-24) 4456 8888

Fax: (84-24) 3978 5379

Email: info@vixs.vn

Website: http://www.vixs.vn

STT No.	Nội dung Contents	Trước khi thay đổi Before change	Thay đổi Change	Sau khi thay đổi After change	Lý do thay đổi Reason for change
1	Vốn điều lệ (VNĐ) Charter capital (VND)	15.314.298.580.000	9.188.579.140.000	24.502.877.720.000	Hoàn thành đợt chào bán thêm cổ phiếu cho cổ đông hiện hữu năm 2025. Completion of the 2025 rights offering to existing shareholders.
2	Tổng số cổ phiếu Total number of shares	1.531.429.858	918.857.914	2.450.287.772	
3	Số lượng cổ phiếu quỹ Number of treasury shares	0	0	0	
4	Số lượng cổ phiếu có quyền biểu quyết đang lưu hành	1.531.429.858	918.857.914	2.450.287.772	



	<i>Total number of shares with voting rights</i>				
5	Số lượng cổ phiếu ưu đãi khác (nếu có) <i>Others (if any)</i>	0	0	0	

Chúng tôi cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố.

We hereby declare to be responsible for the accuracy and completeness of the disclosed information.

Đại diện tổ chức/Organization Representative
Người đại diện theo pháp luật/Legal Representative

TỔNG GIÁM ĐỐC/Chief Executive Officer ✓



TRƯƠNG NGỌC LÂN



No.: 295/2026/VIX-CV

Ha Noi, April 21, 2026

REPORT

On the results of the public offering

(Certificate of registration for public offering No. 41/GCN-UBCK issued by the Chairman of the State Securities Commission of Vietnam on 13/02/2026)

To: State Securities Commission of Vietnam

I. Information on the issuer

1. Name of the issuer: VIX Securities Joint Stock Company
2. Abbreviation: VIX
3. Headquarters: Floor 22, No. 52 Le Dai Hanh Street, Hai Ba Trung Ward, Ha Noi.
4. Tel.: (84-24) 4456 8888 Fax: (84-24) 3978 5380
Website: <https://vixs.vn/>
5. Charter capital: VND 15,314,298,580,000
6. Ticker: VIX
7. Account opening bank: Joint Stock Commercial Bank for Investment and Development of Vietnam – Ha Thanh Branch
Account number: 1220551117
8. Business Registration Certificate No. 0102576064 initially issued by the Hanoi Department of Planning and Investment on 10/12/2007, amended for the 12th by the Hanoi Department of Finance on 21/07/2025.
 - Main business activities:
 - + Securities brokerage
 - + Proprietary trading
 - + Securities underwriting
 - + Securities investment advisory
 - Main products and services:
 - + Securities brokerage
 - + Securities investment advisory
 - + Corporate finance advisory and Underwriting services
 - + Securities depository services
9. Establishment and Operation License No. 70/UBCK-GP issued by State Securities Commission of Vietnam on 10/12/2007, most recently amended Establishment and Operation License No. 48/GPĐC-UBCK issued on 15/07/2025.

II. Plan for offering

1. Name of shares: Shares of VIX Securities Joint Stock Company
2. Type of shares: Common shares
3. Number of share offered: 918,857,914 shares
4. Offering price: VND 12,000 per share

5. Total expected proceeds: VND 11,026,294,968,000
6. Distribution method: Offering to existing shareholders by way of rights offering with an exercise ratio of 10:6 (01 share carries 01 right; 10 rights entitle the holder to subscribe for 06 new shares). Any unsubscribed shares remaining due to existing shareholders not exercising their rights or not paying for the subscribed shares shall be offered by the Board of Directors to other investors (including existing shareholders wishing to increase their ownership) at a price not lower than the offering price to existing shareholders. The criteria for selection and the list of investors to be allocated such remaining shares shall be determined by the Board of Directors as authorized by the General Meeting of Shareholders.
7. Subscription and payment period:
 - For existing shareholders: From 16/03/2026 to 06/04/2026.
 - For the allocation of remaining shares: From 16/04/2026 to 20/04/2026.
8. Closing date of the offering: 20/04/2026.
9. Expected date of share delivery: Expected in May 2026, following approval by the Vietnam Securities Depository and Clearing Corporation for the adjustment of registered securities quantity.

III. Results of the public offering

Investors subscribing for shares	Offering price (VND per share)	Number of shares offered	Number of shares subscribed	Number of shares allocated	Number of subscribing investors	Number of allocated investors	Number of investors not allocated	Number of remaining shares	Allocation ratio
1	2	3	4	5	6	7	8=6-7	9=3-5	10=5/3
1. Public offering of shares	12,000	918,857,914	886,312,950	886,312,950	68,415	68,415	0	32,544,964	96.46%
2. Allocation of remaining unsubscribed shares	12,000	32,544,964 (ii)	32,544,964	32,544,964	10	10	0	0	100%
Total		918,857,914	918,857,914	918,857,914	68,425	68,425	0	0	100%
1. Domestic investors	12,000	847,114,241	848,933,126	848,933,126	68,132	68,132	0	0	100.21%
- Shares offered in public offering	12,000	847,114,241	816,388,162	816,388,162	68,122	68,122	0	30,726,079 (i)	96.37%
- Allocation of remaining unsubscribed shares	12,000	32,544,964 (ii)	32,544,964	32,544,964	10	10	0	0	100%
2. Foreign investors and economic	12,000	71,743,673	69,924,788	69,924,788	293	293	0	1,818,885 (i)	97.46%

Investors subscribing for shares	Offering price (VND per share)	Number of shares offered	Number of shares subscribed	Number of shares allocated	Number of subscribing investors	Number of allocated investors	Number of investors not allocated	Number of remaining shares	Allocation ratio
1	2	3	4	5	6	7	8=6-7	9=3-5	10=5/3
organizations with foreign ownership exceeding 50% of charter capital									
Total		918,857,914	918,857,914	918,857,914	68,415	68,415	0	0	100%

(i): The number of remaining unsubscribed shares from the public offering to existing shareholders that were further allocated to domestic investors (note (ii)).

(ii) Number of remaining unsubscribed shares further allocated to domestic investors.

List of investors allocated the remaining unsubscribed shares and their ownership ratios after the offering (attached).

IV. Summary of the results of the public offering

1. Total number of shares distributed: 918,857,914 shares, equivalent to 100% of the total number of shares offered, in which:

– Number of shares offered to existing shareholders that were allocated: 886,312,950 shares, equivalent to 96.46%.

– Number of unsubscribed shares further allocated: 32,544,964 shares, equivalent to 3.54%.

2. Total proceeds from the offering: VND 11,026,330,968,000, of which:

– Valid subscription proceeds: VND 11,026,294,968,000;

– Invalid subscription proceeds: VND 36,000,000 (*)

(*) Invalid subscription proceeds shall be refunded to the payors after the escrow account is released and VIX Securities Joint Stock Company is permitted to use the proceeds from the share offering.

Payables to investors in respect of invalid subscriptions: VND 36,000,000, detailed as follows:

Name	Subscription rights	Number of shares subscribed	Amount paid (VND)	Invalid subscription amount (VND)	Note
Duong Tu	5,000	3,000	36,000,000	36,000,000	Shareholders with deposited shares who transferred funds directly to the escrow account instead of through their depository participant
Total	5,000	3,000	36,000,000	36,000,000	

3. Total expense: VND 1,100,000.

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- Fee for transferring money from VSDC to the escrow account: VND 1,100,000.
- 4. Total net proceeds from the offering: VND 11,026,293,868,000.
(Accompanied by a confirmation from the bank where the escrow account is maintained regarding the proceeds from the offering, including an additional VND 36,000,000 representing invalid subscription proceeds.)

V. Capital structure of the issuer after the offering

1. Capital structure

No	Category	Number of shareholders	Number of owned shares	Value of owned shares at par value	Ownership ratio
I	Domestic, foreign shareholders				
1	Domestic shareholders	98,393	2,260,790,195	22,607,901,950,000	92.27%
1.1	State	0	0	0	0%
1.2	Organizations	125	148,871,731	1,488,717,310,000	6.08%
1.3	Individuals	98,268	2,111,918,464	21,119,184,640,000	86.19%
2	Foreign shareholders	460	189,497,577	1,894,975,770,000	7.73%
2.1	Foreign organizations, economic organizations with foreign ownership exceeding 50% of charter capital	60	184,058,987	1,840,589,870,000	7.51%
2.2	Individuals	400	5,438,590	54,385,900,000	0.22%
	Total (1 + 2)	98,853	2,450,287,772	24,502,877,720,000	100%
II	Founding shareholders, major shareholders, others				
1	Founding shareholders	0	0	0	0%
2	Major shareholders	0	0	0	0%
3	Shareholders holding less than 5% shares with voting rights	98,853	2,450,287,772	24,502,977,720,000	100%
	Total (2+3)	98,853	2,450,287,772	24,502,877,720,000	100%

- 2. List and ownership ratio of major shareholders: None.

VI. Attached documents

- 1. Confirmation letter of Bank where escrow account is maintained regarding the proceeds from the offering;
- 2. Resolution of the Board of Directors No. 15/2026/VIX/NQ-HDQT dated April 16, 2026 approval of the plan for handling the remaining unsubscribed shares from the 2025 rights offering to existing shareholders;

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3. Resolution of the Board of Directors No. 17/2026/VIX/NQ-HDQT dated 21/04/2026 Approval of the results of the 2025 public offering and the amendment, supplementation of the Company's Charter.

Ha Noi, April 21, 2026

VIX SECURITIES JOINT STOCK COMPANY *

Legal Representative

(Signed and sealed)



TRUONG NGOC LAN

