

CÔNG TY CỔ PHẦN
CHỨNG KHOÁN VIX
VIX SECURITIES
JOINT STOCK COMPANY

CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM
Độc lập - Tự do - Hạnh phúc
THE SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

Số/No: 50/2026/VIX – CBTT

Hà Nội, ngày 16 tháng 01 năm 2026
Ha Noi, January 16, 2026

CÔNG BỐ THÔNG TIN BẤT THƯỜNG
DISCLOSURE OF EXTRAORDINARY INFORMATION

Kính gửi/To: Ủy Ban Chứng khoán Nhà nước
The State Securities Commission
Sở Giao dịch Chứng khoán Việt Nam
Vietnam Stock Exchange
Sở Giao dịch Chứng khoán thành phố Hồ Chí Minh
Ho Chi Minh Stock Exchange

Tên Công ty: CÔNG TY CỔ PHẦN CHỨNG KHOÁN VIX

Mã chứng khoán/Ticker: **VIX**

Địa chỉ trụ sở chính: Tầng 22, số 52 phố Lê Đại Hành, phường Hai Bà Trưng, thành phố Hà Nội.

Address: Floor 22, No. 52 Le Dai Hanh Street, Hai Ba Trung Ward, Ha Noi

Điện thoại: (024) 4456 8888, số máy lẻ: 5103; Fax: (024) 3978 5380

Telephone: (024) 4456 8888, Ext: 5103; Fax: (024) 3978 5380

Email: info@vixs.vn

Nội dung thông tin công bố/Information content disclosed:

Ngày 16/01/2026 Hội đồng quản trị Công ty Cổ phần Chứng khoán VIX đã ban hành các nghị quyết, với các nội dung như sau:

On January 16, 2026 the Board of Directors of VIX Securities Joint Stock Company issued the following resolutions:

- Nghị quyết số: 01/2026/VIX/NQ-HĐQT: Thông qua thời gian chào bán và thời điểm giải ngân sử dụng vốn dự kiến trong đợt chào bán cổ phiếu ra công chúng cho cổ đông hiện hữu.
Resolution of Board of Directors No. 01/2026/VIX/NQ-HDQT approval The expected offering period and use of proceeds schedule of the rights offering to existing shareholders.
- Nghị quyết số 02/2026/VIX/NQ- HĐQT: Bổ sung tiêu chí lựa chọn nhà đầu tư mua cổ phiếu còn dư trong đợt chào bán cổ phiếu ra công chúng cho cổ đông hiện hữu.
Resolution of Board of Directors No. 02/2026/VIX/NQ-HDQT: Supplementation of criteria for selecting investors to purchase remaining shares in the offering for existing shareholders.
- Nghị quyết số 03/2026/VIX/NQ- HĐQT: Thông qua hồ sơ đăng ký chào bán thêm cổ phiếu cho cổ đông hiện hữu.



Resolution of Board of Directors No. 03/2026/VIX/NQ-HDQT approval of the application dossier for the rights offering to existing shareholders.

Thông tin này đã được công bố trên trang thông tin điện tử của Công ty vào ngày 16/01/2026 tại đường dẫn/ *Detailed information has been published on VIX's website on January 16, 2026 at the following link:*

<https://vixs.vn/qhcd/cong-bo-thong-tin>

Chúng tôi cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố./*We hereby declare to be responsible for the accuracy and completeness of the disclosed information.*

**NGƯỜI ĐƯỢC ỦY QUYỀN
CÔNG BỐ THÔNG TIN
PERSON AUTHORIZED TO
DISCLOSE INFORMATION**



DƯ VĂN TOÀN



No: 01/2026/VIX/NQ-HDQT

Ha Noi, January 16, 2026

THE RESOLUTION OF THE BOARD OF DIRECTORS

Re: The expected offering period and use of proceeds schedule of the rights offering to existing shareholders

**THE BOARD OF DIRECTORS
VIX SECURITIES JOINT STOCK COMPANY**

Pursuant to:

- Law on Enterprises No. 59/2020/QH14 dated June 17, 2020 and the related implementing guiding documents;
- Law on Securities No. 54/2019/QH14 dated November 26, 2019 and the related implementing guiding documents;
- Decree No. 155/2020/ND-CP dated December 31, 2020 elaborating some articles of the Law on Securities;
- Decree No. 245/2025/ND-CP dated September 11, 2025 amending certain articles of Decree No. 155/2020/ND-CP dated December 31, 2020;
- Charter of VIX Securities Joint Stock Company;
- The Resolution of Extraordinary General Meeting of Shareholders 2025 No. 02/2025/VIX/NQ-DHCD dated November 28, 2025;
- The Resolution of Board of Directors No. 73/2025/VIX/NQ-HDQT dated December 2, 2025;
- Minutes of the Board of Directors' Meeting dated January 16, 2026.

RESOLUTION

Article 1: Approval of the expected offering period and use of proceeds schedule of the rights offering to existing shareholders as followed:

- The expected offering period: Quarter 1 – Quarter 2/2026, after obtaining approval from State Securities Commission of Vietnam.
- The expected use of proceeds schedule: Quarter 2/2026, after the end of the offering, as follows:

No	Purpose	Amount (VND)	Use of Proceeds Schedule
1	Contributing additional capital to VIX Crypto Assets Exchange Joint Stock Company	1.000.000.000.000	Quarter 2/2026, after the end of the offering
2	Supplementing capital for proprietary trading	5.013.147.484.000	Quarter 2/2026, after the end of the offering
3	Supplementing capital for securities margin lending activities	5.013.147.484.000	Quarter 2/2026, after the end of the offering
Total		11.026.294.968.000	

Article 2: This resolution takes effect as of the date of its signing. The members of the Board of Directors, the General Director, the Chief Accountant, relevant divisions and departments, and related persons are responsible for the implementing this resolution.

Recipients:

- As Article 2 (implement);
- Archive BoDs/VIX.

ON BEHALF OF THE BOARD OF DIRECTORS ✓

CHAIRMAN



NGUYEN TUAN DUNG



No: 02/2026/VIX/NQ-HDQT

Ha Noi, January 16, 2026

THE RESOLUTION OF THE BOARD OF DIRECTORS

Re: Supplementation of criteria for selecting investors to purchase remaining shares in the offering for existing shareholders

**THE BOARD OF DIRECTORS
VIX SECURITIES JOINT STOCK COMPANY**

Pursuant to:

- *Law on Enterprises No. 59/2020/QH14 dated June 17, 2020 and the related implementing guiding documents;*
- *Law on Securities No. 54/2019/QH14 dated November 26, 2019 and the related implementing guiding documents;*
- *Decree No. 155/2020/ND-CP dated December 31, 2020 elaborating some articles of the Law on Securities;*
- *Decree No. 245/2025/ND-CP dated September 11, 2025 amending certain articles of Decree No. 155/2020/ND-CP dated December 31, 2020;*
- *Charter of VIX Securities Joint Stock Company;*
- *The Resolution of Extraordinary General Meeting of Shareholders 2025 No. 02/2025/VIX/NQ-DHCD dated November 28, 2025;*
- *The Resolution of Board of Directors No. 73/2025/VIX/NQ-HDQT dated December 2, 2025;*
- *The Resolution of Board of Directors No. 75/2025/VIX/NQ-HDQT dated December 2, 2025;*
- *Minutes of the Board of Directors' Meeting dated January 16, 2026.*

RESOLUTION

Article 1: Approval of the supplementation of criteria for selecting investors to purchase fractional shares and unsubscribed and unpaid shares in the follow-on public offering to existing shareholders based on The Resolution of Extraordinary General Meeting of Shareholders 2025 No. 02/2025/VIX/NQ-DHCD dated November 28, 2025:

- + Investors shall ensure compliance regulations on shareholders of securities company in Article 74 of Law on Securities No. 54/2019/QH14 dated November 26, 2019;
- + Investors must not violate regulations on cross-ownership of Law on Enterprises No. 59/2020/QH14 dated June 17, 2020.
- + Others criteria as approved in the Resolution the Board of Directors No. 75/2025/VIX/NQ-HDQT dated December 2, 2025 remain in effect.



Article 2: This resolution takes effect as of the date of its signing. The members of the Board of Directors, the General Director, the Chief Accountant, relevant divisions and departments, and related persons are responsible for the implementing this resolution.

Recipients:

- As Article 2 (implement);
- Archive BoDs/VIX.

**ON BEHALF OF THE BOARD
OF DIRECTORS**

CHAIRMAN



NGUYEN TUAN DUNG



**VIX SECURITIES
JOINT STOCK COMPANY**

THE SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

No: 03/2026/VIX/NQ-HDQT

Ha Noi, January 16, 2026

THE RESOLUTION OF THE BOARD OF DIRECTORS

Re: Approval of the application dossier for the rights offering to existing shareholders

**THE BOARD OF DIRECTORS
VIX SECURITIES JOINT STOCK COMPANY**

Pursuant to:

- *Law on Enterprises No. 59/2020/QH14 dated June 17, 2020 and the related implementing guiding documents;*
- *Law on Securities No. 54/2019/QH14 dated November 26, 2019 and the related implementing guiding documents;*
- *Decree No. 155/2020/ND-CP dated December 31, 2020 elaborating some articles of the Law on Securities;*
- *Charter of VIX Securities Joint Stock Company;*
- *The Resolution of Extraordinary General Meeting of Shareholders 2025 No. 02/2025/VIX/NQ-DHCD dated November 28, 2025;*
- *Minutes of the Board of Directors' Meeting dated January 16, 2026.*

RESOLUTION

Article 1: Approval of the application dossier for the rights offering to existing shareholders to increase charter capital, including the following documents:

- Application for public offering;
- Propestus;
- Company's Charter;
- Resolution of Extraordinary General Meeting of Shareholders 2025 No. 02/2025/VIX/NQ-DHCD dated November 28, 2025 on approval Plan for follow-on public offering for existing shareholders to increase charter capital;
- Submission of the Board of Directors No. 08/2025/VIX/TT-HDQT dated October 27, 2025 on approval Plan for follow-on public offering for existing shareholders to increase charter capital;
- Resolution of Board of Directors No. 73/2025/VIX/NQ-HDQT dated December 2, 2025 on approval implementing Plan for follow-on public offering for existing shareholders and Plan for using capital proceeds from the offering;
- Resolution of Board of Directors No. 75/2025/VIX/NQ-HDQT dated December 2, 2025 on approval criteria for selecting investors to purchase the odd lot shares, the unsubscribed and unpaid shares in the offering, and the Plan assuring conformable foreign ownership ratio during the follow-on public offering for existing shareholders 2025;



- Resolution of the Board of Directors No. 01/2026/VIX/NQ-HDQT dated January 16, 2026 on approval the expected offering period and use of proceeds schedule of the rights offering to existing shareholders;
- Resolution of the Board of Directors No. 02/2026/VIX/NQ-HDQT dated January 16, 2026 on supplementation of criteria for selecting investors to purchase remaining shares in the offering for existing shareholders.
- Resolution of the Board of Directors on approval the application dossier for the rights offering to existing shareholders;
- Audited financial statements for the year 2023 and 2024, reviewed semi-annual financial statement for the year 2025, the fourth quarter of 2025 financial statement;
- The latest amended Enterprise registration certificate;
- The latest amended Establishment and operation license;
- Written commitment on the implementing of share listing;
- Written confirmation by the bank that company has opened an escrow account to receive payment for the offering;
- Written commitment that the issuer is not undergoing criminal prosecution and does not have any unspent conviction for economic crimes;
- The audited statement on use of capital generated by the latest offering;
- Documents relating to the Plan for using capital proceeds from the offering.

Article 2: This resolution replace the Resolution No.74/2025/VIX/NQ-HDQT dated December 2, 2025 regarding Approval of the application dossier for the rights offering to existing shareholders to increase charter capital.

Resolution No.74/2025/VIX/NQ-HDQT dated December 2, 2025 shall cease to have effect as of the date of issuance of this Resolution.

Article 3: The General Director is hereby assigned and authorized to submit the application dossier for the rights offering to existing shareholders for the purpose of increasing charter capital to the State Securities Commission of Vietnam (SSC) for review and approval, and to supplement and complete the dossier in accordance with the requirements of the SSC.

Article 4: This resolution takes effect as of the date of signing. The members of the Board of Directors, the General Director, relevant departments and staffs are responsible for implementing this resolution in accordance with Laws and Company's Charter.

Recipients:

- As Article 4 (implement);
- Archive BoDs/VIX.

ON BEHALF OF THE BOARD
OF DIRECTORS

CHAIRMAN



NGUYEN TUAN DUNG

