

CÔNG TY CỔ PHẦN
CHỨNG KHOÁN VIX
VIX SECURITIES
JOINT STOCK COMPANY

CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM
Độc lập - Tự do - Hạnh phúc
THE SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

Số/No: 271/2026/VIX – CBTT

Hà Nội, ngày 16 tháng 04 năm 2026
Ha Noi, April 16, 2026

CÔNG BỐ THÔNG TIN BẤT THƯỜNG
DISCLOSURE OF EXTRAORDINARY INFORMATION

Kính gửi/To: Ủy Ban Chứng khoán Nhà nước
The State Securities Commission
Sở Giao dịch Chứng khoán Việt Nam
Vietnam Stock Exchange
Sở Giao dịch Chứng khoán thành phố Hồ Chí Minh
Ho Chi Minh Stock Exchange

Tên Công ty: CÔNG TY CỔ PHẦN CHỨNG KHOÁN VIX
Company name: VIX Securities Joint Stock Company

Mã chứng khoán/Ticker: **VIX**

Địa chỉ trụ sở chính: Tầng 22, số 52 phố Lê Đại Hành, phường Hai Bà Trưng, thành phố Hà Nội.

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Nội dung thông tin công bố/Information content disclosed:

Nghị quyết của Hội đồng quản trị về việc: Thông qua phương án xử lý cổ phiếu còn dư của đợt chào bán thêm cổ phiếu cho cổ đông hiện hữu/*Board of Directors' Resolution on Approving the Plan for Handling Unsold Shares from the Offering of Additional Shares to Existing Shareholders.*

Thông tin này đã được công bố trên trang thông tin điện tử của Công ty vào ngày 16/04/2026 tại đường dẫn/*Detailed information has been published on VIX's website on April 16, 2026 at the following link:*

<https://vixs.vn/qhcd/cong-bo-thong-tin>

Chúng tôi cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố./*We hereby declare to be responsible for the accuracy and completeness of the disclosed information.*

NGƯỜI ĐƯỢC ỦY QUYỀN
CÔNG BỐ THÔNG TIN
PERSON AUTHORIZED TO
DISCLOSE INFORMATION



DU VĂN TOÀN

No: 15/2026/VIX/NQ-HĐQT

Ha Noi, April 16, 2026

RESOLUTION OF THE BOARD OF DIRECTORS

Re: Approval of the plan for handling the remaining unsubscribed shares from the 2025 rights offering to existing shareholders

THE BOARD OF DIRECTORS VIX SECURITIES JOINT STOCK COMPANY

Pursuant to:

- Law on Enterprises No. 59/2020/QH14 dated June 17, 2020 and documents amending, supplementing and guiding its implementation;
- Law on Securities No. 54/2019/QH14 dated November 26, 2019 and documents amending, supplementing and guiding its implementation;
- Decree No. 155/2020/ND-CP dated December 31, 2020 elaborating some articles of the Law on Securities;
- Decree No. 245/2025/ND-CP dated September 11, 2025 amending some articles of Decree No. 155/2020/ND-CP dated December 31, 2020;
- Charter of VIX Securities Joint Stock Company;
- Resolution of 2025 Extraordinary General Meeting of Shareholders No. 02/2025/VIX/NQ-DHCD dated November 28, 2025;
- The Certificate of Public Offering Registration No. 41/GCN-UBCK issued by the State Securities Commission of Vietnam dated February 13, 2026;
- The Consolidated list of securities holders exercising their rights No. VNEXRTVSDI013093/VSDIBSCX prepared by Viet Nam Securities Depository and Clearing Corporation dated April 15, 2026;
- Minutes of the Board of Directors' Meeting dated April 16, 2026.

RESOLVED

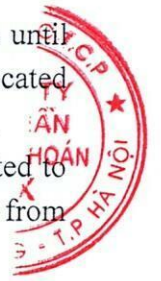
Article 1: Approve the consolidated list of securities holders exercising their rights No. VNEXRTVSDI013093/VSDIBSCX prepared by Viet Nam Securities Depository and Clearing Corporation dated April 15, 2026 with the following results:

- ❖ **Total number of shares offered:** 918,857,914 shares.
- ❖ **Total number of shares subscribed:** 886,312,950 shares.
- ❖ **Total proceeds:** VND 10,635,755,400,000.
- ❖ **Transfer restrictions on the shares successfully subscribed:** All shares subscribed according the above-mentioned list are freely transferable.

Article 2: Approve the plan for handling remaining unsubscribed shares from the 2025 rights offering to existing shareholders, with the following contents:



- ❖ **Number of unsubscribed share:** 32,544,964 shares
- ❖ **Selection criteria and list of investors to be allocated shares:**
 - Selection criteria:
 - + Considered by the Board of Directors to have the ability to contribute to the Company's future development;
 - + Having sufficient financial capacity to fully pay for the subscribed shares within the prescribed timeline, ensuring the progress of the offering;
 - + Agreeing to the restriction on transfer of shares for a period of 01 (one) year from the completion date of the offering;
 - + Investors shall ensure compliance regulations on shareholders of securities company in Article 74 of Law on Securities No. 54/2019/QH14 dated November 26, 2019;
 - + Investors must not violate regulations on cross-ownership in Article 195 of Law on Enterprises No. 59/2020/QH14 dated June 17, 2020.
 - Proposed list of investors and number of allocated shares: **32,544,964** shares.
- ❖ **Offering price:** VND 12,000 per share.
- ❖ **Payment period for share subscription:** From the effective date of this Resolution until 20/04/2026. Upon expiry of the above period, if the offerees fail to fully pay for the allocated shares, the Board of Directors shall proceed in accordance with applicable regulations.
- ❖ **Transfer restrictions on the allocated share** The unsubscribed shares further allocated to investors under this Resolution shall be subject to a transfer restriction of 01 (one) year from the completion date of the offering.
- ❖ **Details of the escrow account for receiving subscription proceeds:**
 - Account name: VIX Securities Joint Stock Company
 - Account number: 100386392
 - Bank: Vietnam Export Import Commercial Joint Stock Bank – Ha Noi Branch



Article 3: Authorize and assign the Chief Executive Officer to complete all relevant documents and procedures in accordance with applicable laws for implementation.

Article 4: This resolution takes effect as of the date of its signing. The members of the Board of Directors, the Chief Executive Officer, the Chief Accountant, relevant divisions and departments, and related persons are responsible for the implementing this resolution./.

Recipients:

- As Article 4;
- Information disclosure;
- Board of Supervisor;
- Archive BoDs/VIX.

ON BEHALF OF THE BOARD OF DIRECTORS,



NGUYEN TUAN DUNG